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Cognitive plants through proactive self-learning hybrid digital twins

## COGNITWIN

DT-SPIRE-06-2019 (870130)

## **Deliverable Report**

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## **1** Executive Summary

This D5.3 report is a report on the technologies and methods that are being developed in the "COGNITWIN Hybrid and Cognitive Twin Toolbox" and which will be applied in the developments for the COGNITWIN industrial pilots. The information provided in the report will further be useful for aligning the concepts and available tools among the COGNITWIN partners but should also give external readers ideas about new Industry 4.0 possibilities.

The COGNITWIN projects aims toward supporting the digitalization of the European heavy industries. A main ambition of the COGNITWIN project is to develop cognitive digital twins that can support a significant improvement in industrial operation. To do so, COGNITWIN is working with combining data, physics-based models, machine learning (ML), and Artificial Intelligence (AI) in the best possible manner to solve the industrial challenges. Cognition is introduced into the models through self-learning and AI. In COGNITWIN WP5, where this report belongs, the aim is to identify which ML/AI methods are suited for such problems and extend and/or develop new algorithms to further improve performances of the control systems. By developing a Cognitive Twin Toolbox, comprising methods to analyse data, exploit the information from physics-based models, combine information from data and numerical models, and demonstrating applications to process control, this can be applied more generally to support many different process industries. A Cognitive Twin Toolbox is currently being built out from the needs of 6 different industrial pilots, all with their specific and different challenges.

This report marks the stage of COGNITWIN where hybrid twin development is mostly finished and where the development of cognitive twins starts. Still, what is presented is an intermediate state of the progress on technologies and methods that are being developed for the Hybrid Al/Analytics and Cognitive Toolbox. Most of the toolbox elements have been applied to the pilots, while other elements have a great potential for future application. Pilot requirements are given in Chapter 5, and give insight into the tools needed to answer the pilot challenges. The overall structure of the toolbox has grown out from the pilot needs. In COGNITWIN WP4 infrastructure for sensors, data collection and storage, as well as orchestration of models is being developed. The needs from WP5 are a general driver for the work in WP4. The complete COGNITWIN Toolbox<sup>1</sup> allows for orchestration of tools and methods, from sensors all the way to operating digital twins. The way this is done is varying between the pilots and is explained in more details in the specific pilot reports.

A separate report on the "Platform, Sensor, and Data Interoperability Toolbox" (D4.3) is issued together with this report, giving a more complete overview on the COGNITWIN challenges and Toolbox.

As technical details are best presented on a per-component-basis, and our presentation on the individual tasks focusses on more abstract insights. The bulk of technical details and development status of the individual toolbox components is additionally reported as Appendix 1 and referred to in this document.

The main updates in this D5.3 report compared to the previous D5.2 report are as follows: Chapter 5 contains the requirement analyses and utilization for each of the six pilots, rooted in user stories by the end user. The COGNITWIN toolbox and its components are used to make component pipelines, which are described in each subchapter. In Chapters 6 to 10, we describe the progress in the various

<sup>&</sup>lt;sup>1</sup> <u>https://cognitwin.github.io/toolbox/</u>

tasks of WP5. To showcase the developed tools and methods, we have made a series of demonstrators in the form of videos. These demonstrators are briefly discussed in Chapter 11. Finally, updated descriptions of the toolbox components, are given in the Appendix.

The next developments are focussed on Cognitive Twins, and directions for this work is indicated in Chapter 10.



## **Table of Contents**

1	Executive Summary 2								
2	Introd	uction							
	2.1	Scope	and purpose						
	2.2	12							
3	High-le	evel ove	erview						
4	Summ	ary of to	oolbox elements and their relations to the pilots						
	4.1	Relatio	ons to WP6 "Business impact"	15					
	4.2		ons to WP7: Communication, Dissemination, Standardisation						
5	Requir	ement	analysis and utilization for the pilots						
	5.1	HYDRO	D Pilot						
		5.1.1	User requirements specification and user stories						
		5.1.2	Current status and ongoing challenges						
		5.1.3	Utilisation of toolbox components						
	5.2	ELKEM	1 Pilot						
		5.2.1	User requirements specification and user stories						
		5.2.2	Current status and ongoing challenges						
		5.2.3	Utilisation of toolbox components						
	5.3	SUMIT	OMO Pilot	25					
		5.3.1	User requirements specification and user stories						
		5.3.2	Current status and ongoing challenges						
		5.3.3	Utilisation of toolbox components						
	5.4	NOKS	EL Pilot						
		5.4.1	User requirements specification and user stories						
		5.4.2	Current status and ongoing challenges						
		5.4.3	Utilisation of toolbox components						
	5.5	SAARS	TAHL Pilot						
		5.5.1	User requirements specification and user stories						
		5.5.2	Current status and ongoing challenges						
		5.5.3	Utilisation of toolbox components						
	5.6	SIDEN	OR Pilot						
		5.6.1	User requirements specification and user stories						
		5.6.2	Current status and ongoing challenges						
		5.6.3	Utilisation of toolbox components	46					
6	Plant [	Digital T	wins with ML/AI						

## DT-SPIRE-06-2019 (870130)

Deliverable D5.3

	6.1	Objecti	ves, challenges, and components	. 47
	6.2	Detaile	d description of activities performed	. 51
		6.2.1	Monitoring of fuel characteristics and fouling	. 51
			TEKNOPAR Machine Learning Library	
			Predicting the Slag Generated in the Furnace and Measured After Tapping	
			Estimation Technique for Parameters of the First-Principles Models	
	6.3	-	ss beyond State of the Art or State of the Practice	
	6.4	Summa	ry of the key achievements	. 54
	6.5	Next st	eps	. 55
7	Multi-	variate S	ensor analytics with Deep Learning on Imagery	. 56
	7.1	Objecti	ves, challenges and components	. 56
	7.2	Detaile	d description of the activities performed	. 57
		7.2.1	Neuroscope & Aerial Photogrammetric	. 57
		7.2.2	LSTM deep learning algorithm	. 57
	7.3	Progres	s beyond State of the Art or State of the Practice	. 58
	7.4	Summa	ry of the key achievements	. 58
	7.5	Next st	eps	. 58
8	Deep L	.earning	Performance	. 59
	8.1	Objecti	ves, challenges, and components	. 59
	8.2	Detaile	d description of the activities performed	. 59
	8.3	Progres	s beyond State of the Art or State of the Practice	. 61
	8.4	Summa	ry of the key achievements	61
	8.5	Next st	eps	. 62
9	Hybrid	Digital 1	۲wins	. 63
	9.1	Objecti	ves, challenges and components	. 63
	9.2	Detaile	d description of the activities performed	. 65
		9.2.1	Methodological development	. 65
		9.2.2	Tools supporting hybrid digital twins	. 66
	9.3	Progres	s beyond State of the Art or State of the Practice	.74
	9.4	Summa	ry of the key achievements	. 74
	9.5	Next st	eps	. 74
10	Cognit	ive Digit	al Twins	. 75
	10.1	Objecti	ves, challenges and components	. 75
	10.2	Detaile	d description of the activities performed	. 81
		10.2.1	Unusuality detection	. 81
		10.2.2	Cognitive CENIT	. 82

		10.2.3	Plant Monitoring and Control	83
		10.2.4	TIA PREMA Predictive Maintenance	83
		10.2.5	Cognition using StreamPipes Toolbox	84
		10.2.6	SpinPro	85
	10.3	Progres	s beyond State of the Art or State of the Practice	85
	10.4	Summa	ry of the key achievements	86
	10.5	Next ste	eps	86
11	Demor	nstrators		87
	11.1	Update	d demonstrators for D5.3	87
		11.1.1	Hybrid digital twin for the Elkem pilot	87
		11.1.2	Hybrid digital twin for the Sidenor pilot	87
		11.1.3	SpinPro	87
		11.1.4	FouMon	87
		Previou	s demonstrators	87
	11.2	87		
		11.2.1	Hybrid digital twin for the Hydro pilot	87
		11.2.2	FPGA platform	88
		11.2.3	Neuroscope	88
12	Conclu	ision		89
13	Refere	nces		90
14	Appen	dix 1. Tc	oolbox components	92
	14.1	TIA UX:	Industrial Control and Visualization Panel	93
	14.2		ollection of weather data	
	14.3			
	14.4		Ε	
	14.5	TIA AI T	eknopar Machine Learning Library	104
	14.6	Neuroso	cope	106
	14.7	Aerial P	hotogrammetry	109
	14.8	Bonzai .		111
	14.9	Machin	e learning to FPGA conversion: Keras2RTL	114
	14.10	TIA DAT	A-GENMATLAB Synthetic Data Generator	116
	14.11	Pragma	tism in physics-based modeling (PPBM)	118
	14.12	Cyberne	etica CENIT	122
	14.13	Cyberne	etica ProXim	126
	14.14	Cyberne	etica RealSim	128
	14.15	Cyberne	etica Modelfit	131

14.16	Cybernetica Viewer	134
14.17	Cybernetica Cognitive CENIT	136
14.18	StreamPipes-ML	139
14.19	TIA PREMA	140
14.20	SpinPro	142
14.21	FouMon	153
14.22	FouCon	155

## List of Figures

Figure 1: High-level overview of the COGNITWIN Toolbox	. 13
Figure 2: Utilisation of toolbox components in the HYDRO pilot	. 21
Figure 3: Pipeline for the Elkem pilot	. 25
Figure 4: Pipeline for the Sumitomo pilot	. 29
Figure 5: Pipeline for the NOKSEL pilot	. 37
Figure 6: Illustration of the Saarstahl pilot pipeline	. 41
Figure 5: Utilisation of the toolbox components in the Sidenor pilot	. 46
Figure 5: The PMFIR approach	. 52
Figure 6: Tech blog entry of Scortex	61
Figure 7: Creating a hybrid digital twin by combining a physics-based model with a data driven	
parametric model updated by an Extended Kalman Filter	. 65
Figure 8: Creating a hybrid digital twin by combining a physics-based model with a data driven mod	del
updated by ML/AI methods	. 66
Figure 9: Data workflow architecture of BEDROCK application using the OPC UA protocol for	
implementation of a digital twin in a process plant control system	. 68
Figure 10: Data workflow architecture of BEDROCK application using the OPC UA protocol for	
implementation of a digital twin in a process control system with read-only permission from the	
plant OPC UA server	. 69
Figure 11: Integration of two data-driven models.	. 72
Figure 12: TIA DATA-GEN to generate synthetic data	. 73
Figure 13: The role of Knowledge in the Cognitive Twin	. 75
Figure 14: Cognition extending Hybrid Twin solution	. 76
Figure 15: Cognitive CENIT	. 82
Figure 16: Cognition using StreamPipes Toolbox	. 84
Figure 17: Complex Event Processing (CEP)	. 84
Figure 18: CogniTwin approach for digital twins	. 92
Figure 19: TIA UX Sample GUI for External Welding of SWP displaying Real-Time sensor Data	
Figure 20: Real-Time ML Sample GUI visualized by TIA UX	. 94
Figure 21: Minimal usage example for retrieving weather data using MAI	. 96
Figure 22: FUSE fuel characterization during one-week CFB operation	. 99
Figure 23: The identified Linear Time Invariant model (red) of a nonlinear combustion dynamic usir	ng
IO measurement pairs (blue). Training data (left) are separated from the validation data (right) by a	а
black line located at timestep 600 1	102
Figure 24: Actual (blue) and estimated (red) nitrogen content of the fuel used for heat generation i	in
the combustion-thermal power plant of interest 1	102
Figure 25: Visualization of the class "billet" using Neuroscope: top left: original image, top right:	
saliency map, bottom left: guided Grad-CAM, bottom right: guided backpropagation	107
Figure 26: Example image from our unsupervised anomaly detection demonstrator	112
Figure 27: Scortex Bonzai software in action 1	113
Figure 28: Overall usage flow of Keras model	115
Figure 29: Left: We see the refractory and outer surface temperature at time metal was filled into a	the
ladle. The ladle was initially eroded. Right: Same case as on the left, but now the erosion pattern h	as

changed since previous heat. In the right subplot we see where the slag is located (slag fraction	n) <i>,</i> on
top of the metal	120
Figure 30: Main components of Cybernetica CENIT	123
Figure 31: Cybernetica CENIT interfaces	124
Figure 32: Cybernetica CENIT MMI	125
Figure 33: Cybernetica ProXim	126
Figure 34: Cybernetica RealSim	129
Figure 35: Cybernetica RealSim as a plant replacement tool for Cybernetica CENIT	130
Figure 36: Cybernetica ModelFit	132
Figure 37: Cybernetica Viewer	134
Figure 38: Cybernetica Cognitive CENIT	137
Figure 39: TIA PREMA	141
Figure 40: A performance comparison of CMUSphinx, DeepSpeech and Vosk	144
Figure 41: An illustration of changes in accuracy per preprocessed file with respect to the origin	nal file
on LibriSpeech test-clean	145
Figure 42: An illustration of changes in accuracy per preprocessed file with respect to the origin	nal file
on LibriSpeech test-other	146
Figure 43: SpinPro extension with DASR	147
Figure 44: Overview of the DASR components	148
Figure 45: Activity diagram of the general process for distributed speech recognition used by D	ASR
	148
Figure 46: SpinPro	
Figure 47: SpinPro	150
Figure 48: The extension of SpinPro with DASR	151
Figure 49 Data-driven FouMon heat transfer estimate from pilot during Nov 2021 (top plot), a	
corresponding model-based estimate (middle plot), and dimensionless adaptive fouling factor	
indexes	154

## List of tables

Table 1: The table show the toolbox elements and their uses or potential uses across the industrial	I
pilots	
Table 2: Use case Hydro-UC-1	. 16
Table 3: Use case Hydro-UC-2	. 17
Table 4: Use case Hydro-UC-3	. 17
Table 5: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for the Hydro pilot	. 19
Table 6: Pilot challenges for Digital Twin Visualisation and Control for the Hydro pilot	. 20
Table 7: Use Case Elkem-UC-1	. 22
Table 8: Use Case Elkem-UC-2	. 22
Table 9: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for the Elkem pilot	. 24
Table 10: Pilot challenges for Digital Twin Visualisation and Control for the Elkem pilot	. 25
Table 11: Use Case SFW-UC-1	. 26
Table 12: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for SUMITOMO pilot	. 28
Table 13: Pilot challenges for Digital Twin Visualisation and Control for SUMITOMO pilot	. 28
Table 14: Use Case NOKSEL-UC-1	. 30
Table 15: Use Case NOKSEL-UC-2	. 30
Table 16: Use Case NOKSEL-UC-3	. 31
Table 17: Use Case NOKSEL-UC-4	. 32
Table 18: Use Case NOKSEL-UC-5	. 33
Table 19: Use Case NOKSEL-UC-6	. 33
Table 20: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for NOKSEL pilot	. 36
Table 21: Pilot challenges for Digital Twin Visualisation and Control for NOKSEL pilot	. 37
Table 22: Use Case SAG-UC-1	. 38
Table 23: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for SAARSTAHL pilot	. 39
Table 24: Pilot challenges for Digital Twin Visualisation and Control for SAARSTAHL pilot	. 39
Table 25: Use case Sidenor-UC-1	. 43
Table 26: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for the Sidenor pilot	. 44
Table 27: Pilot challenges for Digital Twin Visualisation and Control for the Sidenor pilot	. 45
Table 28: Analytics Models – challenges, requirements and solutions	. 48
Table 29: Machine learning – challenges, requirements and solutions	. 49
Table 30: Visualisation and Human Interaction – challenges, requirements and solutions	. 50
Table 31: Toolbox components per pilot used by task 5.1 Plant digital twins with ML/AI	. 51
Table 32: Machine learning – challenges, requirements and solutions	. 56
Table 33: Toolbox components per pilot used by task 5.2 Multi-variate Sensor analytics with Deep	
Learning on Imagery	. 57
Table 34: Toolbox components per pilot used by task 5.3 Deep Learning Performance	. 59
Table 35: Hybrid Digital Twins – challenges, requirements and solutions	. 63
Table 36: Toolbox components per pilot used by task 5.4 Hybrid Digital Twins	. 64
Table 37: Cognitive Digital Twins – challenges, requirements and solutions	. 78
Table 38: Control System Interaction – challenges, requirements and solutions	. 79
Table 39: Toolbox components per pilot used by task 5.5 Cognitive Digital Twins	. 81
Table 40: Comparison of speech recognition frameworks	143



#### DI-SPIKE-00-2019 (0/0

## Acronyms

AI	Artificial Intelligence
CEP	Complex Event Processing
CFB	Circulating Fluidized Bed
CFD	Computational Fluid Dynamics
CSTR	Continuous Stirred-Tank Reactor
DCS	Distributed Control System
GTC	Gas Treatment Center
ICPV	Industrial Control Panel and Visualization
JSON	JavaScript Object Notation
LSTM	Long Short-Term Memory
MEWMA	Multivariate Exponentially Weighted Moving Average
ML	Machine Learning
MPC	Model-Predictive Control
MQTT	Message Queuing Telemetry Transport
OPC-UA	OPC Unified Architecture
РСА	Principal component analysis
PLC	Programmable logic controller
РРВМ	Pragmatism in Physics-Based Modelling
SWP	Spiral Weld Pipes
TMat PdM	MATLAB Predictive Maintenance for Electro Mechanical
	Components
TMLL	Teknopar Machine Learning Library
UKF	Unscented Kalman filter
WER	Word Error Rate

Other acronyms are found at <u>https://www.allacronyms.com/data/abbreviations</u>.



## 2 Introduction

#### 2.1 Scope and purpose

A purpose of the COGNITWIN Initial Hybrid AI and Cognitive Twin Toolbox is to provide the technical foundation for the realization of hybrid AI and cognitive twins in the process industry. This technical foundation takes the form of software components, methods, and processes. An important consideration is that while hybrid AI and cognitive twin concepts are not entirely unique to the process industry, certain aspects are specific to the industry. The toolbox developed in this task therefore comprises: (1) custom software components that are developed, extended, or adapted for the specific needs of the Use-Cases in the process industry, (2) existing components that are technically integrated to facilitate interoperability along workflows specific to the Use-Cases, and (3) workflows that that are realized entirely by existing software, but which is combined and used in patterns and ways specific to the Use-Cases in the process industry. The toolbox started out as a collection of individual, mostly unrelated, software tools and processes which were thought to be potentially applicable to the Use-Cases in the process industry. Since the previous project milestone, these unrelated components have been adapted, integrated, and combined to support the specific needs of the Use-Cases. At the end of the process, the toolbox will provide a technical foundation capable to support the requirements of the Use-Cases in known and tested usage patterns, with specifically adapted software components that are interoperable where required. The current deliverable shows an intermediate progress towards that goal and takes the form of an updated version of the previous deliverable.

### 2.2 Structure of the deliverable

This deliverable is structured as follows. We will start by giving a **high-level overview** of the toolbox in its entirety, specifically design decisions and the conceptual architecture in the intended, *final state* of the toolbox. Going back from the final state, we will show how we intend the toolbox to evolve from its initial state to the final state and where we currently (as of M24) are in this process.

The second part will contain status **descriptions on a task-level**, focusing on progress, challenges, and deviations from original planning. These descriptions will still be kept relatively short, as the bulk of the technical documentation is provided on a component level.

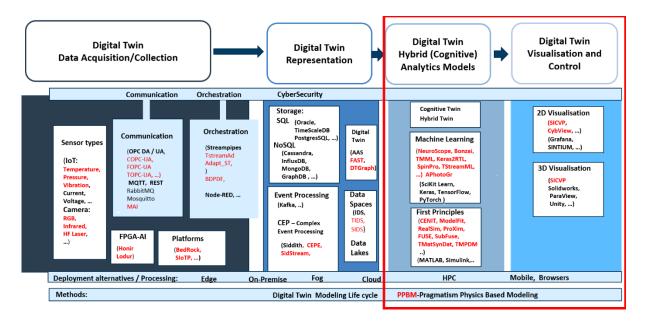
The third and most detailed part of this deliverable consists of detailed descriptions of the individual toolbox components – including detailed descriptions of progress made during the project so far – examples of how to use them, and their relation to the Use-Cases. We also focus on how the individual toolbox components are integrated in pipelines to meet the needs of the Use-Cases.

For the sake of completeness, this deliverable D5.3 takes the form of an updated version of deliverable D5.2, and thus contains not only updates on the tasks performed in the period M12-M24, but also the underlying work performed prior to that.

**Deliverable D5.3** 



## 3 High-level overview



## Figure 1: High-level overview of the COGNITWIN Toolbox

The goal of WP5 is to provide methods and tools for Hybrid AI and Cognitive Twins. Figure 1 shows the structure of the COGNITWIN Toolbox as reflected in the COGNITWIN Toolbox Portal, available at <a href="https://cognitwin.github.io/toolbox/">https://cognitwin.github.io/toolbox/</a>. This report, D5.3 focuses on the areas in the red box on Digital Twin Analytics Models and Visualisation, while the report D4.3 introduces the areas in the Toolbox and the areas of to the left in the figure on Digital Twin Data Acquisition and Representation.

In the context of the COGNITWIN project, the Hybrid AI and Cognitive Twins should not be developed from scratch, but rather the already existing components/systems should be considered. Additionally, the new services to model the behaviour of a Hybrid AI or Cognitive Twins will be developed or even have already been developed by different partners. However, the partners use different technologies, develop components in several programming languages, use different protocols, etc. In previous WP5 deliverable (D5.1) we have already identified a list of the components which will be reused or extended.

In this after midterm report, we are reporting progress on the extension and development of components and reflecting on additional requirements that emerge from applying the toolbox components to the pilots.

## 4 Summary of toolbox elements and their relations to the pilots

The COGNITWIN toolbox is developed to support the pilots and enable similar projects in the future, but where the developments be done faster due to exploitation of toolbox elements. The requirements from the pilots, presented in Chapter 5, has been driving the contents of the toolbox. In addition, we have tools that may be significant contributors to future projects in this domain.

In WP4 we have supplied infrastructure to provide sensors, data and methods to orchestrate this together with models. In the COGNITWIN D4.3 report<sup>2</sup> information about these tools are given, such as MAI, Cybernetica OPC UA, FUSE OPC UA, Tstream, BD Pipelines DF, Honir, Lodur, Bedrock, Steel4 IoTP, FAST, Tmat SynDat renamed as TIA DATA-GEN, DT Graph, StreamPipes + Siddhi, CEP Editor, IDS Connectors, Trusted Factory Connector, COGNITWIN Toolbox Portal, Steel4 ICP, Cybernetica Viewer, Sensor library, and Sensor data quality framework. These elements are used as infrastructure for the model developments.



Pilot type	Pilot	Task												W	/P5 1	Foolt	ox (	сом	PON	IENT	s												
			DFKI - : generation of photorealistic data	DFKI: Neuroscope	Nissatech: D2Lab-C	SINTEFs BEDROCK	(IBVA)	TEKNOPAR Machine Learning Library (TMLL)	Visualisation (ICPV)	TEKNOPAR TStreamPipes ML	TEKNOPAR TMat-SynDat	SINTEF Open Framework and Tools (SOFT)	Machine learning for hybrid models (SINTEF)	Pragmatic framework for development of hybrid models (SINTEF)	Cybernetica CENIT	Cybernetica Cognitive CENIT	Cybernetica Model Fit	Cybernetica RealSim	Cybernetica Viewer	Cybernetica ProXim	Cybernetica OPC UA Server	Bonzai (Scortex)	Como (Scortex)	Keras / tensorflow (Scoretex)	Finite Markov Chains Matlab toolbox (MCPC) (UOULU)	FA3ST Fraunhofer Advanced AAS Toolkit for Digital Twins (Fraunhofer)	SpinPro (Fraunhofer)	Weather API interface MAPI (SINTEF)	Correlation Analysis Toolbox (SINTEF)	FUSE Fuel state estimation (UOULU)	SubFUSE Subspace identification and state estimation (UOULU)	FouMon Fouling monitoring (UOULU)	FouCon Fouling management and control (UOULU)
Non-ferrous	Hydro	Plant Digital Twins with ML/AI				(x)											x	(x)	(x)	(x)						x		x					
L		Multi-variate Sensor analytics, Deep	Learn	ing																								х	х				
Ļ		Deep Learning Performance																										х	х				
ļ		Hybrid Digital Twins				(x)						(x)	(x)	(x)	х						х							х		(x)		(x)	
		Cognitive Digital Twins			(x)										х	х											(x)						
'	Elkem	Plant Digital Twins with ML/AI				(x)											х	(x)	х	(x)				(x)		(x)							
'		Multi-variate Sensor analytics, Deep	Lear	ning									_											(x)									
		Deep Learning Performance				6.5	_					6.3	6.5	1.3					_			(x)	(x)	(X)						6.3		6.3	
<sup> </sup>		Hybrid Digital Twins		_	(x)	(x)	-	_				(x)	(x)	(X)	x				_		x	-					6.3			(x)		(x)	
Steel	Connetal	Cognitive Digital Twins Plant Digital Twins with ML/AI		x	(X)		-						_		x	x						x				(x)	(x)						
31661		Multi-variate Sensor analytics, Deep		×		_	-	-				_	-						-			x	~	~		(^)							
		Deep Learning Performance		×				-											-			x	, v	^ v									
<sup> </sup>				×		_	-	_					-						-			^	^	^									
		/ °	_	x			-	-											-			-					(x)						
	Sidenor	Plant Digital Twins with ML/AI	^	<u> </u>		(x)				(x)							(x)	(x)	(x)	(x)						x	(^)						
	Sidenoi	Multi-variate Sensor analytics, Deep	Lean	ning	-	(^)				(//)							(^)	(^)	(//)	(^)						^							
		Deep Learning Performance																				1											
		Hybrid Digital Twins				(x)						(x)	(x)	x	(x)						(x)	1								(x)		(x)	
[]		Cognitive Digital Twins				(x)						,			(x)	(x)											(x)			,			
	Noksel	Plant Digital Twins with ML/AI				(x)	х	х	х	х	х				. ,							1				(x)							
		Multi-variate Sensor analytics, Deep	Lear	ning			х	х	x		х																						
		Deep Learning Performance																															
		Hybrid Digital Twins				(x)	х	(x)	х		х																			(x)		(x)	
		Cognitive Digital Twins				(x)			(x)		(x)																(x)						
Engineering	Sumitor	Plant Digital Twins with ML/AI															(x)	(x)	(x)	(x)		(x)	(x)	(x)		(x)				х		х	(x)
		Multi-variate Sensor analytics, Deep	Lear	ning	g																	(x)	(x)	(x)									
		Deep Learning Performance																				(x)	(x)	(x)									
		Hybrid Digital Twins			(	(x)	(x)	(x)				(x)	(x)	(x)	(x)						(x)				(x)					(x)	х	х	
		Cognitive Digital Twins	LT		(x) (	(x)	(x)	(x)							(x)	(x)											(x)					(x)	x

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In Table 1 we see an overview of the toolbox elements and their relations to the pilots. Toolbox elements which are directly planned used in a pilot is marked with an "x", while identified toolbox elements that currently are not planned used, but has the potential to be used, are marked with "(x)".

From the table we can get a good overview over the tools planned to be used in each of the pilots. As the resources for each pilot are limited, it is not possible to involve all research partners in each and all of the pilots. Therefore, each pilot team, being a subset of the technology partners, will work with the tools that they know best, and which will be the most efficient in order to support a given pilot. The tools with only "(x)" may not be the preferred tool now, due to partner preferences, but may be important contributions in similar future projects.

Looking across the pilots we can see that we have tools related to image processing and modelling (largely the Saarstahl pilot), tools related to machinery optimization and maintenance (largely the NOKSEL pilot) and tools related to process control and optimization (largely the Hydro, Elkem, Sidenor and Sumitomo pilots). Some of the tools have the potential to be used across this rough classification of the pilots.

#### 4.1 Relations to WP6 "Business impact"

The tools provided by DKFI, Fraunhofer, Nissatech, Oulu and SINTEF are considered as open. This indicates that as much as possible of the tools and methods will be made publicly available and published. However, it is expected that the work in the COGNITWIN project will supply these partners with a competitive edge that may be exploited in future similar projects for the process industries.

The developments of Scortex and Cybernetica will result in proprietary tools which will be protected but made available to the industry at any time. The developments of these tools in COGNITWIN will be a significant contribution to resolve similar pilot challenges in the future.

#### 4.2 Relations to WP7: Communication, Dissemination, Standardisation

The work to support the pilots is generating multiple publications to explain the models and methods that have been developed. Such publications are not only important to market COGNITWIN as such, but are critical to advance the use of the developed tools in future projects. The publications will not only market the tools but also the research partners and bring these into new projects and developments.

## 5 Requirement analysis and utilization for the pilots

## 5.1 HYDRO Pilot

#### 5.1.1 User requirements specification and user stories

#### Table 2: Use case Hydro-UC-1

Use Case Template	Description						
Use Case Name	Optimal alumina feed to GTC						
Use Case ID	Hydro-UC-1						
User story expression of use case	<ul> <li>As a GTC process operator, I want recommendations for corrective action to keep the HF content of alumina as even as possible so that disturbances to downstream processes are minimized.</li> <li>As a staff member in electrolysis process control room, I want alumina HF content to be as stable and predictable as possible so that primary alumina production proceeds optimally.</li> </ul>						
Goal Measurable KPIs for the goal (if any)	Achievement of even distribution of adsorbed HF in secondary alumina Reduced process disturbance						
Actors and stakeholders involved	<ul> <li>GTC/electrolysis operation</li> <li>GTC IT and sensor support/supplier</li> <li>Digital service provider</li> </ul>						
Input data	<ul> <li>GTC operational data (Opc Ua)</li> <li>Electrolysis operational data (Opc Ua)</li> <li>Weather measurements and forecast (MET Norway =&gt; Opc Ua)</li> <li>Alumina certificate (manual)</li> </ul>						
Output data / actions	<ul> <li>HF evolvement predictions (Opc Ua)</li> <li>HF-content predictions (Opc Ua)</li> <li>Optimised primary alumina feed rate (Opc Ua)</li> <li>Optimised GTC recycle rate (Opc Ua)</li> </ul>						
Summary description – Main success scenario	A hybrid digital twin-based user interface for model insight/communication of optimisation recommendations is evaluated/tested by GTC operators and technical staff						
Extensions, exceptions, variations	None						
Possible generalisation of use case	Technical aspects (e.g. estimation/optimisation of flow rates) can be applied to other cases						

**Deliverable D5.3** 

Use case analysis – related to	This use case will be supported through the following Digital
which Digital Twin pipeline	Twin pipeline steps (Delete if not related): Digital Twin Data
steps	Acquisition, Hybrid/Cognitive Digital Twin Generation, Digital
	Twin Visualisation and Control.

### Table 3: Use case Hydro-UC-2

Use Case Template	Description
Use Case Name	Temperature control of gas flow into GTC
Use Case ID	Hydro-UC-2
User story expression of use	As GTC and Electrolysis, I want the tuning of the integrated
case	heat exchanger (IHEX) controllers to be set so that
	temperature targets are consistently achieved.
Goal	Maintain constant "ideal" temperature of gas entering GTC filter
	chambers, to ensure adsorption of HF to alumina
Measurable KPIs for the goal (if	Reduced process disturbance
any)	
Actors and stakeholders	GTC operation
involved	<ul> <li>GTC IT and sensor support/supplier</li> </ul>
Input data	Temperature sensor measurement
	General GTC operational data
Output data / actions	Controller setting
Summary description – Main	Robust online control of the IHEX
success scenario	
Extensions, exceptions,	None
variations	
Possible generalisation of use	Strategy can be applied to other temperature control needs
case	

#### Table 4: Use case Hydro-UC-3

Use Case Template	Description
Use Case Name	Control of main GTC fans
Use Case ID	Hydro-UC-3
User story expression of use	As GTC and electrolysis, I want the main fan operation to
case	be adjusted based on mass flow from the potroom so that
	the overall suction rate is reduced.
Goal	Reduce power consumption to the GTC main fans
Measurable KPIs for the goal (if	Energy/emissions savings
any)	



DT-SPIRE-06-2019 (870130)

Deliverable D5.3

Actors and stakeholders	GTC operation	
involved	GTC IT and sensor support/supplier	
Input data	Pressure sensor measurement gas flow measurement	
	Mass flow estimation	
	GTC operational data	
Output data / actions	Controller setting	
Summary description – Main	Robust online control of the main fan	
success scenario		
Extensions, exceptions,	None	
variations		
Possible generalisation of use	Strategy can be applied to other fan control needs	
case		

#### 5.1.2 Current status and ongoing challenges

Recent activity in the Hydro case has focused on online implementation of CogniTwin toolbox elements. The collective system formed of toolbox elements is aimed at regulating operation of the Gas Treatment Centre (GTC).

A dynamic physics-based model has been developed and implemented as a Cybernetica Application and Model Component and was previously tuned offline using Cybernetica Modelfit. This model now forms the backbone of the hybrid digital twin running online as a Cybernetica CENIT application. The main predictions of the physics-based model are 1) HF emissions levels from the pot room to the GTC, which can be compared to process data, and 2) the HF content of secondary alumina leaving the GTC and proceeding to the potroom, which cannot currently be compared to measurements. The digital twin uses the residuals of the HF emissions predictions to develop a data-driven model for adaption of the first-principles model, thus generating a hybrid digital twin.

Input data for the hybrid digital twin is made available online using the Cybernetica OPC UA Server toolbox component. Amongst the online input data required for the hybrid digital twin is weather data – both the current weather and the weather forecast, such that the hybrid digital twin can anticipate future changes in HF emissions and content. The weather data is collected by the SINTEF Weather API, which has now been embedded into an online service where the most recent weather measurements and forecast are retrieved and prepared from the databases of the Norwegian Meteorological Institute (MET Norway).

Hydro recently installed a new laser sensor to measure HF concentrations in the process fumes. The sensor is capable of measuring the passage of HF in a conduit through a glass window that provides a view inside the conduit to the laser. The sensor gives precise readings but is affected by several environmental challenges, e.g. small changes in position due to vibrations, or the layer of the glass window facing the inside of the conduit losing clearness due to chemical erosion by the inner fumes. These challenges can, if not detected, degrade the sensor's readings to a point of rendering them unusable. Work has then been initiated on a framework to detect and possibly predict the status of the sensor's readings. Currently, an anomaly detection framework is being put into place, based on ML methods such as autoencoders, that can analyze and flag a stream of data for suspected anomalies.

Other options are also being considered, for instance a numerical score for the trustworthiness of the measurements.

A challenge to the online digital twin is the strict firewall separating the process network from external data sources. MET Norway's historical weather data and weather forecast need to be retrieved from a server with internet access and then sent behind the firewall to be made available for the server running the digital twin on the process network. As of now, the pilot lacks a permanent solution for sending the weather data behind a firewall and relies on a VPN tunnel that is temporarily open for short-term testing.

The pilot challenges in the Hydro pilot which relate to WP5 are summarized in Table 5 and Table 6. For more in-depth description of the data acquisition / collection challenges and solutions, we refer to deliverable D4.3

#### Table 5: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for the Hydro pilot

Analytics Models	• N/A
Physical Models	<ul> <li>Challenge: No dynamic GTC model existed prior to pilot work</li> <li>Requirement: Model must be realistic and accurate while also being able to be evaluated many times faster than real time in order to enable hybrid techniques (state and parameter estimation) and nonlinear model predictive control</li> <li>Solution: Physical model is tested and tuned offline by Cybernetica Modelfit, Cybernetica RealSim and implemented online by Cybernetica Cenit</li> </ul>
Machine Learning	<ul> <li>Challenge: Quality of vital sensor measurements (HF) is difficult to assess</li> <li>Requirement: Data-driven/machine learning approaches should give a safe, secure result such as to ensure best online operation of the hybrid Digital Twin</li> <li>Solution: Anomaly detection algorithms are carefully tested and validated offline</li> </ul>
Hybrid Digital Twins	<ul> <li>Challenge: Combination of various data driven and Physical models require an approach for understanding the relationships between the various models</li> <li>Requirement: Ensure consistent mapping and relationship between data driven and physical models.</li> <li>Solution: Provide mappings between the data driven and physical models, consider the usage of the AAS Digital Twin representation for supporting links and relationships.</li> </ul>
Cognitive Digital Twins	<ul> <li>Challenge: Self-learning, reactive/smart hybrid digital twins - for cognitive plants.</li> <li>Requirement: Methods for automatically identifying faults in the Hybrid Digital Twins should be developed.</li> <li>Solution: By employing fault detection methods, the system can let the operators know if the results should be discarded or</li> </ul>



trusted, and automatically suggest suitable actions for fault
mitigation.

#### Table 6: Pilot challenges for Digital Twin Visualisation and Control for the Hydro pilot

2D visualisation	<ul> <li>Challenge: Design of a new GUI for GTC operator support</li> <li>Requirement: Operators need to be able to evaluate the reliability of digital twin solutions and read recommendations for optimal alumina flow control</li> <li>Solution: Custom user interface to meet operator needs in Cybernetica Viewer</li> </ul>
3D/4D visualisation	• N/A
Control	<ul> <li>Challenge: Optimal control requires characterization of a feasible cost function and efficient tools for finding solutions. The involvement of decision maker / user in the loop needs to be addressed. Operations can be partly automated, but only partly.</li> <li>Requirement: Closed-loop control should minimize process disturbances while staying within operating constraints of GTC operation (silo levels, achievable feed rates, feasible recycle rates)</li> <li>Solution: Nonlinear model predictive control is available and implemented as part of Cybernetica Cenit</li> </ul>

#### 5.1.3 Utilisation of toolbox components

Four CogniTwin toolbox elements form an online data pipeline – beginning with external data retrieval, data being made available via an OPC UA server, processing of the data by the hybrid digital twin and the (planned) display of digital twin predictions for operator support.

SINTEF Weather API ⇒ Cybernetica OPC UA Server ⇒ Cybernetica CENIT ⇒ Cybernetica Viewer

#### DT-SPIRE-06-2019 (870130) Deliverable D5.3

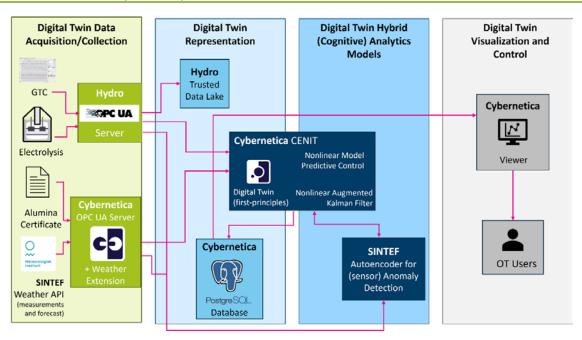


Figure 2: Utilisation of toolbox components in the HYDRO pilot

Data Sources:

- Weather data is collected from Norwegian Meteorological Institute
- Process data from cells and GTC

Data Processors:

- MAI queries and collects weather data through MET API, prepares data for OPC UA
- Hydro OPC UA and GTC OPC UA expose process data to data processors
- OPC UA collects data from various sources and makes it available to Cybernetica CENIT, Cybernetica ModelFit & Cybernetica Viewer
- Cybernetica CENIT and Cybernetica ModelFit perform online/offline optimization, respectively

Data Sinks:

- Optimal control sequence as calculated by Cybernetica CENIT is implemented in control system
- Data is visualized graphically to the user using Cybernetica Viewer.

### 5.2 ELKEM Pilot

#### **5.2.1 User requirements specification and user stories**

Processing of liquid alloys require precise information about compositions, temperature and amounts, preferably continuous but this is difficult to achieve with conventional measurement techniques. By applying Infrared Cameras (IR) there is potential to measure more frequently but also enabling access to process parameters such as slag in the liquid metal, which traditionally has not been properly accounted for in the decision support model. It is expected that improvements both in

yield and quality will be possible by adapting new measuring methods, image analysis and mass/energy balance models.

## Table 7: Use Case Elkem-UC-1

Use Case Template	Description
Use Case Name	Temperature in tapping stream
Use Case ID	Elkem-UC-1
User story expression of use	As process responsible for furnace operation, I want to be able to
case	measure the temperature of the tapped ferrosilicon to establish
	a link between output and furnace operation and adjust
	operation as necessary when the temperature gets too low.
Goal	Automated temperature measurement of tapping stream
Measurable KPIs for the goal	Post-taphole yield
(if any)	
Actors and stakeholders	Furnace operators, process owners
involved	
Input data	Infrared images
Output data / actions	Temperature of tapping stream
Summary description – Main	Installation of IR camera – programming – recording of data –
success scenario	development of algorithm to determine temperature – visual
	output to operator/process owner
Extensions, exceptions,	
variations	
Possible generalisation of use	Setup and methods can be applied to all plants
case	
Use case analysis – related to	This use case will be supported through the following Digital
which Digital Twin pipeline	Twin pipeline steps: Digital Twin Data Acquisition, Digital Twin
steps	Representation, Hybrid/Cognitive Digital Twin Generation,

#### Table 8: Use Case Elkem-UC-2

Use Case Template	Description
Use Case Name	Slag in refining ladle
Use Case ID	Elkem-UC-2
User story expression of use	As process responsible for refining and alloying, I want to know
case	the amount of slag that accompanies the liquid metal at the start
	of the refining process so that proper adjustments to the
	operator decision support can be made
Goal	Quantify the amount of slag per ladle
Measurable KPIs for the goal	Product quality, post taphole yield
(if any)	

DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

Actors and stakeholders	Refining operators, post taphole process owner
involved	
Input data	Infrared images of liquid metal surface in refining ladle
Output data / actions	Kilogram slag per tap – modification of total metal weight
Summary description – Main	Installation of IR camera – programming – recording of data –
success scenario	development of algorithm to determine slag coverage and
	thickness – visual output to operator/process owner
Extensions, exceptions,	
variations	
Possible generalisation of use	Setup and methods can be applied to all plants where slag
case	amount in ladle is important for correct liquid metal processing
Use case analysis – related to	This use case will be supported through the following Digital
which Digital Twin pipeline	Twin pipeline steps: Digital Twin Data Acquisition, Digital Twin
steps	Representation, Hybrid/Cognitive Digital Twin Generation,

#### 5.2.2 Current status and ongoing challenges

Recent activity in the Elkem case has focused on online implementation of CogniTwin toolbox elements. The collective system formed of toolbox elements is aimed at supporting the operators of the post taphole process.

A first-principles model has been developed and implemented as a Cybernetica Model and Application Component and has been tuned to the plant offline using Cybernetica ModelFit. The model is employed online using Cybernetica CENIT. Cybernetica CENIT uses the model to predict temperature and concentration, which in turn is used in a nonlinear model predictive control scheme to calculate the optimal amount of each addition.

Not all measurements were available on OPC, therefore a bespoke Cybernetica OPC UA server was created to query relevant databases and publish the measurements to OPC. This server will also be used to connect the data from the different toolbox elements.

Infrared cameras have been installed for the tapping station, refining station and will be installed at the casting station. Currently images are gathered for offline analysis. The offline analysis will result in a set of machine vision algorithms, which will provide additional measurements; most importantly temperature of the metal during tapping, refining, and casting. Additional information may be extracted from the thermal cameras, for example ladle slag coverage, metal/slag ratio in tapping, and chemistry/dynamics during refining.

In parallel a data-driven model for estimating the amount of slag tapped from the furnace is being developed. The model will be developed using machine-learning algorithms with data from the furnace, the post taphole process and the machine vision algorithms.

The hybrid digital twin that is being developed for this pilot will combine the elements described above. Cybernetica CENIT will use a recursive estimation algorithm using data from the IR-cameras and the data-driven slag model to correct the first-principles model online.

The pilot challenges in the Elkem pilot which relate to WP5 are summarized in Table 9 and Table 10. For more in-depth description of the data acquisition / collection challenges and solutions, we refer to deliverable D4.3.

#### Table 9: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for the Elkem pilot

Analytics Models	Challenge: Low correlation between measured variables and
(Plant DT with	desired output.
ML/AI) (task 5.1)	<ul> <li>Requirement: Accurate estimation of key variables used by</li> </ul>
(by task 5.1)	physical model.
	• Solution: Utilize data from infrared cameras and physical model
	when training ML model
Physical Models	Challenge: Adapt physical model to pilot
	Requirement: Model must be realistic and accurate while also
	being able to be evaluated many times faster than real time in
	order to enable hybrid techniques (state and parameter
	estimation) and nonlinear model predictive control
	<ul> <li>Solution: Physical model is tested and tuned offline by Cybernetica</li> </ul>
	Modelfit, Cybernetica RealSim and implemented online by
	Cybernetica Cenit
Machine Learning –	• <b>Challenge</b> : Machine vision algorithms are difficult to develop for
+ any needs for	every scenario due to moving equipment and a harsh environment
Deep Learning	Requirement: Data-driven/machine learning approaches should
/imagery,	give a safe, secure result such as to ensure best online operation of
Performance (tasks	the hybrid Digital Twin
5.2/5.3)	<ul> <li>Solution: Automatic detection of regions of interest in images of</li> </ul>
	moving equipment.
Hybrid Digital Twins	Challenge: Combination of various data-driven and physical
(by task 5.4)	models require an approach for understanding the relationships
	between the various models.
	Requirement: Integration between data-driven and physical
	models and results from machine vision algorithms
	Solution: Cybernetica OPC UA Server to make data available
	between the different applications
Cognitive Digital	Challenge: Introduce cognition through knowledge and learning
Twins	from past data and situation in order to provide operator guidance
(by task 5.5)	Requirement: Interact in a suitable way with operators to provide
	guidance and recommendations
	Solution: Ensure inclusion of operators knowledge combined with
	system situation understanding.

### Table 10: Pilot challenges for Digital Twin Visualisation and Control for the Elkem pilot

2D, 3D/4D visualisation and Human interaction (including speech) (by task 5.1)	<ul> <li>Challenge: It is difficult for the operator to act on recommendations from digital twin hybrid unless it is visualized</li> <li>Requirement: Data from the decision support system needs to be made available into the control room operator in an easy-to-act-upon, visual manner</li> <li>Solution: Use Cybernetica Viewer to visualize data</li> </ul>
Control – system interaction (related also to autonomous Cognitive Twins) (by task 5.5)	<ul> <li>Challenge: Optimal control requires characterization of a feasible cost function and efficient tools for finding solutions. The involvement of decision maker / user in the loop needs to be addressed. Operations can be partly automated, but only partly.</li> <li>Requirement: Closed-loop control should minimize process disturbances while staying within operating constraints</li> <li>Solution: Nonlinear model predictive control is available and implemented as part of Cybernetica Cenit</li> </ul>

#### 5.2.3 Utilisation of toolbox components

Four CogniTwin toolbox elements, together with Cybernetica InSight, form an online data pipeline – beginning with external data retrieval, data being made available via an OPC UA server, processing of the data by the hybrid digital twin and the display of digital twin predictions for operator support. An illustration of the pipeline is shown in Figure 3.

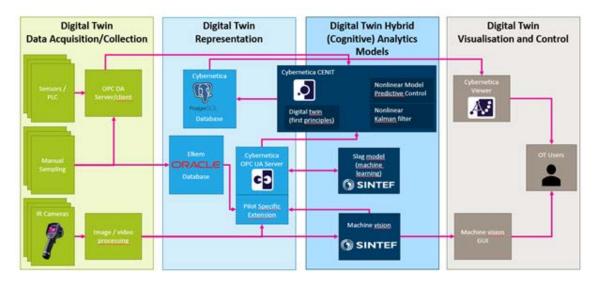


Figure 3: Pipeline for the Elkem pilot

### 5.3 SUMITOMO Pilot

#### 5.3.1 User requirements specification and user stories

The Engineering pilot (Sumitomo SHI FW) in WP3 considers monitoring and control of fouling on the heat exchange surfaces in biofuel combustion. The fouling monitoring can be approached from various angles, using direct process data or model-based approaches. On-line characterization of the incoming

fuel feed is expected to provide significant improvement to fouling monitoring. The final goal is to optimize the boiler maintenance, e.g. maximize profits vs. costs in soot blowing or long term maintenance.

#### Table 11: Use Case SFW-UC-1

Use Case Template	Description
Use Case Name	Boiler fouling management
Use Case ID	SFW-UC-1
User story expression of use case	As an asset manager I want to ensure that the heat exchange surfaces of the energy boiler are cleaned so that the benefits- losses are optimized, both in the short term (steam losses vs. improved heat transfer) and in the long term (maintenance costs vs. erosion of boiler parts). As a plant operator I want a clear indication of when to clean heat exchange surfaces and in what way.
Goal	Primarily to have an indication of when to start the soot blowing sequence (i.e., pause time between soot blowing), secondarily to have the properties of soot blowing sequence optimized if possible (length of soot blowing sequence, indication of which soot blowers to run, order of running the individual soot blowers) and to adapt soot blowing process to the process operation (e.g., regarding the load levels and production schedule)
Measurable KPIs for the goal	An economic cost function to be optimized needs to be
(if any)	developed. It will be linked with plant KPI's on efficiency and availability.
Actors and stakeholders	Boiler owner, boiler operator, boiler supplier, digital service
involved	provider
Input data	Decisions are based on on-line/historical process operation data.
Output data / actions	Output will indicate the need for cleaning the heat exchangers or their state of fouling, and suggest/automate management actions (e.g., starting a soot blowing sequence or the optimal pause time between soot blowing sequences)
Summary description – Main	The cleanness of surfaces is monitored on-line. The costs of soot
success scenario	blowing are continuously evaluated against the heat losses due
	to soot covered surfaces, and control/maintenance actions are timed optimally and performed in an optimal way.
Extensions, exceptions,	There can be various alternative/supporting ways for gaining
variations	information on surface cleanness: using direct and indirect measurements from heat exchange surfaces, physical and/or data-driven models for phenomena, knowledge of recent plant

**Deliverable D5.3** 

	operation (e.g. on past fuel properties), specialized
	measurements, etc.
	Knowledge gained during past soot blowing sequences is used to
	improve future operation (a learning system).
Possible generalisation of use	Fouling is a common and significant phenomenon in all
case	combustion boilers. Potentially, the methodology and tools
	developed here can be expanded to any other industrial case in
	which heat exchange surfaces are regularly cleaned and in which
	basic quantities like temperatures and pressures are being
	measured or monitored. Fusion of models and on-line data
	provides a fundamental tool for solving a large variety of
	monitoring problems in the heavy industry.
Use case analysis – related to	This use case will be supported through the following Digital
which Digital Twin pipeline	Twin pipeline steps: Digital Twin Data Acquisition,
steps	Hybrid/Cognitive Digital Twin Generation, Digital Twin
	Visualisation and Control

#### 5.3.2 Current status and ongoing challenges

In phase I, the fuel state estimation (FUSE) component was constructed for characterization of different fragments in the incoming fuel. FUSE consists of a physical model for the CFB furnace (combustion, fluidization and heat transfer), a PMFIR tool for tuning the physical model with process historical data, and an unscented Kalman filter (UKF) tool for on-line state estimation of the uncertain input fuel fragments in the fuel. The component algorithmic/methodological development is complete. The component has been successfully applied to two plant cases. However, the feasibility of the component depends on the variability in fuel fractions, i.e., the current process operating policy.

The current work (phase II) on the development of the fouling monitoring (FouMon) component focuses on monitoring of fouling at the heat exchange surfaces. A physical model for a heat exchanger has been developed and tuned to pilot superheater conditions. Improved state estimation is sought via implementation of ensemble Kalman filtering (EnKF) tool. An alternative approach has been developed based on subspace identification, enabling the application of linear state estimation and a highly reduced computational load in online applications. The algorithmic tools use much of the outcomes of the SubFUSE component. The FouMon approach has been succesfully tested with pilot plant data. The development work is ongoing.

The future will consider optimization of soot blowing (FouCon) in phase III, based on information provided in fouling monitoring and fuel characteristics (FouMon and FUSE), and including the development of problem setup, suitable optimization tools, and experimentation with the pilot. It is expected that the cognitive features of a digital twin supporting integration and decision making will play an important role when deriving approaches for the management of the fouling phenomena. Phase III eventually expects to look at improvements to automatic control and/or prescriptive maintenance procedures.

The pilot challenges in the Sumitomo pilot which relate to WP5 are summarized in Table 12 and Table 13. For more in-depth description of the data acquisition / collection challenges and solutions, we refer to deliverable D4.3

# Table 12: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for SUMITOMO pilot

Analytics Models	<ul> <li>Challenge: Optimization tools require proper formulation and are highly dependent on the type of the problem.</li> <li>Requirement: High robustness of solutions is required, especially with automated actions without human decision-making. Obtaining of solutions (even suboptimal) should be ensured.</li> <li>Solution: Careful selection of cost function vs. solvers.</li> <li>Toobox components: FouCon is to include optimization</li> </ul>
Physical Models	<ul> <li>Challenge: Physical models for boilers are highly complicated to build and maintain. Tuning of physical models is laborous and may lack data.Physical models for heat exchangers are complicated and require maintenance.</li> <li>Requirement: Model must provide realistic and accurate enough estimates/predictions.</li> <li>Solution: Modelling is based on laws of physics and validated model structures.</li> <li>Toolbox components: FUSE including PMFIR, FouMon</li> </ul>
Machine Learning	<ul> <li>Challenge: Fusion of physical models and process measurement data is complicated as data may be very sparse and models not accurate/detailed enough.</li> <li>Requirement: Algorithms must survive with sparse data, and iterative computations can not be excessive.</li> <li>Solution: Solutions will be sought by using up-to-date model-based state estimation techniques.</li> <li>Toobox components: FUSE including UKF, FouMon including EnKF</li> </ul>
Cognitive Twin	Cognitive DT requires abilities in human-in-the-loop considerations and feedback of HDT outcomes to the plant/KPI. The challenges are in extending from monitoring to prediction, and establishing feasible approaches in optimization. These are developed in FouCon.

### Table 13: Pilot challenges for Digital Twin Visualisation and Control for SUMITOMO pilot

2D visualisation	N/A	
3D/4D visualisation	N/A	
Control	•	<b>Challenge</b> : Optimal control requires characterization of a feasible cost function and efficient tools for finding solutions. The involvement of decision maker / user in the loop needs to be addressed. Operations can be partly automated, but only partly.



rr	
•	Requirement: Solutions must be robust in the industrial
	environment.
•	Solution: Suitable solutions will be selected by focusing on
	formulation of the cost function and feasible optimization
	techniques.
•	Toolbox components: FouCon

#### 5.3.3 Utilisation of toolbox components

The estimator in FUSE was based on applying a detailed circulating fluidized bed (CFB) furnace model in conjunction with nonlinear Bayesian state estimation tool, both implemented in Matlab. A generalized version of the fuel characterization UKF tool – enabling application of other prediction models and setups of plant measurements – was provided for the COGNITWIN toolbox as a set of Matlab code. An example of a setup of data communication was demonstrated via an OPC-UA tool, consisting of free software (Prosys) and existing properties of Matlab (Mathworks) and StreamPipes (Apache). The included PMFIR provides a tool for tuning the physical model with historical process operation data; the UKF tool implements Bayesian model-based state estimation able to exploit a physical process model. Both PMFIR and UKF/EnKF are general purpose tools which can be applied to solve various tuning and state estimation problems in process engineering. The tools are described in more detail in the Appendices of component descriptions and past deliverables. The FouMon component uses the same structure, in that the model and estimators are built in Matlab, and a connection to StreamPipes and plant data is made possible via OPC-UA.

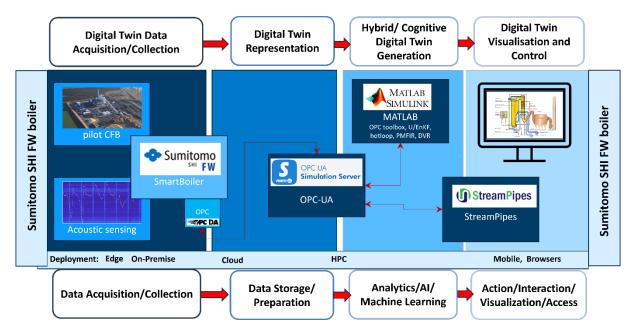


Figure 4: Pipeline for the Sumitomo pilot

### 5.4 NOKSEL Pilot

#### 5.4.1 User requirements specification and user stories

#### Table 14: Use Case NOKSEL-UC-1

Use Case Template	Description
Use Case Name	Industrial Big Data Processing
Use Case ID	NOKSEL-UC-1
User story expression of use	As a user, I want conduct data pre-processing so that the data set
case	becomes ready to be used for ML/DL model training.
Goal	To prepare data for ML/DL training
Measurable KPIs for the goal	There will be no empty cell in the data set
(if any)	All data fields in the data set will be labelled
Actors and stakeholders involved	Maintenance operator or maintenance manager
Input data	Sensor data, and data from the PLC (alarm and status data) used for remaining useful life estimation and type of ML/DL algorithm to be trained
Output data / actions	Quality data that is cleaned, scaled, filled in, analyzed, normalized, and preprocessed and get ready to be used for ML/DL model training
Summary description – Main success scenario	<ol> <li>Data set is selected and uploaded.</li> <li>Rules to fill in empty data is selected by the user.</li> <li>Data is normalized.</li> <li>Mean, median and variation of the data set is calculated.</li> <li>Processed data is stored.</li> </ol>
Extensions, exceptions, variations	None
Possible generalisation of use case	The objective function of the ML/DL models to be trained may be functions other than predictive maintenance.
Use case analaysis – related to which Digital Twin pipeline steps	This use case will be supported through the following Digital Twin pipeline steps (Delete if not related): Digital Twin Data Acquisition, Digital Twin Representation

### Table 15: Use Case NOKSEL-UC-2

Use Case Template	Description
Use Case Name	Real time anomaly detection using pretrained ML/DL models
Use Case ID	NOKSEL-UC-2



DT-SPIRE-06-2019 (870130)

Deliverable D5.3

User story expression of use	As a user, I want to execute selected ML/DL models so that I
case	detect anomalies in real time.
Goal	To estimate anomalies on stream data.
Measurable KPIs for the goal	None
(if any)	
Actors and stakeholders	Maintenance operator and/or maintenance manager
involved	
Input data	Sensor data, PLC data, alarm and status data
Output data / actions	Anomalies will be detected by the selected ML/DL models and
	the results will be graphically displayed
Summary description – Main	1. The users select the pre-trained ML/DL model set to be
success scenario	executed on the stream data.
	2. The selected models are executed on the stream data.
	3. The results of the selected ML/DL models are calculated
	and displayed to the user
Extensions, exceptions,	If the user does not select any ML/DL model a warning message
variations	is displayed to the user in order to state that at least one model
	must be selected.
Possible generalisation of use	The pretrained ML/DL models can be generated for purposes
case	other than predictive maintenance and anomaly detection
Use case analysis – related to	This use case will be supported through the following Digital
which Digital Twin pipeline	Twin pipeline steps (Delete if not related): Hybrid/Cognitive
steps	Digital Twin Generation, Digital Twin Visualisation and Control

## Table 16: Use Case NOKSEL-UC-3

Use Case Template	Description
Use Case Name	Big Data Visualization
Use Case ID	NOKSEL-UC-3
User story expression of use	As a user, I want visualize past sensor data so that I see the data
case	trend of sensors.
Goal	To display collected past sensor data in graphs.
Measurable KPIs for the goal	The zoom in and zoom out of displayed data should be <200ms.
(if any)	
Actors and stakeholders	Maintenance operator, maintenance manager
involved	
Input data	Past sensor data collected
Output data / actions	Sensor data displayed in graphics with respect to time
Summary description – Main	1. The user wants to visualize past sensor data in graphs
success scenario	2. The data is displayed to the user



Deliverable D5.3

Extensions, exceptions, variations	When the user zooms in and/or zooms out the time scale of the x-axis changes, and the data is displayed in the graphs accordingly
Possible generalisation of use case	The visualized big data does not need to belong to the sensors in the NOKSEL pilot, any time series big data can be graphically visualized.
Use case analysis – related to which Digital Twin pipeline steps	This use case will be supported through the following Digital Twin pipeline steps (Delete if not related): Digital Twin Representation, Digital Twin Visualisation and Control

#### Table 17: Use Case NOKSEL-UC-4

Use Case Template	Description
Use Case Name	Operational Data Visualization
Use Case ID	NOKSEL-UC-4
User story expression of use	As a user, I want visualize operational data so that monitor the
case	real-time condition of the SWP machinery.
Goal	To monitor operational data regarding the SWP components
Measurable KPIs for the goal (if any)	Latency in visualization > 200ms
Actors and stakeholders involved	Maintenance operator, maintenance manager
Input data	Sensors and PLC
Output data / actions	Graphical representation of operational data
Summary description – Main success scenario	<ol> <li>The user selects the component of the SWP machine for which the condition is to be monitored.</li> <li>The system displays the 3D model of the component and graphics associated to the hotspots on the component.</li> <li>The user selects the hotspot.</li> <li>The system displays the values of the sensors associated with the selected hotspot in suitable graphics.</li> </ol>
Extensions, exceptions, variations	None
Possible generalisation of use case	The component visualized may belong to a different machine other than SWP, and the sensor set installed on the component may be different.
Use case analysis – related to which Digital Twin pipeline steps	This use case will be supported through the following Digital Twin pipeline steps (Delete if not related): Digital Twin Visualisation and Control



## Table 18: Use Case NOKSEL-UC-5

Use Case Template	Description
Use Case Name	New trained ML/DL model inclusion
Use Case ID	NOKSEL-UC-5
User story expression of use	As a user, I want add a new pre-trained ML/DL model so that it
case	can be used for anomaly detection in real-time on stream data
Goal	To include a new ML/DL model in the list of the models to be
	executed without updating the source code
Measurable KPIs for the goal	None
(if any)	
Actors and stakeholders	System Administrator, maintenance manager
involved	
Input data	Pre-trained ML/DL models
Output data / actions	Updated list of ML/DL model
Summary description – Main	1. The user selects the new model to be added to the list of
success scenario	pretrained ML/DL models
	2. The system displays the list of files to be selected.
	3. The user selects the model to be included in the model
	set.
	4. The selected model is added to the list of pre-trained
	ML/DL models.
Extensions, exceptions,	If the file selected by the user is not a model, the system displays
variations	a warning message to the user.
Possible generalisation of use	The pre-trained model can be for a different purpose other than
case	anomaly detection.
	Any process can be called and added to a multi selection list.
Use case analysis – related to	This use case will be supported through the following Digital
which Digital Twin pipeline	Twin pipeline steps (Delete if not related): Hybrid/Cognitive
steps	Digital Twin Generation, Digital Twin Visualisation and Control

#### *Table 19: Use Case NOKSEL-UC-6*

Use Case Template	Description
Use Case Name	Synthetic data generation for generic electro mechanical
	components
Use Case ID	NOKSEL-UC-6
User story expression of use	As a user, I want to generate synthetic data for electro
case	mechanical components so that I create machine break down
	and/or faulty cases.
Goal	To generate synthetic data needed for the ML/DL training



DT-SPIRE-06-2019 (870130)

Deliverable D5.3

Measurable KPIs for the goal (if any)	None
Actors and stakeholders involved	Maintenance Manager
Input data	Matlab model for the electro-mechanical components,
	parameters, real-data, limits
Output data / actions	.mat file including the faulty data generated
Summary description – Main	1. The user selects the Matlab model for the electro
success scenario	mechanical component.
	2. The system loads the model.
	3. The user installs the virtual sensors.
	4. The system generates data including faulty cases.
	5. The system saves the data in .mat file.
Extensions, exceptions,	None
variations	
Possible generalisation of use	Different model files and sensor types can be used to generate
case	synthetic data
Use case analysis – related to	This use case will be supported through the following Digital
which Digital Twin pipeline	Twin pipeline steps (Delete if not related): Hybrid/Cognitive
steps	Digital Twin Generation

#### 5.4.2 Current status and ongoing challenges

The most important challenges determined so far are related to the data set: 1) not sufficient data has gathered on system break downs, 2) some conflict has been determined in between the data set provided by the experts, and the system collected data.

The collected data does not have many machine breakdowns, in order to cope with the first challenge, synthetic data has been created to align the data set. While the machine is working, data is collected. Missing failure related real data has been solved partially by means of a tool used to generate synthetic data as close as possible to the real cases.

Labelling a dataset for failed data is a challenge, not only does it require real data for machine failures, but also should expert knowledge be inserted into the models. To cope with the second challenge, several online and face to face meetings with the domain experts have been conducted, and thorough analysis of collected data has been performed. Data labelling took many of the effort spend for TMML related studies.

Multiple methods have been used for feature selection. Feature importance method is applied until the cumulative sum reached to 0.99, Pearson correlation, was utilized. Analysis of variance was performed to determine the distribution of the sensors and data diversity.

Based on the real data collected, followings were determined: Electric current and vibration values of the SWP machine components should be checked in order to detect the mechanical failures. The most common unplanned machine downtime is related to Wire Cut, and the most important feature for the detection of Wire Cut is the electric current values coming from the Welding Machine. The components that affect the machine downtimes and the sensors that can impact the machine downtimes have

been determined. Pandas profiling library was used, which allows us to to analyze the attributes on the entire data set one by one. to analyze the attributes on the entire data set one by one. As a different method, a size reduction study was carried out by determining the qualities that cover each other. With the determination of the qualifications, machine learning and deep learning studies started. Depending on the type of algorithm, standard or 0-1 (min-max) scaling was performed on the features.

Seven different algorithms were used. Classification and regression studies were performed with SVM, RF, GBT, LSTM, KNN, LGBM and MLP algorithms. During the classification algorithms, it was made with a different label before the failure in order to ensure the pre-detection of the data (MultiClassClassification). This label has the quality of a failure. In another scenario, the data before the failure is labelled and the information about the time of failure and the moment of stopping is not added to the data. In this way, it is aimed that the algorithms capture a pattern here by labelling only the data before the failure. In the regression studies, the dependent variable RUL was added to the studies.

High accuracy results were obtained during the analysis of energy data. It is possible to predict the energy that the factory will consume in the coming months. Energy efficiency is achieved with the prevention of downtimes. Future energy consumption data is provided by the regression module of the LGBM algorithm. the correlations of energy consumption with all other qualities were calculated and reported. Meanwhile, it has been observed that the 'HGÜ-2 Pressure Line Filter' attribute has a high correlation with the SWP Energy analyzer. These correlation values were examined during the meetings with the experts in the field. The conclusion that the contamination of the filter would increase the current of the motor and increase the power consumption was confirmed by the expert engineers in the field, and the filter was changed.

The pilot challenges in the Noksel pilot which relate to WP5 are summarized in Table 20 and Table 21. For more in-depth description of the data acquisition / collection challenges and solutions, we refer to deliverable D4.3

## Table 20: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for NOKSEL pilot

Analytics Models	<ul> <li>Challenge: Data cleaning and labelling</li> <li>Requirement: Pre-processing for ML/DL for predictive maintenance</li> <li>Solution: Industrial Big Data Processing implementation</li> <li>Challenge: The number of features is high</li> <li>Requirement: Efficient ML models generation</li> <li>Solution: PCA on data</li> <li>Challenge: Difficulty in analysis due to high volume of data</li> <li>Requirement: Efficiency and performance</li> <li>Solution: Pandas Profiling is used</li> </ul>
Physical Models	Challenge: Missing models Requirement: 1st order model generation Solution: Models are generated in Matlab Simulink
Machine Learning	<ul> <li>Challenge: Algorithms did not learn very well on the collected data set</li> <li>Requirement: Quality ML models trained</li> <li>Solution: A thorough analysis was conducted and feature selection is applied</li> <li>Challenge: Missing data</li> <li>Requirement: ML/DL model training for predictive maintenance</li> <li>Solution: Synthetic data generation and data balanced sampling</li> </ul>
Cognitive Digital Twins	<ul> <li>Challenge: Ensure that the predictive maintenance also takes into accound operators knowledge and experiences</li> <li>Requirement: Combine the Digital Twin based recommendations with the inclusion of operators knowledge</li> <li>Solution: Extract the tacit knowledge from experts as a basis for developing the cognition. Partially by applying unusuality detection on past data</li> </ul>

## Table 21: Pilot challenges for Digital Twin Visualisation and Control for NOKSEL pilot

2D visualisation	Challenge: Slowness in big data visualization	
	Requirement: Latency < 200ms	
	Solution: View creations for zoom in and zoom outs	
3D/4D visualisation	Challenge: Custom 3D visualizations	
	Requirement: none	
	Solution: User preferred visual elements adjustments (i.e. colour, light,	
	etc.)	
Control	No control is planned for the NOKSEL pilot	

#### 5.4.3 Utilisation of toolbox components

The toolbox component set utilized for the NOKSEL pilot contains the followings: TEKNOPAR Industrial Big Data Analytics (IDBA) renamed as TIA AI, TEKNOPAR Machine Learning Library (TMML) renamed as TIA AI, TEKNOPAR Industrial Control Panel and Visualisation (ICPV) renamed as TIA UX, TEKNOPAR StreamPipes (TStreamPipes ML) renamed as TIA ASP, and a synthetic data generator named as TEKNOPAR TMat-SynDat renamed as TIA DATA-GEN.

The components are all used together in a pipeline. Big Data analyzed by TIA DATA is used as input in TIA ML. Outputs of TIA DATA, TIA ASP and TMML are visualized by TIA UX. Data generated by TIA DATA-GEN can be used in TIA AI and/or TIA ASP.

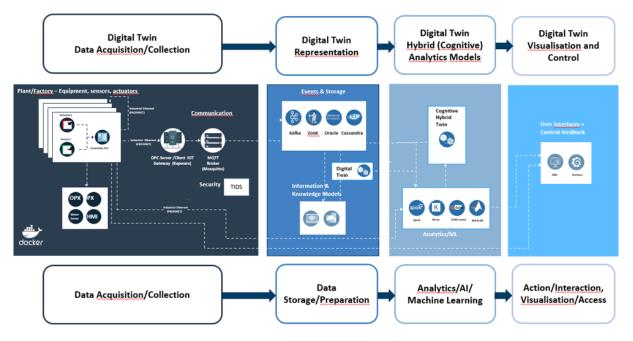


Figure 5: Pipeline for the NOKSEL pilot

6

## 5.5 SAARSTAHL Pilot

### 5.5.1 User requirements specification and user stories

#### Table 22: Use Case SAG-UC-1

Use Case Template	Description
Use Case Name	(Rolling Mill) Tracking System
Use Case ID	SAG-UC-1
User story expression of use	As a SAG Data Scientist, I want a seamless tracking such that
case	process data throughout the entire production process can be
	linked to the respective billet. This closes the missing link in the
	digital twin representation of individual billets and lays the
	groundwork for the usage of innovative AI tools spanning the
	production process, aiding in optimizing production routines and
	reducing environmental impact.
	As a rolling mill technician, I want a linkage of blooming train
	rolling parameters with finished goods & surveillance of
	blooming train, e.g. for predictive maintenance/root cause.
Goal	A seamless (near) real-time tracking of billets in the rolling mill
Measurable KPIs for the goal	Tracking system performance, i.e. ratio of correctly tracked
(if any)	billets, inference speed of tracking system.
Actors and stakeholders	Rolling mill personnel, works council, IT integration, technical
involved	development of system, maintenance of system.
Input data	Video data from (rtsp) camera stream, tabular data (datetime,
	character string, numerical) provided in JSON format
Output data / actions	Tabular data (datetime, character string, numerical) provided in
	JSON format; optional: video stream output.
	With sufficient inference speed, resulting action could be
	blooming train operator interfering to prevent deviation before it
	occurs if system triggers a warning with sufficient lead time.
Summary description – Main success scenario	<ul> <li>Identify/Assess (investment) requirements based on local site characteristics</li> <li>Sot up possessory infractructure</li> </ul>
	<ul> <li>Set up necessary infrastructure</li> <li>Collect/Generate training data for Deep Learning network</li> </ul>
	<ul> <li>Develop DL model for localization of to-be-tracked goods</li> <li>Develop tracking system</li> </ul>
	<ul> <li>Integrate tracking system into ambient infrastructure</li> </ul>
Extensions, exceptions, variations	None
Possible generalisation of use	Tracking of miscellaneous goods in a large variety of possibly
case	

	demanding environments, especially also over large scales. Current implementation is for moderate velocity of to-be-tracked goods, but could be adapted for high speed settings.
Use case analysis – related to which Digital Twin pipeline steps	This use case will be supported through the following Digital Twin pipeline steps: Digital Twin Data Acquisition, Digital Twin Representation, Hybrid/Cognitive Digital Twin Generation, Digital Twin Visualisation and Control

#### 5.5.2 Current status and ongoing challenges

The pilot challenges in the Saarstahl pilot which relate to WP5 are summarized in Table 23 and Table 24. For more in-depth description of the data acquisition / collection challenges and solutions, we refer to deliverable D4.3

# Table 23: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for SAARSTAHL pilot

Analytics Models	Analytical modelling for matching DL detections over consecutive frames
,	and different camera viewpoints
Physical Models	<ul> <li>Challenge: Billet location needs to be known accurately for ML algorithms to be able to optimize the process</li> <li>Requirement: Seamless billet tracking movement, constrained by physical environment</li> <li>Solution: Instance segmentation technology is used to track the billets</li> </ul>
Machine Learning	<ul> <li>Challenge: Need to analyse video imagery in order to understand the movement of billets</li> <li>Requirement: Need to have effective training and use of Image analytics including aerial photogrammetry including use Deep Learning Neural Network. Analytical modelling for matching DL detections over consecutive frames and different camera viewpoints.</li> <li>Solution: A visual debugger for neural networks Neuroscope with use of aerial photogrammetry.</li> </ul>
Cognitive Digital Twins	<ul> <li>Challenge: Support self-learning of the system also after initial machine learning.</li> <li>Requirement: The system should provide alerts and recommendations for operators and be able to learn continuously</li> <li>Solution: Provide interactive operator guidance</li> </ul>

# Table 24: Pilot challenges for Digital Twin Visualisation and Control for SAARSTAHL pilot

2D visualisation	•	Challenge: Suitable visualization for operators.
	•	Requirement: tracking of billets must be accurate and in real-time
	•	Solution: User preferred visual presentations in Neuroscope tool
3D/4D visualisation	N/A	

DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

Control	• <b>Challenge:</b> interfere in real time if critical situation is detected to prevent damage to billet or the roll stand
	Requirement: sufficiently short inference time of model and suitable visualization for operator
	<ul> <li>Possible Solution: alert operator with sufficient lead time and provide suggestion for action</li> </ul>

#### 5.5.3 Utilisation of toolbox components

There has been **substantial progress in the Saarstahl use case** related to the installation of optical tracking sensory hardware onsite at the Saarstahl production facility, the implementation of integrational components that allow the interoperability of the optical tracking system described in the Use-Case with Saarstahl production planning systems, and the photogrammetric capturing of the Saarstahl production plant to form a generative 3D model allowing the creation of training data for a tracking system.

A technical issue for the Use-Case is that from the realizable camera angles, the rolled bars cannot be separated optically. This means that rolled bars overlap in the image. A consequence is that the envisioned software architecture consisting of a neural network for the semantic segmentation (i.e. pixel-wise labelling) of rolled bars, followed by a manually programmed component for the linking of bars to sequences, will not work. The reason is that for overlapping bars, a semantic segmentation will lose the information that the two objects are separate bars – information that cannot be retrieved later. Rather than using this two-component approach, we will need to shift more responsibility to the machine learning system by using either a network from the class of multi-object detection and localization networks, or instance segmentation networks. Multi-object detection and localization networks means that the output of the network is a list of objects, each specified by a label and a bounding box. The technology is well understood and mature, but is likely to encounter problems with the very elongated shape of the rolled bars, which will lead to a very high degree of overlap between the bounding boxes. Instance segmentation means that the output of the network is a label per pixel (as for semantic segmentation), but different instances of the same object class are recognized and receive separate labels. The technology is more promising for very elongated objects, but in general is less mature and less understood, leading to a higher development risk and effort. A consequence for our toolbox components is that Neuroscope will be extended for support of the respective network types.



#### DT-SPIRE-06-2019 (870130) **Deliverable D5.3** Data Action/Interaction, Data Analytics/AI/ Storage/Preparation Visualisation/Access Acquisition/Collection Machine Learning (including data presentation (including Storage (including Data Ingestion (including data analytics, wironment/boundary/user Retrieval/Access/Queries Data processing, Streaming, Data ML model training, mode Extraction, Ingestion Storage, rotection, Curation, Integration action and interaction) verification, operation Different data types) Publication) iba

Figure 7: Illustration of the Saarstahl pilot pipeline

# 5.6 SIDENOR Pilot

#### 5.6.1 User requirements specification and user stories

#### 5.6.1.1 Background

The steel production in the melting shop process is based on three main steps. The first one lies in obtaining the liquid steel by melting iron ore (blast furnace, BF) or scrap (electrical arc furnace, EAF or induction furnace). The second step (Secondary Metallurgy, SM) is necessary for refining the liquid steel, and the last one solidifies the steel during ingot or continuous casting processes.

The steel industry uses the ladles, which are cylindrical or tapered vessels, for processing and transport the liquid steel. Once the liquid steel is produced in the furnace, it has to be stored and the steel makers use the ladles for this purpose. Depending on the steelmaker, the ladle can contain from tens to hundreds of tons of liquid steel.

Most ladles have installed a porous plug at the bottom. It is responsible of the liquid steel stirring, which is obtained by the injection of Ar or N through it. The rising flow of the liquid steel promotes the inclusion decantation from the steel to the slag and homogenizes the temperature and chemical composition.

The main objective of the SM is to obtain the correct chemical composition and have enough temperature for the casting process. In addition, there are several important tasks which must be

complete during the SM, as for example inclusion and gases removal. In order to reach these objectives, Sidenor has a SM mill consisting of two Ladle Furnaces (LF) and a Vacuum Degasser (VD). Each of the LFs have three electrodes, which are responsible of heating the slag, steel and ferro-additions. The ladle contains the steel and the slag for all the production process from the EAF to the end of the casting process. The liquid steel has a temperature of around 1700 K in the ladle, and it is covered with slag. The slag avoids the contact between the steel and the atmosphere, has lower density than steel and consists basically of lime and oxide elements. The slag conditioning can be improved during the SM by adding slag-formers.

In order to store the liquid steel and slag with such high temperature, the ladle is built with a strong outer steel shell and with an inside covered with layers of insulating materials (refractory). The refractory is made of ceramics and its most important properties are:

- handle the high temperature
- favourable thermal properties
- high resistance against erosion when in contact with steel and slag

The inner layer of refractory bricks, which are in contact with the liquid steel, are eroded by the interaction with the hot metal and the slag. The bricks are worn away by each heat, and after several heats they are so eroded that it is not safe to use the ladle one more time. The refractory is visually checked after each heat and depending on its state, the ladle may be used one more heat, put aside for repair or demolished. In case of repair, the upper bricks of the ladle, which are more eroded will be replaced by new ones. Once the ladle is repaired, it is taken back into production. Later, based on continuing visual inspection, the ladle may be deemed ready for demolition. In this case the entire inner lining is removed and relined with new bricks.

One important goal for Sidenor is to reduce the refractory costs by finding new methods for extending the refractory life. One of the key points is to use the same ladle during more heats without compromising the safety, but another important issue is to understand better the mechanism that drives the refractory erosion, in order to avoid as much as possible the worst working practices and so to prolong the working life.

#### 5.6.1.2 Initial status

The ladle refractory lifetimes vary a lot depending on many production parameters. Moreover, it is well known that if the ladle is not cyclically working, and it is out of use for several hours or days, the lifetime is substantially reduced.

In a previous stage of the project, the use of 3D camera was considered for measuring the erosion distribution inside the ladle, but this approach was rejected due to economical and operational costs. On the other hand, analyzing thermal images from outside of the ladle was considered to be a good solution. This latter technique is being explored by the consortium, because it can match the results obtained from developed models with the experience of the operators, who analyze the refractory situation, and takes into account the security, productivity and process parameters when using the tool.

#### 5.6.1.3 Target for development

The main goal is to develop a model whose results can help to decide whether the ladle could be used one more time safely. The model should exploit both historic and current production data. The model

added to the knowledge of the operators could be exploited and contribute to cognitive elements of the model.

In addition, the model should give information about which parameters dominates the ladle refractory erosion and give tips about which precautions may be taken to extend the refractory lifetime.

#### 5.6.1.4 User stories

#### Table 25: Use case Sidenor-UC-1

Use Case	Description
Use Case Name	Development of a physics-based model for ladle refractory wear
Use Case ID	Sidenor-UC-1
User story expression of use	As a user, I want the model to deliver erosion states and wall
case	temperatures so that this can be used as input to other models
	or to give a direct advice about if more use of the refractory is
	safe?
Goal	Predict the wall temperatures and the erosion state of the ladle
	refractory after N uses
Measurable KPIs for the goal	Enable one more safe use of the refractory, compared to pure
(if any)	human assessment
Actors and stakeholders	Sidenor personnel: End user
involved	Nissatech personnel: Integrate the model into StreamPipes
	application, hybrid modeling
	SINTEF personnel: Developers, hybrid modeling
Input data	Data provided as *.xlsx and *.csv files
Output data / actions	Output data, provided as *.xlsx and *.csv files and plots from
	Python (screen or *.png to file)
Summary description – Main	To be explained in paper on the PPBM method.
success scenario	
Extensions, exceptions,	Model has multiple simplifications, but also the potentiol to be
variations	improved where necessary.
Possible generalisation of use	The model can be extended to any ladle process which involves
case	refractory challenges and metal refining.
Use case analaysis – related to	This use case will be supported through the following Digital
which Digital Twin pipeline	Twin pipeline steps: Digital Twin Data Acquisition, Digital Twin
steps	Representation, Hybrid/Cognitive Digital Twin Generation, Digital
	Twin Visualization and Advice

#### 5.6.2 Current status and ongoing challenges

As model development has progressed additional operational data have been supplied to the development team. Using the physics-based model some data could not be explained by the model. This led to requests on the data – and additional information was provided from Sidenor. To improve the initial steel temperatures (input to the physics-based mode) measured temperatures from the

upstream Electric Arc Furnace (EAF) was provided. To support model validation Sidenor has performed a special experimental campaign with more frequent temperature samples than normal.

The data that has been provided on detailed erosion distribution, at time of repair and at time of demolition, can now be red and used to tune the model and for model validation.

The model has now been extended to simulate the entire lifespan of a ladle. The PPBM-model is now simulating the temperature evolution in metal, slag and refractory, during time with metal, and then simulating the temperature in the refractory during waiting time for the next use. During time with metal the erosion is simulated. The erosion from the previous heat is input to the next heat. We can now tune the model to reproduce the erosion at the time of repair. At repair, the model is repaired in the same manner as the physical ladle.

Currently, a tuning strategy have been developed, using all available data. Here ML-based methods are contributing.

The work has demonstrated the importance of the project development team having a very good understanding of the process. This is a prerequisite for building the best possible model. The Sidenor data is typical for this type of heavy industry and cannot be used directly without qualified preprocessing. It is therefore critical to have a close interaction with the pilot owner.

The Sidenor pilot case is progressing as planned and first model predictions have been demonstrated. The development team builds one physics-based model of the erosion process. The thermal part of this model indicated earlier that it might be possible to obtain a mapping of the internal erosion state of the ladle by combining a physics based model and thermal images of the outside wall of the ladle. Interesting, the physics-based model indicates that the steel is penetrating in-between refractory bricks and thereby increased the effective thermal conductivity of the refractory. This seems to be the only way to explain the thermal data. In addition, this is consistent with early ThermCam images that show that the ladle outside is hotter in the lower part where erosion, at least at the beginning heats, is less pronounced.

We are now combining the methods mentioned above, to arrive at a hybrid twin that exploits the best of the different approaches. Hybridization methods, based on StreamPipes, are under development, and are planned to orchestrate the hybridization, and later, the cognitive twin.

The pilot challenges in the Sidenor pilot which relate to WP5 are summarized in Table 26 and Table 27. For more in-depth description of the data acquisition / collection challenges and solutions, we refer to deliverable D4.3

# Table 26: Pilot challenges for Digital Hybrid and Cognitive Digital Twins for the Sidenorpilot

Analytics Models (Plant DT with ML/AI) (task 5.1) (by task 5.1)	<ul> <li>Challenge: Predict the maximum number of heats before demolition</li> <li>Requirement: Exploit all the data that can be provided by Sidenor. Data quality must be assessed. The model must provide an answer, at the end of each heat: Is one more heat safe?</li> </ul>
	<ul> <li>Solution: Arrive at the best ML/AI model that may explain the data, after normal cleaning.</li> </ul>



Physical Models	<ul> <li>Challenge: Predict the thermal evolution and the degradation of the refractory and based on that, predict the maximum number of heats before demolition</li> <li>Requirement: Model must be fast and tuneable to the available data. The model should qualitatively explain the relations that has been observed by operators.</li> <li>Solution: Develop a python-based numerical model, based on all possible simplifications (PPBM method)</li> </ul>
Machine Learning – + any needs for Deep Learning /imagery, Performance (tasks 5.2/5.3)	<ul> <li>Challenge: Interpret thermal images taken of the outside of the ladle</li> <li>Requirement: Based on the images, tell if the ladle refractory on the inside have problems.</li> <li>Solution: Combine predictions by the physics model with image analysis. This may indicate another special application, based on the PPBM-based model.</li> </ul>
Hybrid Digital Twins (by task 5.4)	<ul> <li>Challenge: Exploit the physics-based model and the data, to arrive at an improved model with improved prediction power.</li> <li>Requirement: Model should be able to incorporate the physical elements that has not been considered by the physics-based model.</li> <li>Solution: The ML/AI model may used the predictions from the physics-based model, together with other data that was not directly explored and arrive at an improved prediction.</li> </ul>
Cognitive Digital Twins (by task 5.5)	<ul> <li>Challenge: Involve cognition into the pilot - self learning and reactivity with operator interaction</li> <li>Requirement: The knowledge of operators must be acknowledged</li> <li>Solution: Train the operators to work with the model and build confidence that the operators, based on model predictions, may make a correct decision.</li> </ul>

# Table 27: Pilot challenges for Digital Twin Visualisation and Control for the Sidenor pilot

2D, 3D/4D visualisation and Human interaction (including speech) (by task 5.1)	<ul> <li>Challenge: Visualization of the thermal and erosion state of the refractory</li> <li>Requirement: Fast and simple</li> <li>Solution: Combination of Paraview-based visualization and simple line plots to show the current refractory state, according to the model. This will be assessed by the operators</li> </ul>
Control – system interaction (related also to autonomous Cognitive Twins) (by task 5.5)	No interaction with control system is planned

#### 5.6.3 Utilisation of toolbox components

The toolbox elements, being developed and used in the pilot, are "Pragmatism in physics-based modelling" (PPBM), the "Hybrid model Designer for StreamPipes-based Toolbox ", " Set of adapters for StreamPipes-based Toolbox " and " Services for resolving tool wear / equipment degradation problems in process industry". In addition, a number of classical machine-learning methods (Python libraries) are being applied.

An illustration of the Sidenor pilot hybrid digital twin pipeline is shown in Figure 8.

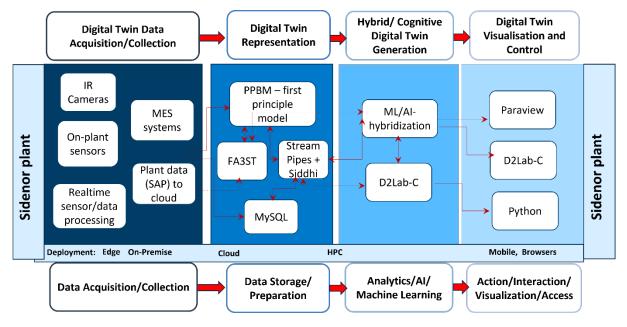


Figure 8: Utilisation of the toolbox components in the Sidenor pilot

# 6 Plant Digital Twins with ML/AI

A plant digital twin is a digital replica of the real plant. A modern digital twin gets is essential behavioural contents from a plant model, linking simulations with on-line data and various services such as data visualization and analytics, what-if analyses, or 3D plant animations. Currently, the huge potential of the digital twin technology is reflected in a better design of an asset, based on extensive simulations in various conditions. A model can be used for improving system dynamic behaviour, designing a model-based controller, or a state-estimator. Model-based fault detection and isolation, operator training simulators, or plant analysis as well as integrated plant and control design are other examples of applications requiring a proper dynamic process model.

The models can be very detailed and enable powerful simulations. In the heavy process industry, the models are often built based on physical considerations, tuned and complemented in various way by data from experimental tests or normal operation. Task 5.1 aims to promote use of ML/AI methods suited for such problems and extend and/or develop new algorithms to further improve the performance of the control, monitoring, and maintenance systems.

### 6.1 Objectives, challenges, and components

Task 5.1 (T5.1) examines the role of simulation models and data to effectively model real-world assets. T5.1 identifies, selects, and extends/develops further ML/AI methods particularly suited to challenges of the process industry. Eventually, it will provide rules-of-thumb for selection and parameterization of ML methods, as justified and illustrated by pilot case experimentation.

The state-of-the-art of the T5.1 methodology was described in the COGNITWIN WP5 M6 deliverable (D5.1) Sec. 4.2. The methods of analytics are developing in a fast pace, and much of the required infrastructure for sensor, data, and automation exists. However, due to the nature of the heavy process industry, the changes in the industrial practice can be slow. Discussion of these topics is emerging in the scientific community.

The heart of most ML/AI approaches is in learning from data. This poses serious challenges in the heavy process industry, which is typically characterized by slow processes, large size and complexity of plant installations, and heavy safety requirements, which prohibit approaches based heavily on exploratory data generation and testing. Plants are typically operated in production mode, which leads to the operation data not being rich in information. Therefore, the role of physical models is particularly pronounced. A significant challenge is to assess the feasibility of various proposed approaches in the process engineering context.

The case pilot problems were briefly described in D5.1 Sec. 4.4, more extensive descriptions were given in D5.1 Sec. 2 as well as the pilot work package deliverables (D1.1-2, D2.1-2 and D3.1-2).

T5.1 participates in providing components to the COGNITWIN toolbox, focusing on the full exploitation of physical plant models and ML/AI approaches in data-analytics and data-driven model tuning. The work in COGNITWIN associated with T5.1 largely originates from the development of solutions to pilot case problems. The role of T5.1 is to focus on the exploitation of physical modelling aspects on one side, and on data-driven techniques – such as ML/AI – on the other. The hybridization aspects are partially considered in T5.4 on hybrid digital twins. The work in T5.1 is pilot-driven, in that the methods are examined in solving the COGNITWIN pilot case problems, and promising solutions are generalized

for extended use via the T5.1, to be cross-utilized in other COGNITWIN pilot cases or other process industry applications.

Table 28 and Table 29 summarize the challenges, requirements and solutions related to analytics models and machine learning models, respectively, for the six pilots. As this is a fundamental issue for all the pilots, several different challenges have been identified. A common thread for all the pilots is that large amounts of data are available, that can be utilized to develop models to aid operation. Visualisation of the data is another important aspect, and the challenges, requirements and solutions pertaining to visualisation are summarized in Table 30. In Table 31, we show the mapping between the toolbox components and the pilots for task 5.1.

#### Table 28: Analytics Models – challenges, requirements and solutions

Pilots	Analytics Models (Plant DT with ML/AI) (task 5.1) – Challenges, Requirements and Solutions
Hydro	<ul> <li>Challenge: Sensor measurements of HF is available for analytics</li> <li>Requirement: Data-driven/machine learning approaches should give a good basis for analytics</li> <li>Solution: Predicitive analytics based on collected sensor data.</li> </ul>
Elkem	<ul> <li>Challenge: Low correlation between measured variables and desired output.</li> <li>Requirement: Accurate estimation of key variables used by physical model.</li> <li>Solution: Utilize data from infrared cameras and physical model when training ML model</li> </ul>
Saarstahl	<ul> <li>Challenge:</li> <li>Requirement: Analytical modelling for matching DL detections over consecutive frames and different camera viewpoints.</li> <li>Solution:</li> </ul>
Noksel	<ul> <li>Challenge: Data cleaning and labelling</li> <li>Requirement: Pre-processing for ML/DL for predictive maintenance</li> <li>Solution: Industrial Big Data Processing implementation</li> <li>Challenge: The number of features is high</li> <li>Requirement: Efficient ML models generation</li> <li>Solution: PCA on data</li> <li>Challenge: Difficulty in analysis due to high volume of data</li> <li>Requirement: Efficiency and performance</li> <li>Solution: Pandas Profiling is used</li> </ul>
Sidenor	Challenge: Predict the maximum number of heats before demolition



	<ul> <li>Requirement: Exploit all the data that can be provided by Sidenor. Data quality must be assessed. The model must provide an answer, at the end of each heat: Is one more heat safe?</li> <li>Solution: Arrive at the best ML/AI model that may explain the data, after normal cleaning.</li> </ul>
Sumitomo	<ul> <li>Ch: Optimization tools require proper formulation and are highly dependent on the type of the problem.</li> <li>Req.: High robustness of solutions is required, especially with automated actions without human decision-making. Obtaining of solutions (even suboptimal) should be ensured.</li> <li>Sol.: Careful selection of cost function vs. solvers.</li> <li>Toobox components: FouCon is to include optimization</li> </ul>

# Table 29: Machine learning – challenges, requirements and solutions

Pilots	Machine Learning – + any needs for Deep Learning /imagery, Performance (tasks 5.2/5.3) – Challenges, Requirements and Solutions
Hydro	<ul> <li>Challenge: Quality of vital sensor measurements (HF) is difficult to assess</li> <li>Requirement: Data-driven/machine learning approaches should give a safe, secure result such as to ensure best online operation of the hybrid Digital Twin</li> <li>Solution: Anomaly detection algorithms are carefully tested and validated offline</li> </ul>
Elkem	<ul> <li>Challenge: Machine vision algorithms are difficult to develop for every scenario due to moving equipment and a harsh environment</li> <li>Requirement: Data-driven/machine learning approaches should give a safe, secure result such as to ensure best online operation of the hybrid Digital Twin</li> <li>Solution: Automatic detection of regions of interest in images of moving equipment.</li> </ul>
Saarstahl (Moved to 5.2 ??)	<ul> <li>Challenge: Need to analyse video imagery in order to understand the movement of billets</li> <li>Requirement: Need to have effective training and use of Image analytics including aerial photogrammetry including use Deep Learning Neural Network.</li> <li>Solution: A visual debugger for neural networks Neuroscope with use of aerial photogrammetry</li> </ul>
Noksel (Also described under 5.2 ?)	<ul> <li>Challenge: Algorithms did not learn very well on the collected data set</li> <li>Requirement: Quality ML models trained</li> <li>Solution: A thorough analysis was conducted and feature selection is applied</li> <li>Challenge: Missing data</li> <li>Requirement: ML/DL model training for predictive maintenance</li> <li>Solution: Synthetic data generation and data balanced sampling</li> </ul>

Sidenor	<ul> <li>Challenge: Interpret thermal images taken of the outside of the ladle</li> <li>Requirement: Based on the images, tell if the ladle refractory on the inside have problems.</li> <li>Solution: Combine predictions by the physics model with image analysis. This may indicate another special application, based on the PPBM-based model.</li> </ul>
Sumitomo	<ul> <li>Challenge: Fusion of physical models and process measurement data is complicated as data may be very sparse and models not accurate/detailed enough.</li> <li>Requirement: Algorithms must survive with sparse data, and iterative computations can not be excessive.</li> <li>Solution: Solutions will be sought by using up-to-date model-based state estimation techniques. Toobox components: FUSE including UKF, FouMon including</li> </ul>

# Table 30: Visualisation and Human Interaction – challenges, requirements and solutions

Pilots	2D, 3D/4D visualisation and Human interaction (by task 5.1)
Hydro	<ul> <li>Challenge: Design of a new GUI for GTC operator support</li> <li>Requirement: Operators need to be able to evaluate the reliability of digital twin solutions and read recommendations for optimal alumina flow control</li> <li>Solution: Custom user interface to meet operator needs in Cybernetica Viewer</li> </ul>
Elkem	<ul> <li>Challenge: It is difficult for the operator to act on recommendations from digital twin hybrid unless it is visualized</li> <li>Requirement: Data from the decision support system needs to be made available in to the control room operator in an easy-to-act-upon, visual manner</li> <li>Solution: Use Cybernetica Viewer to visualize data</li> </ul>
Saarstahl	<ul> <li>Challenge: Suitable visualization for operators.</li> <li>Requirement: tracking of billets must be accurate and in real-time</li> <li>Solution: User preferred visual presentations in Neuroscope tool</li> </ul>
Noksel	<ul> <li>Challenge: Slowness in big data visualization</li> <li>Requirement: Latency &lt; 200ms</li> <li>Solution: View creations for zoom in and zoom outs, User preferred visual elements adjustments (i.e. color, light, etc.)</li> </ul>
Sidenor	<ul> <li>Challenge: Visualization of the thermal and erosion state of the refractory</li> <li>Requirement: Fast and simple</li> <li>Solution: Combination of Paraview-based visualization and simple line plots to show the current refractory state, according to the model. This will be assessed by the operators</li> </ul>
Sumitomo	<ul> <li>Challenge: Suitable visualization for operators.</li> <li>Requirement:</li> </ul>

• **Solution**: Use Sumitomo developed UI framework

#### Table 31: Toolbox components per pilot used by task 5.1 Plant digital twins with ML/AI

Pilot t	Pilot	Task												١	VP5 1	Toolt	box (	ом	PON	IENT	s												
			DFKI - : generation of photorealistic data	DFKI: Neuroscope	Nissatech: D2 Lab-C	SINTEFS BEDROCK	(IEVA)	TEKNOPAR Machine Learning Library (TMLL)	Visualisation (ICPV)	TEKNOPAR TStreamPipes ML	TEKNOPAR TMat-SynDat	SINTEF Open Framework and Tools (SOFT)	Machine learning for hybrid models (SINTEF)	Pragmatic framework for development of hubble models (SINTEE)	etica CENIT	Cybernetica Cognitive CENIT	Cybernetica ModelFit	Cybernetica RealSim	Cybernetica Viewer	Cybernetica ProXim	Cybernetica OPC UA Server	Bonzai (Scortex)	Como (Scortex)	Keras / tensorflow (Scoretex)	Finite Markov Chains Matlab toolbox (MCPC) (UOULU)	FA3ST Fraunhofer Advanced AAS Toolkit for Digital Twins (Fraunhofer)	SpinPro (Fraunhofer)	Weather API interface MAPI (SINTEF)	Correlation Analysis Toolbox (SINTEF)	FUSE Fuel state estimation (UOULU)	SubFUSE Subspace identification and state estimation (UOULU)	FoulMon Fouling monitoring (UOULU)	FouCon Fouling management and control (UOULU)
Non-f	Hydro	Plant Digital Twins with ML/AI				(x)											x	(x)	(x)	(x)						x		x					
	Elkem	Plant Digital Twins with ML/AI				(x)											x	(x)	x	(x)		(x)	(x)	(x)		(x)							
Steel	Saarsta	Plant Digital Twins with ML/AI	x	х																		x	x	x		(x)							
	Sideno	Plant Digital Twins with ML/AI				(x)				(x)							(x)	(x)	(x)	(x)						x							
	Noksel	Plant Digital Twins with ML/AI				(x)	×	x	х	х	х															(x)							
Engine	Sumito	Plant Digital Twins with ML/AI															(x)	(x)	(x)	(x)		(x)	(x)	(x)		(x)				x		x	(x)

# 6.2 Detailed description of activities performed

The progress in task T5.1 on plant digital twins with machine learning and artificial intelligence until M18 was reported in the deliverable D5.2.

#### 6.2.1 Monitoring of fuel characteristics and fouling

The UOULU (University of Oulu, Intelligent Machines and Systems research unit) has focused on the Sumitomo power plant pilot case problem (WP3). This case considers the monitoring and control/maintenance of heat exchange surface fouling. As a component for solving the problem, a fuel characteristic estimator has been developed (the FUSE component), as well as a tool for tuning physical models (the PMFIR tool). The ongoing work considers tools for on-line monitoring of fouling (FouMon component), to be followed by development of optimization tools (FouCon component). The tools emerge from solving the WP3 pilot case problem, but are intended and applicable for a much wider set of problems in the heavy process industry.

The development of the approach and methodology of FUSE (fuel state estimation) and PMFIR (physical model finite impulse response) tools were covered in deliverable D5.2. The main suggestion in PMFIR is to use dynamic tuning elements at the outputs of a physical model, which enables both the application of robust parameter estimation ML techniques and availability of the original physical model results (). The FUSE component implements estimation of uncertain inputs via unscented Kalman filter, an implementation of Bayesian state estimation using simulations from the tuned physical model.

Since D5.2, the methodological outcomes have been published in journal paper (Ikonen & Selek, 2021). The paper provides a more thorough examination of the capabilities of the PMFIR approach, and also extends comparison of the approach from finite state Markov chains to multilayer sigmoid neural networks time series. An application to state estimation using UKF (unscented Kalman filtering) is also provided.

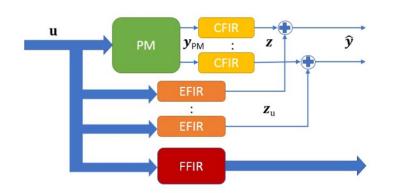


Figure 9: The PMFIR approach

The PMFIR principle of tuning physical model outputs was applied for the WP3 pilot problem. In 2020, data was available only from the pilot sister plant, where the fuel feed consisted of fractions of demolition wood, peat and woodchips. Using the tuned model, the fractions of were estimated online using the FUSE-tool. Research outcomes using data from the sister plant were compiled into a conference paper, currently in a submitted-state. The application for solving the pilot case problem was described in more detail in D3.2. The FUSE method was then applied to the actual WP3 pilot plant, including: (i) setting up the physical model for the case plant (plant geometry, fuels, etc.), (ii) associating the relevant process measurements and tuning the physical boiler model with historical data, (iii) formulating the state estimation problem and tuning the estimator, and (iv) testing the on-line approach in simulations with real plant data. The FUSE-component could be implemented successfully. However, the operation mode of the WP3 pilot was different from that of the sister plant, in that the fuel consisted of demolition wood fractions, only. As no clear separate fractions of fuel could be identified, the validation of the estimation of fuel characteristics was left incomplete. In conclusion, the FUSE approach was judged as successful, but potentially not feasible with the pilot due to the current operating practices of the pilot plant.

The FUSE-component and the examined method/procedure is expected to be applicable for other input/parameter/state estimation problems as well. A generalized FUSE UKF-tool has been provided for the COGNITWIN toolbox, providing a flexible implementation for the UKF state estimation using a physical plant model. The UKF tool is available for download. The PMFIR tool algorithms have been published in detail.

A parallel SubFUSE component has been developed in view of the WP3 pilot problem. The SubFUSE focuses on data-driven process identification using subspace techniques. The solution for the state estimation problem is then simple and computationally much more affordable. The SubFUSE approach was published in a meeting paper in 2021 (Neuvonen, Selek, & Ikonen, 2021)

The methodology using nonlinear Bayesian state estimation tools as well as subspace identification and associated observer design tools, has been further developed and used to solve the WP3 pilot problem in fouling monitoring. The model-based tool palette is extended with ensemble Kalman filtering. This part of the work by UOULU is reported in task T5.4 (hybrid digital twins), as it involves more heavily also data-driven tools in addition to physical model-based.

#### 6.2.2 TEKNOPAR Machine Learning Library

TEKNOPAR has focused on the NOKSEL pilot case of a metal sheet roller system of spiral welded pipes (SWP). The goal is to monitor the production process machine malfunctioning and enable predictive maintenance.

STEEL 4.0 Teknopar Machine Learning Library (TMLL) was renamed as TIA AI. TIA AI is being continuously developed for Task 5.1 and T5.2. A library of **ML/DL** (machine learning/ deep learning) algorithms has been created and tested. In TIA AI different machine learning algorithms are applied through the incremental PCA stage to detect anomalies. Prediction results are produced using different machine learning libraries. Both Spark MLlib and Keras were used. Spark MLlib is produced entirely by Spark, and uses Spark's engine optimized for largescale data processing. Keras library, that uses TensorFlow, is used for deep learning purposes. The Long Short-Term Memory (LSTM) algorithm of this library is utilized. This open-source neural network library makes it simpler to work with artificial neural networks through its user interface facilities and modular structure. The Scikit-Learn library is another open-source machine learning library that contains several algorithms for regression, classification, and clustering. We used algorithms like RF, GBT, LSTM, SVM, KNN, and multi-layer perceptron (MLP) from the Scikit-Learn library for data modelling and prediction. The trained algorithms have been compared in predictive maintenance.

The Tand TIA UX tools are described in the component/tool template in the Appendix.

#### 6.2.3 Predicting the Slag Generated in the Furnace and Measured After Tapping

SINTEF Digital used machine learning models for predicting the slag generated in the furnace and measured after tapping, in the Elkem pilot in WP1. The input time series data are measurements from the furnace, materials added, and post tap hole measurements from previous tappings. Several machine learning models were evaluated and compared, including support vector machines, a knearest neighbour algorithm, and ensembles of decision trees. The results from testing these methods have proved useful in getting a better understanding of the underlying process, e.g. with respect to parameter importance. Still, neural network-based models outperformed the other models. The best results have been obtained from models which take sequences of data as input. A model consisting of one convolution layer followed by three LSTM layers have been trained on filtered data to predict the trend in slag measurements. Many sequence models have been tested, but all give similar or worse results compared to LSTM models. Even if the current models show some predictive abilities, their results are still guite unreliable. This makes it difficult to tune models and compare similar results. Further development on the model is on hold, awaiting data from the tap camera recently installed in Bremanger. This will be analyzed and implemented into the model. The new model will then be evaluated, and further improvements rely on a cooperative and iterative process; by analyzing and discussing the results of the current best models, more insight into the process might help in the tuning of the models.

The status of BedRock tool is given in Chapter 9.2.2.2, and is explained in the Appendix of COGNITWIN report "D5.1 Baseline Hybrid AI and Cognitive Twin Toolbox<sup>3</sup>".

https://sintef.sharepoint.com/:b:/r/teams/work-

3

<sup>8364/</sup>Shared%20Documents/Work%20Packages/WP5/Revised%205.1/Submitted%20version\_01.10.2021/D5.1\_ Revision\_Submitted\_01.10.2021.pdf?csf=1&web=1

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#### 6.2.4 Estimation Technique for Parameters of the First-Principles Models

Cybernetica is planning on applying a recursive estimation technique to estimate parameters and states of the first-principles models of the Elkem ferrosilicon refining pilot and the Hydro Gas Treatment Centre (GTC) pilot. The physical models are formulated as a nonlinear state-space model, which typically includes an integration from one sample time to the next. Some of the parameters are quite uncertain and vary with time in a manner that is difficult to model. The interfacial area between slag and metal is one such parameter in the Elkem model, as it varies due to complex fluid dynamics which is unsuitable for modelling in a real-time application. This parameter is important for calculating the rate of the reactions taking place at the slag metal interface and thus affects the composition of the metal. In order to estimate this parameter using the available measurements online, the state vector is augmented with the parameter vector and the model is extended with a data-driven noise model. Applying a recursive estimation algorithm to this extended model, such as a Kalman Filter or a Moving Horizon Estimator, allows us to estimate online, and combining the knowledge of which parameters are uncertain from a physical point of view, together with the knowledge of which parameters the model is most sensitive to has proven powerful in previous applications.

#### 6.3 Progress beyond State of the Art or State of the Practice

A number of physical models and ML/AI methods have been developed/applied in solving the COGNITWIN pilot case problems. The LSTM recurrent neural network approach has been found applicable in several pilots. The novel methods of model-based state estimation have been considered as very promising and feasible approaches in the fusion of physical models with plant data in solving the pilot case problems.

Development and execution of detailed physical models require a specialized software. Typical data storage/communication platforms do not support established ways of representing and solving standard physical model forms, such as ordinary/partial differential equations. Highly sophisticated physical modelling tools do exist, however, such as Matlab (including Simulink and Simscape), CENIT, and others, used in the COGNITWIN. A digital twin requires a means for connecting to external tools. Following the basic principle of WP4 interoperating toolbox, this connection has been developed using e.g. OPC-UA and MQTT services for data transfer.

The significant lesson learned from the applied work is the importance of fusing physical modelling with plant data. This has been experienced in several (if not all) pilots, where it has been observed that the operation data history is of limited range. In the development of plant operation optimization and control, the models need to cover areas not typically visited by the plant (as the optimization is likely to change the operation point). A similar problem appears in maintenance/fault detection and isolation in data-driven modelling of rare events. Therefore, data-driven approaches alone are not sufficient. Digital twin provides a tool for a fusion of data and models. A general approach for physical process model tuning based on plant data has been proposed. In an alternative approach, the physical model has been used to generate complementing data for ML/AI learning.

#### 6.4 Summary of the key achievements

During M19-M30, much of the work has already moved from plant digital twins with ML/AI (T5.1) to hybrid digital twins (T5.4) and towards cognitive digital twins (T5.5). Papers on the COGNITWIN T5.1

outcomes were published in a scientific journal and in a scientific conference in 2021 (Neuvonen, Selek, & Ikonen, 2021) (Ikonen & Selek, 2021)

The following components tools in the COGNITWIN toolbox, were developed:

- FUSE-component (Fuel state estimation), with PMFIR and UKF tools.
- TIA AI machine learning library,
- TIA UX industrial control panel and visualization (3D modelling and digital twin),
- Bedrock tool.

These tools/methods have been validated in at least one COGNITWIN pilot and are available for the development of applications in other pilots in the COGNITWIN toolbox. Video demonstrations are available, the tools are described in more detail in the appendix. Their applications are described in the associated pilot cases (D1.3-D3.3).

#### 6.5 Next steps

Task 5.1 will continue to summarize outcomes on physical modelling, visualization, and ML/AI related tools, and aims at drawing conclusions up to suggestions on suitable approaches for the heavy process industry.

# 7 Multi-variate Sensor analytics with Deep Learning on Imagery

### 7.1 Objectives, challenges and components

The possibility to process multi-variate sensor data using Deep Learning will be crucial for the realization of hybrid AI and cognitive twins. While the extraordinary performance of Deep Learning systems to many classification and regression problems has been demonstrated in many contexts, key challenges remain. Their challenges are generally recognized to be: (1) high demand in compute power, (2) high demand in training data, and (3) difficulties in obtaining trustworthy results or even finding good technical explanations in cases when unintended system behaviour occurs. The generally high demand in compute power is less problematic for many applications in the process industry as high-performance hardware is readily available. For situations where latency is critical, we dedicate a specific task (Deep Learning Performance) to finding solutions. This task will therefore focus in the two remaining core problems of Deep Learning: the availability of training data and trustworthiness concerning explainability.

For the availability of training data, we will focus on the generation of training data using parametric models and sensor simulations, specifically on capturing of 3D information using **photogrammetry**. For improved explainability, we will adapt a visual debugger for neural networks called **Neuroscope** for the specific needs of the Use-Cases.

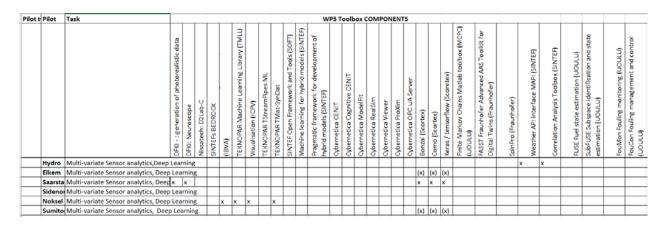
Table 32 summarizes the challenges, requirements and solutions related to machine learning for the six pilots. Common challenges for the pilots are the difficulty in analysing images, and the lack of good datasets. In Table 33, we show the mapping between the toolbox components and the pilots for task 5.2.

Pilots	Machine Learning – + any needs for Deep Learning /imagery,
	Performance (tasks 5.2/5.3) – Challenges, Requirements and Solutions
Saarstahl	<ul> <li>Challenge: Need to analyse video imagery in order to understand the movement of billets</li> <li>Requirement: Need to have effective training and use of Image analytics including aerial photogrammetry including use Deep Learning Neural Network. Analytical modelling for matching DL detections over consecutive frames and different camera viewpoints.</li> <li>Solution: A visual debugger for neural networks Neuroscope with use of aerial photogrammetry</li> </ul>
Noksel	<ul> <li>Challenge: Algorithms did not learn very well on the collected data set</li> <li>Requirement: Quality ML models trained</li> <li>Solution: A thorough analysis was conducted and feature selection is applied</li> <li>Challenge: Missing data</li> <li>Requirement: ML/DL model training for predictive maintenance</li> <li>Solution: Synthetic data generation and data balanced sampling</li> </ul>

# Table 32: Machine learning - challenges, requirements and solutions

Sidenor	Challenge: Interpret thermal images taken of the outside of the ladle
	• <b>Requirement</b> : Based on the images, tell if the ladle refractory on the inside have problems.
	• <b>Solution</b> : Combine predictions by the physics model with image analysis. This may indicate another special application, based on the PPBM-based model.

# Table 33: Toolbox components per pilot used by task 5.2 Multi-variate Sensor analyticswith Deep Learning on Imagery



# 7.2 Detailed description of the activities performed

#### 7.2.1 Neuroscope & Aerial Photogrammetric

DFKI have worked in improving two key components: a visual debugger for neural networks called Neuroscope and a process for the photogrammetric capturing of plant sites using aerial photogrammetry. A planned improvement for Neuroscope (integration of Explain-to-fix) was cancelled for technical reasons. The photogrammetric workflow was consolidated technically.

A detailed description of the activities can be found in the component descriptions for the toolbox components **photogrammetry** and **Neuroscope**, which are detailed in the Appendix.

Working closely with DFKI, Scortex has prepared an evaluation of the synthetic data processing approach using photogrammetry under high performance conditions using our technology.

#### 7.2.2 LSTM deep learning algorithm

Focused on NOKSEL pilot, TEKNOPAR has conducted a LSTM deep learning algorithm. Multiple sources of sensor data (vibration, temperature, pressure, etc.) have been used and DL (deep learning) models have been applied. The Keras library, that uses TensorFlow, is used for deep learning purposes. The LSTM algorithm of this library is utilized. This open-source neural network library makes it simpler to work with artificial neural networks through its user interface facilities and modular structure.

TIA AI of TEKNOPAR, which is under continuous development, is detailed in the Appendix.

COGNI**TWIN** 

# 7.3 Progress beyond State of the Art or State of the Practice

For Neuroscope, we have initially assumed our Use-Case would require semantic segmentation networks (i.e., output of the network is a class label per pixel). We have therefore adapted the methods for applicability to semantic segmentation problems (see last progress report).

From working with the Use-Case it became clear that semantic segmentation (label per pixel) output is insufficient, but instance segmentation output (multiple bounding boxes plus labels per pixel inside the bounding box) will be required. We have started to extend Neuroscope for the support of instance segmentation networks. The most relevant instance segmentation architectures consist of two stages. The first stage is a conventional multi object detection architecture that generates bounding boxes. The second stage extracts sub-images per bounding box and assigns labels. We have therefore started to implement object detection support first with the plan to extend this to instance segmentation later.

One promising method for visual decision explanations in object detection networks is called Explainto-fix. We started to integrate explain-to-fix in Neuroscope. The attempt to integrate the method failed for technical reasons, though. As we found out during the development, Explain-to-fix heavily relies on modifications of the network under investigation, i.e. in order to perform the visualization, the investigated network needs to adapted. This collides with the basic idea of Neuroscope to load arbitrary networks (from a certain class of network architectures) and debug them. We investigated the possibility to automate the required modifications of the network but found that currently, the scientific understanding of the method is insufficient to facilitate such automation. We are in contact with the authors of Explain-to-fix and currently consider writing a joint proposal for basic research on the topic. However, for the purpose of COGNITWIN, this endeavor is out of scope. See the following references for further information on the subject (Gudovskiy, Hodgkinson, Yamaguchi, Ishii, & Tsukizawa, 2018) (Petsiuk, et al., 2020)

#### 7.4 Summary of the key achievements

We have published a useable version of the software Neuroscope in version 1.0, available at: https://github.com/c3di/neuroscope.

We have published a manuscript describing the Neuroscope software (Schorr, Godarzi, Chen, & Dahmen, 2021)

We have also prepared and submitted a manuscript detailing the application of Photogrammetry in the context of the Saarstahl Use-Case (Schorr, Luschkova, & Dahmen, 2021)

#### 7.5 Next steps

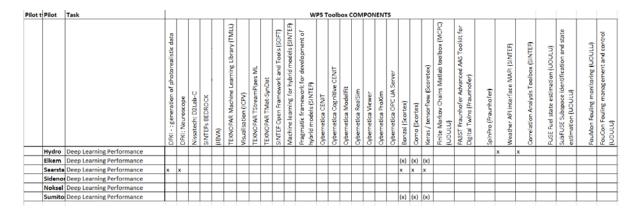
As a route forward, we have cancelled the work of integrating Explain-to-fix in Neurosope and started reviewing alternative techniques. The technique CRP derives from Explain-to-fix and inherits the problems with integration. The recently published method D-RISE [PET+20], however, seems promising. We will therefore investigate the integration of D-RISE into Neuroscope in order to achieve support for object detection networks, then instance segmentation networks.

# 8 Deep Learning Performance

#### 8.1 Objectives, challenges, and components

The previous tasks "Multi-variate sensors analytics with deep learning" is about the ability to train deep learning algorithms and achieve a good enough accuracy for a task. In order to be able to deploy such models in production, a specific focus has to be set on the pipeline performance in terms of inference time, memory used, compute resources needed, etc. This is especially true when it comes to Industry 4.0, where systems are deployed in the 3D world and have real time. For example, in the field of quality inspection, dozens of images of high resolution may be used to take a decision in real time. This means that standard architectures from the literature designed on the ImageNet dataset may be too slow to be used in practice. This task is about finding solutions which allow engineers to deploy state of the art deep learning algorithms in practice, in factories.

Table 34 shows the mapping between the toolbox components and the pilots for task 5.3.



#### Table 34: Toolbox components per pilot used by task 5.3 Deep Learning Performance

# 8.2 Detailed description of the activities performed

Scortex work done from M19 to M30:

The Keras2HLS tool that is responsible of converting a machine learning model into an FPGA compatible bitstream was improved to better allocate resources. Each machine learning layer can be understood as a step in a pipelined architecture. In a pipelined architecture, improving one step can't guarantee an improvement of the overall performances since each step occur once the previous one is done.

Considering a 3 steps pipeline with 50 ms of execution of each step, improving only the second step to allow it to run at 1 ms doesn't improve the overall throughput since the step 2 will wait the step 1 to be done, before being able to compute net data. In a general manner, a simple pipeline throughput is computed by the number of step \* the slower step time to execute.

The improvement in progress on Keras2HLS allows to better analyse each machine learning model layer to dedicate the amount of FPGA resources needed to allow computing in a coherent speed considering the other steps of the pipeline.

In a machine learning model based on convolution, the first layers are dense and require a lot of computing capabilities compared to the latest layers. Then, the idea is to consumes more FPGA resources to compute the first layers and making them more parallelised and using less resources on latest layers while keeping an homogeneous computation time.

Scortex has experimented on several ways to solve the deployment issues. Because Scortex is a quality inspection company, it encounters the issue more often than other companies. Indeed, high resolution images are often required to detect very small defects.

The work in the second period follow up on the following work that was done in the first period:

**Network architecture design**: Architectures were specially designed to enable inference on high resolution images (from 1000x1000 pixels up to 2000x2500 pixels) using only one GPU or FPGA. These networks were trained and evaluated on Scortex datasets. The tasks of interest were supervised semantic segmentation / detection as well as anomaly detection.

**Pruning of the network**: Pruning strategies were implemented. Though this method helps lowering disk space and RAM/GPU memory constraints, it does not provide faster inference. We believe this will be the case as long as TensorFlow/Keras does not provide a better sparse tensor support.

**Distillation**: We successfully managed to transfer knowledge from a large network to a smaller one. The performance is not as good as the large model, but better than the performance of the smaller model trained on its own.

**Inference graph optimization**: We investigated "folding" Batch Normalization which provided 30% speed in inference time. Details can be found in Scortex blog:

https://scortex.io/batch-norm-folding-an-easy-way-to-improve-your-network-speed/

**Quantization of networks:** This is a necessary step in order to deploy networks on FPGA hardware (see task 4.4). We also work on a Keras2RTL component which enables converting a Keras model into something usable by the FPGA platform (see Honir, task 4.4)

**Efficient inference pipeline**: Scortex is currently working on an end-to-end library called "sensei" to acquire images, apply preprocessing and deep learning networks as well as post processing on top of them. This library supports asynchronous and parallel inference on GPU so that real time capabilities can be achieved in a robust fashion.

Scortex now uses its "bonzai" library to train models and its "sensei" library to deploy such models at its customers. Scortex is ready to scale the methodology to the use case partners who have need for fast deep learning technology.

TIA AI is used by TEKNOPAR for comparing the different machine learning models. While setting up a machine learning model, it is difficult to predict which model architecture will provide the best result. The parameters which affect the model architecture are called hyper-parameters. For each machine learning algorithm utilized, hyper-parameter tuning has been performed by first comparing the previously determined success criteria, and then selecting the best result combination by examining the results obtained through testing possible combinations of the hyper-parameters' values in a certain range. For each ML algorithm used, additionally, various parameters - such as precision, recall, F1 score, error detection rate, total training time, total test time, average training time, Type 1 error, Type II error - were calculated and displayed to the user.

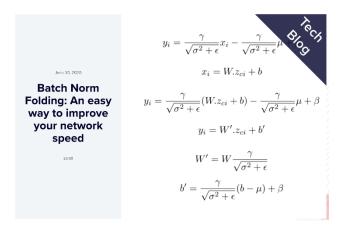
The user is offered a voting option to decide on the algorithm to use. The application enables users to select the machine learning model for a given set of data, and then compares the output using graphical elements. For developing and testing purposes, the AML Workshop dataset from Microsoft (AML data set) is used in TIA AI module. The Scikit-learn library has been used for Random Forest, Gradient Boosted Tree, MultiLayer Perceptron, Support Vector Machine, and K-Nearest Neighbors. For the LSTM, Keras has been used.

TIA AI of TEKNOPAR, which is under continuous development, is briefed in Appendix.

### 8.3 Progress beyond State of the Art or State of the Practice

The work done in period 1 by by Scortex on "Batch Norm Folding" has been published on its blog:

https://scortex.io/batch-norm-folding-an-easy-way-to-improve-your-network-speed/



*Figure 10: Tech blog entry of Scortex* 

It is now one of the most read articles on the subject. As of 2021/01/19, it has been read by 1683 different people according to Scortex website statistics. Readers came from recognized industry companies such as: Sony, Nokia, Daimler, AMD, Intel, Thales, Huawai, Zoom, ETH Zurich, Panasonic, etc.

By combining several ideas described above, Scortex managed to deploy a station able to perform a complex inspection of rotating parts. The Scortex box handles the inspection of 3 parts per second which requires inference of 300 (3 x 100) 1280x640 grayscale images per second. To the best of our knowledge, Scortex is the only company able to achieve such performances in a real-life deployment.

#### 8.4 Summary of the key achievements

In the first period - Using Batch Norm Folding, we were able to reduce the inference time of light architectures by 30%. The work is summed up on Scortex blog:

#### https://scortex.io/batch-norm-folding-an-easy-way-to-improve-your-network-speed/.

We managed to design and quantize efficient architectures. Once deployed on FPGA, we can achieve 120 FPS (frames per second) on 1920x1200 RGB images. Deployed on 2 GPUS, we achieved 300 FPS on 1280x640 grayscale images.



In the second period there was a focus on continued development of the FPGA library to make it more versatile and more robust so that it could be easily used internally and externally. This work is mostly related to task 4.4 but does need some inputs from the task 5.3. The automatization of the conversion process of a topology and the weights from a neural network into a hardware designed platform will be done in the next steps (improvement of the Keras2RTL component). This will ease the use to the process and reduce the time needed to transition from a functional neural network design on computer to one running on an FPGA.

#### 8.5 Next steps

Next steps will follow up on the use of very light architectures. Which can be an issue because of the lack of expressiveness and capacity they lead to. This is something that we have observed in practice. We plan to rework our architectures, typically using recent architecture blocks from the literature such as Efficient Net / efficient det MBconv, SE and SK blocks, or more generally the mechanism of attention (since we now have seen some transformers breakthrough for computer vision in 2021). Packaging and standardization work will be necessary to make the methods more "production-ready". Finally, these methods have been proven on internal datasets and now need to be tested and benchmarked on the pilot data, deep learning tasks, and network architectures.

# 9 Hybrid Digital Twins

#### 9.1 Objectives, challenges and components

A Hybrid Digital Twin is an extension to the plant Digital Twin where the physics-based model is combined with data from the real process and one or more data driven models that adapts and corrects the Digital Twin such that it better represents its real-world counterpart.

A definition for a Hybrid Digital Twin as the second layer in a three-layered twin definition was given in (Abburu, et al., 2020):

"An extension of Digital Twin in which the isolated Digital Twin models are intertwined to recognize, forecast and communicate less optimal (but predictable) behaviour of the physical counterpart well before such behaviour occurs. A Hybrid Digital Twin integrates data from various sources (e.g., sensors, databases, simulations etc.) with the Digital Twin models, and applies AI analytics techniques to achieve higher predictive capabilities, while at the same time optimizing, monitoring, and controlling the behaviour of the physical asset. A Hybrid Digital Twin is typically materialized as a set of interconnected models, achieving symbiosis among the Digital Twin models."

The main goal of Task 5.4 is to contribute to the CogniTwin toolbox with tools which support the creation, use, and exploitation of Hybrid Digital Twins. The tools will originate from the development of twins in the pilots. Certain, suitable elements will be extracted from the pilot twins, generalized, and made available as tools for the other pilots and eventually other processes.

The focus of the task is on the following aspects:

- Enhancement of the digital twin technology by combining physics-based models with data driven models, including AI and machine learning functionality
- Development of soft sensing applications based on digital twins
- Development of advanced, predictive, and self-learning control applications based on digital twins

We have summarized the challenges, requirements and proposed solutions relating to hybrid digital twins in Table 35. In Table 36, we show the mapping between the toolbox components and the pilots for task 5.4.

Pllots	Hybrid Digital Twins (by task 5.4) – Challenges, Requirements and
	Solutions
Hydro	<ul> <li>Challenge: Combination of various data driven and Physical models require an approach for understanding the relationships between the various models</li> <li>Requirement: Ensure consistent mapping and relationship between data driven and physical models.</li> <li>Solution: Provide mappings between the data driven and physical models, consider the usage of the AAS Digital Twin representation for supporting links and relationships.</li> </ul>

#### Table 35: Hybrid Digital Twins – challenges, requirements and solutions



Elkem	<ul> <li>Challenge: Combination of various data-driven and physical models require an approach for understanding the relationships between the various models.</li> <li>Requirement: Integration between data-driven and physical models and results from machine vision algorithms</li> <li>Solution: Cybernetica OPC UA Server to make data available between the different applications</li> </ul>
Saarstahl	<ul> <li>Challenge: Billet location needs to be known accurately for ML algorithms to be able to optimize the process</li> <li>Requirement: Seamless billet tracking movement, constrained by physical environment</li> <li>Solution: Instance segmentation technology is used to track the billets</li> </ul>
Noksel	<ul> <li>Challenge: Missing models to be related to collected data</li> <li>Requirement: 1st order model generation with data correlation</li> <li>Solution: Models are generated in Matlab Simulink + data</li> </ul>
Sidenor	<ul> <li>Challenge: Exploit the physics-based model and the data, to arrive at an improved model with improved prediction power.</li> <li>Requirement: Model should be able to incorporate the physical elements that has not been considered by the physics-based model.</li> <li>Solution: The ML/AI model may used the predictions from the physics-based model, together with other data that was not directly explored and arrive at an improved prediction.</li> </ul>
Sumitomo	<ul> <li>Challenge: Exploit a physics-based model and plant data to arrive at an on-line model for fuel state estimation</li> <li>Requirement: Need to combine physics-based model with online plant data in order to optimize boiler efficiency</li> <li>Solution: FUSE: Apply a physical model for the CFB furnace (combustion, fluidization and heat transfer), a PMFIR tool for tuning the physical model with process historical data, and an unscented Kalman filter (UKF) tool for on-line state estimation of the uncertain input fuel fragments in the fuel.</li> </ul>

# Table 36: Toolbox components per pilot used by task 5.4 Hybrid Digital Twins

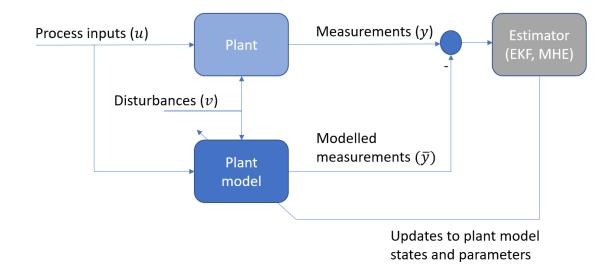
Pilot t	Pilot	Task					_							w	P5 T	oolb	ox C	OM	PON	ENTS	\$												
			DFKI - : generation of photorealistic data	DFKI: Neuroscope	Nissatech: D2Lab-C	SINTEFS BEDROCK	(IEVA)	TEKNOPAR Machine Learning Library (TMLL)	Visualisation (ICPV)	TEKNOPAR TStreamPipes ML	TEKNOPAR TMat-SynDat	SINTEF Open Framework and Tools (SOFT)	Machine learning for hybrid models (SINTEF)	Pragmatic framework for development of hybrid models (SINTEF)	Cybernetica CENIT	Cybernetica Cognitive CENIT	Cybernetica ModelFit	Cybernetica RealSim	Cybernetica Viewer	Cybernetica ProXim	Cybernetica OPC UA Server	Sc (Sc	(Scortex)	/ tensorflow (Scoretex)	Finite Markov Chains Matlab toolbox (MCPC) (UOULU)	FA3ST Fraunhofer Advanced AAS Toollsit for Digital Twins (Fraunhofer)	SpinPro (Fraunhofer)	Weather API interface MAPI (SINTEF)	Correlation Analysis Toolbox (SINTEF)	FUSE Fuel state estimation (UOULU)	SubFUSE Subspace identification and state estimation (UOULU)	FouMan Fouling monitoring (UOULU)	FouCon Fouling management and control (UOULU)
	Hydro	Hybrid Digital Twins				(x)						(x)	(x)	(x)	x					3	<b>(</b>							x		(x)		(x)	
	Elkem	Hybrid Digital Twins				(x)						(x)	(x)	(x)	x					)	< (									(x)		(x)	
	Saarsta	Hybrid Digital Twins	x	x																													
	Sideno	Hybrid Digital Twins				(x)						(x)	(x)	x	(x)					(	(x)									(x)		(x)	
	Noksel	Hybrid Digital Twins				(x)	x	(x)	x		x																			(x)		(x)	
	Sumito	Hybrid Digital Twins				(x)	(x)	(x)				(x)	(x)	(x)	(x)					(	(x)				(x)					(x)	x	x	

# 9.2 Detailed description of the activities performed

#### 9.2.1 Methodological development

In the different pilots, hybrid digital twins are being built by combining physics-based models with data driven models in different ways. Different tools and different types of data driven models are applied in the various pilots.

In several of the pilots, dynamical physics-based models have been developed. Such a model is, in principle, capable of tracking the behavior of the process in real-time given that it is correctly initialized and completely models the process. Neither of these conditions are normally fulfilled, so the models are combined with data driven models that reduce the uncertainty and improve the overall behavior of the model. An example of such a data driven model is an Extended Kalman Filter, which is an algorithm that use the difference between process measurements and estimated measurements from the physics-based model to update one or more parametric values that is used in the physics-based model, as shown in Figure 11:. This method is used by the Hydro, Elkem, Sumitomo and Sidenor pilots.

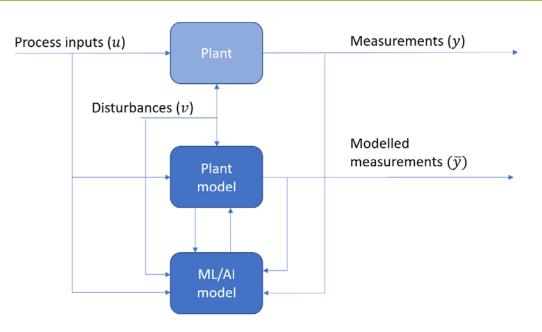


# *Figure 11: Creating a hybrid digital twin by combining a physics-based model with a data driven parametric model updated by an Extended Kalman Filter.*

Other pilots combine a physics-based model with a data driven model that is updated using machine learning or artificial intelligence. Calculation results from either model can then be used by the other model. This is used in the Sidenor, NOKSEL and Saarstahl pilots.







# *Figure 12: Creating a hybrid digital twin by combining a physics-based model with a data driven model updated by ML/AI methods.*

In some of the pilots, both methods are planned to be used in combination (Figure 12:). This is the case in the Elkem, Hydro, Sidenor and Sumitomo pilots.

The tools that support the different hybrid digital twins and are described in the next section.

#### 9.2.2 Tools supporting hybrid digital twins

#### 9.2.2.1 Cybernetica CENIT

Cybernetica's work has been focusing on the Elkem and Hydro pilot cases. A large part of the work effort has gone into building physics-based models for these two processes and to tune the model parameters such that the models replicate the physical processes as correctly as possible. The physics-based models for both pilots have been implemented as extensions to the tools Cybernetica CENIT, Modelfit, and RealSim. The extensions are in the form of application-specific modules and have been implemented in C/ C++ using a pre-made application component template. Cybernetica Modelfit has been used together with logged data from the processes for offline tuning of the model parameters, and the models now represent the processes quite well.

Cybernetica CENIT currently runs the models online for both the Elkem and Hydro pilots. The plan is to extend the physical models with data driven models which continuously adapt the twins to the real processes.

In the Hydro pilot this has been implemented and is currently running online. However, the process model should also have received meteorological input data in addition to process measurements. The weather data is fetched from a public API by a specific component developed by SINTEF (described in deliverable D4.2). Due to security restrictions, it has not yet been possible to connect to this data from the computer where the online model runs, so important input to the model is still missing.

For the Elkem model, there are so few online measurements that it is uncertain if the data driven model can be sufficiently updated from them. However, it is planned that the process model will

receive estimated parameters as inputs from a data-driven model (AI) of the up-stream furnace process section.

When and if the data driven models are implemented for these pilots, soft sensing of unmeasurable variables in the processes will be possible, and later also extensions for model predictive control can be considered. In the meantime, Cybernetica RealSim has been used as a plant replacement simulator for testing different scenarios with the twins.

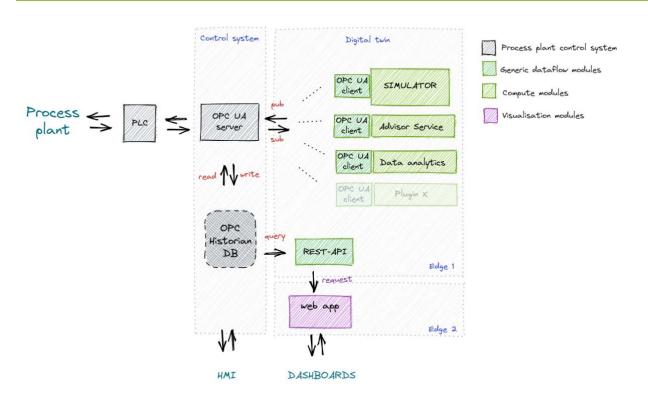
The generic part of Cybernetica CENIT was extended with functionality for evaluation of the quality of both the input signals and its own calculation results. This functionality has been refined and improved in the last year. In the case that invalid input or calculation results are detected, the application will send a notification of this via an OPC connection. Thus, the plant operators can be notified, and a proper fallback solution can automatically be activated. This extension forms an important foundation for adding more sophisticated error detection and self-examination algorithms, like the proposed Cognitive CENIT extension, described in the Appendix.

#### 9.2.2.2 Bedrock Toolbox

The Bedrock Toolbox by SINTEF has since the last milestone undergone further development to allow for improved configuration, scaling and orchestration of running applications. Installation, updates and monitoring of deployed docker container bundles are orchestrated from a central git repository using Ansible. This enables simultaneous remote orchestration of several instances on different servers, which is useful in several aspects, e.g. during implementation of updates on system prototypes operating on several plants at the same time.

Development activities regarding SINTEF in-house software modules in the framework, has since 2021 focused on further development of data workflow functionalities applying the OPC UA protocol. Figure 13: shows an example architecture for implementation of a digital twin with a process plant OPC server.





### *Figure 13: Data workflow architecture of BEDROCK application using the OPC UA protocol for implementation of a digital twin in a process plant control system.*

In Figure 13:, the process plant OPC UA server is applied as message broker allowing for bidirectional communication of data between the process plant and the digital twin. All compute modules apply an OPC UA client submodule in order to read and write tag data in the central OPC UA server. This allows for flexibility and a modularized digital twin framework with all the modules able to read and write data and having access to both process plant data and data produced by different compute modules. New compute modules can easily be added to the framework in order to modularize different tasks and compute features.

The architecture above requires the establishment of new writable tags in the process plant OPC server for writing of simulated/calculated values or messages from the compute modules of the digital twin. During the development and testing phase, this can represent system administration and a potential challenge to the plant owners, and can worst-case introduce risk to the plant operation. Therefore, a further extension to the architecture has been developed in order to allow for deployments of digital twins that does not write setpoints or data to the plant OPC server. This solution applies 'read-only' functionality between the digital twin and process plant and does not write setpoints back to the plant control system. In this case, the digital twin framework has its own internal OPC UA server and OPC Historian database, allowing writing of calculated data, setpoints and messages from the compute modules into the framework dataflow while reading tags from the plant OPC UA server. This solution has allowed for quicker access to testing of frameworks on industrial plants in SINTEFs projects.



Edge 1

Edge 2

#### DT-SPIRE-06-2019 (870130) **Deliverable D5.3** Digital two Control system Process plant control system SIMULATOR Generic dataflow modules ope UA client Compute modules Visualisation modules 2 1 13 Process -OPC UA OPC UA client PLC Advisor Service server plant 13 Data analytics Plugin X

Control system

11

HMI

#### Figure 14: Data workflow architecture of BEDROCK application using the OPC UA protocol for implementation of a digital twin in a process control system with read-only permission from the plant OPC UA server.

DB

REST-API

Vrequi

1

DASHBOARDS

This setup can be applied for process decision support, data analytics or predictions without writing setpoints back to the control system. Since it only requires permission to read data from the process plant OPC UA server, it does not risk interfering with the operating plant. This allows for easy testing and development of digital twins based on access to real data. The first step of implementing advanced process control with process setpoints written from a digital twin, can often be to start out with the implementation of a digital twin for decision support. Over time, decision support functionalities are validated based on experiences done with the system, the next step of implementation can then be to also allow for writing of setpoints to the plant control system, or implementing the architecture shown in Figure .

The OPC UA data workflow modules in BEDROCK are developed at SINTEF, and allow for flexibility due to no 'black-boxes' in the software. This is beneficial in the development and testing phase, but lacks industrial robustness. Since the OPC UA modules are based on a standard protocol (OPC UA), they can easily be replaced by commercial industrial OPC UA software upon final implementation.

These OPC UA data workflow modules of the framework can also be replaced or combined by other form of communication protocols, f.ex. MQTT or Kafka, depending on the data workflow needs and the data source applied. In this case, the modular approach of the BEDROCK toolbox allows for flexibility in the replacement or addition of new modules in the framework.

Data from the Sidenor pilot, currently present as Excel export files from the plant, will be imported and structured in a relational time series database. This will enable easy structuring of the data set for application in hybridization between physics-based models and machine learning. Implementing the

developed models in the above framework is possible but will not be done now as we will do this with StreamPipes.

#### 9.2.2.3 Pragmatism in physics-based modelling

*Pragmatism in physics-based modelling (PPBM)* has been applied and further developed in the Sidenor pilot case. The industrial task is related to the lifetime of a ladle in the steel industry. A ladle is a container for liquid steel, typically filled with 100 – 180 tons of liquid steel. For each use of a ladle the refractory will be eroded and after N uses the ladle must be taken out of use in order to avoid serious accidents (more than 100 tons of extremely hot liquid steel, flowing out and onto the floor in the plant). The task is to find a method to extend the number N, without compromising with safety.

The PPBM process was applied to the above-mentioned industrial case. Based on access to offline data from the plant and multiple discussions with the pilot owner, a quite clear picture of the challenge could be formed. A physics-based model was proposed and implemented. The numerical implementation, written from scratch, has been done using Python 3. The model is aiming to predict the temperature evolution in the system (steel, slag, refractory) and the erosion evolution of the wear bricks of the refractory. A number of challenges have been faced due to the complexity of this task:

i) The model must be fast. Therefore, it is designed locally (at each height) to be one-dimensional through the refractory. Conservation equations for energy of metal, slag, and refractory (quasi 2D) are included, ii) A ladle is going through multiple operations for each use. This requires specific model boundary conditions for each part of the sequence, iii) The boundary conditions (energy and composition of dissolved species) are complex due to applications of gas-induced stirring, natural convection, radiation (only heat), and use of submerged electrodes in the slag, iv) The addition of alloying material and slag formers consume considerable heat. This necessitates an enthalpy-based description of the slag and metal that can handle heats of phase transition. Thermodynamic data is not readily available for such systems, v) The erosion of the refractory is driven by thermal shocks and dissolution of refractory when contacted by slag and metal. The solubility of refractory components into the slag and metal can only be obtained from thermodynamics software, built on lab experiments, vi) The mass transfer is depending on natural convection and forced convection due to application of gas (bubble) stirring. Both this and the additional impact of surface waves must be represented, and vii) In addition, there are processes that must be considered (waiting times between uses, use of burners and lids) and mistakes in the data input given by operators.

The model should be fast enough to predict the thermal evolution and erosion losses during the lifetime of the ladle, and this to happen in an acceptable time (<1 h). From the learnings, indicated by i) to vii) above, several general recommendations for improvements of the *PPBM* is extracted and documented for future application.

It was found that some transient data that is logged may not be relevant. By running the physics-based model the first temperature recorded for each ladle heat was deemed incorrect. It was later verified that this was true as this was a temperature that was sitting in the system from the previous heat. This would not be possible to detect with a pure ML/AI-approach. In addition, it was found that some heats with low reported tonnage was resulting in unreasonably large, predicted steel temperatures. It was then clarified that the steel mass data, as reported, could not be used as the reported mass was the tonnage of steel passed through the casting machines. Sometimes that cast was interrupted due to casting problems and non-cast steel was saved for later casting.

#### DT-SPIRE-06-2019 (870130)

The model is currently predicting temperature evolution and refractory erosion due to mass transfer. During first tuning of the model against data, it was found that data could only be explained if the thermal conductivities in the wear bricks are significantly tuned. This indicates that steel is penetrating in between the bricks due to the large hydrostatic head of steel. This is further supported by thermal images which show that the higher outer steel shell temperatures are found at the lower part of the ladle where erosion during the first heats are low. This is in itself a significant contribution to the understanding of the phenomena the controls refractory behaviour and safe operations.

A strategy is developed to exploit all the available data and tune the model such that both temperature evolutions and refractory erosion can be exploited. The strategy involves several steps. This is one level of hybridization. In the second step, contributions to ladle life, which have not been explained by the physics-based model, is subject to direct ML modelling.

Due to the complexity of the modelling tasks, still significant simplifications are introduced. As we have been going through this process the learning are generalized and included into the *PPBM tool*.

**Publication of results.** The details of the learnings and recommendations for an improved PPBM tool will be published in a paper, dealing mainly with the methodology and the process for developing pragmatism-based physics models. In a second paper we will describe the physics-based model in full detail and show validation of the model against data.

After this, papers on hybrid and cognitive twins, where the focus will be on methods to exploit the interaction between physics-based and data-based (ML/AI) methods, will be produced.

The results from the model will be shared at github.com at the end of the project. This will include source code and documentation. This code will be available under an open-source licence and can be reused for similar projects in the metals industry and other related industries.

#### 9.2.2.4 FouMon fouling monitoring tool

The UOULU (University of Oulu, Intelligent Machines and Systems research unit) has considered solving the WP3 pilot case problem since Sept 2021, with focus on monitoring of fouling at power plant heat exchange surfaces. From the methods/tools point of view, the work has consisted of development of a physical dynamic nonlinear simulation model of a heat exchanger. The developed Matlab Simulinkmodel has then been used in Bayesian state estimation in order to estimate the heat transfer coefficient between flue gases and the water-steam circulation. The heat transfer coefficient is directly related to the amount of fouling at heat transfer surfaces.

The ensemble Kalman filter (EnKF) algorithm was chosen in nonlinear Bayesian state estimation, in order to further reduce the number of physical model simulations required on-line. In addition, the subspace identification together with a state observer was designed, in order to provide a computationally less demanding alternative implementation. The model-based estimations were compared with direct estimation of the overall heat transfer coefficient from data, using process operation data from the WP3 pilot. The experiments confirm and support each other in that an on-line indication of fouling can be obtained. The research is on-going, aiming at optimization of soot blowing actions. Deliverable D3.3 and the following publication describe the work in more detail(Liukkonen, et al., 2022)

#### DT-SPIRE-06-2019 (870130)

The EnKF state estimation technique is a generic approach, applicable for state and input estimation problems for a wide variety of process engineering problems. The same applies for model reduction via subspace identification. The algorithms can be linked with the COGNITWIN toolbox / StreamPipes in the same manner as the FUSE tool, by connecting to an OPC-UA server (see D4.2). A general purpose Matlab-code for execution of the EnKF algorithm is made available for download.

A video demonstration of the FouMon tools with application to WP3 pilot is available.

#### 9.2.2.5 Hybrid model designer for StreamPipes

One of the most challenging tasks in the hybrid modelling is enabling an efficient creation of hybrid models, since it requires an efficient orchestration. We argue that StreamPipes is a very suitable framework for such a hybridization due to its pipeline-oriented nature.

This component uses these functionalities to support the creation of hybrid models.

In the following figure we illustrate the integration of two data-driven models (developed for the Sidenor pilot), whereas the output of one model is used as an input for the other model.

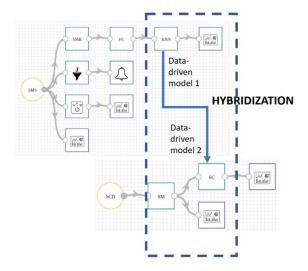


Figure 15: Integration of two data-driven models.

As shown in Figure 15:, the output of one model is used as an input for another model. There can be different ways of connecting and StreamPipes orchestration seems to be suitable for any meaningful combination of models.

#### 9.2.2.6 TIA DATA-GEN

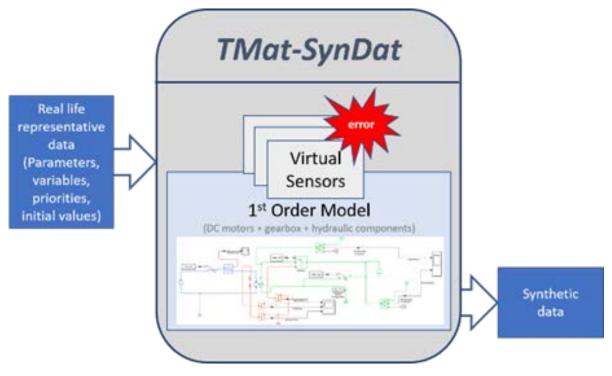
By combining two related models - a data driven-model and a (physics)-driven 1<sup>st</sup> order principal model - a hybrid digital twin has been generated. 1<sup>st</sup> order physics-driven models can be beneficial to the data-driven ones in many ways including but not limited to:

- Synthetic data generation in case of poor data: An example is training a machine learning pipeline for the predictive maintenance. Generally, when a machine is new, it does not have historical sensor data that can be used to train a data-driven approach. When carefully designed, the virtual physics-based twin can generate the needed supervised training dataset. - Data-Driven Digital Twin quality control: When operating a critical infrastructure or asset, it is seen as a risky approach to fully rely on data-driven approaches in taking real-time decisions. To mitigate these risks, it is possible to build a control pipeline in which the physics-based model will be used as a controller to the data-driven predictor. A broker needs to be designed to integrate the two approaches in a seamless way.

Data-driven models can be used to continuously calibrate physics-based models. Machine degradation, wearing of parts, environment, and other factors impacts the overall process performance over time. The state of the practice is that an operator will manually recalibrate the control system when a deviation is identified. Such manual operation can be replaced by setting a data-driven model to identify and calibrate critical process variables that will be fed into a physics-based model, which in turn will optimize the control system of the process.

A hybrid digital twin for predictive maintenance of a component which is composed of electrical and mechanical elements has been generated. The hybrid twin includes the sensor installed on the machinery.

TIA DATA-GEN generates synthetic data from the 1<sup>st</sup> order model elements for common electromechanical parts, including an electric DC motor, a gearbox and a hydraulic press. TIA DATA-GEN works with MATLAB Simulink. Random realistic error sources are generated such as degradation of the components and measurement errors. The output of TIA DATA-GEN is a supervised and annotated dataset in .mat format .



*Figure 16: TIA DATA-GEN to generate synthetic data* 

### 9.2.2.7 Hydraulic/Motor/Gearbox Models

Physical hydraulic/motor/gearbox models for the plant have been developed. The models are developed/implemented using Matlab SimScape with the aim of predictive maintenance purposes. Model parameters have been calibrated and simulated. Potential failure scenarios have been

identified and used in generation of synthetic data, used by ML/AI algorithms for predictive maintenance. The model is enriched with data retrieved from the experts.

Since the last milestone, sensors have been added to the 1st order principal models. Current and temperature sensors have been added to the motor and gearbox model, while a hydraulic press sensor has been added to the previously developed hydraulic press model.

Following the sensor implementations for the models, model parameters have been calibrated and the random error sources have been introduced to the model. Thus, the model has been updated to be ready for predictive maintenance algorithms by introducing sources of random errors to be used in predictive maintenance, adding appropriate sensors to observe the effect of these error sources on important variables of the models and calibrating the geometrical, electrical, and hydraulic parameters of components to make the model as applicable and as realistic as possible.

The TIA DATA-GENis described in the component/tool template in Appendix.

### 9.3 Progress beyond State of the Art or State of the Practice

Several physical and data-driven models have been developed for the pilot cases.

UOULO has developed FouMon, a fouling monitoring tool

TEKNOPAR has created a hybrid digital twin for predictive maintenance, combining a physical model of the process with sensor data and ML/AI algorithms.

Nissatech has developed a hybrid model designer for StreamPipes. It has been applied in the Sidenor pilot to combine two data-driven models.

Important extensions and modifications for the SINTEF Bedrock, SINTEF Pragmatism, and Cybernetica CENIT tools/ platforms have been made that will be used later in the project.

### 9.4 Summary of the key achievements

Task 5.4 has been involved in the development or extension of the following tools:

- several physics-based process models for the pilots
- improvements to the Bedrock platform
- improvements to the Pragmatism methodology
- input signal and calculation results validation (Cybernetica CENIT extension)
- development of FouMon component tools
- Hybrid model designer for StreamPipes
- Synthetic data generator for DC motor and hydraulic shaft

### 9.5 Next steps

As the model development in the pilot processes goes forward, Task 5.4 will continue to monitor how the different models are combined and used together as Hybrid Digital Twins in the pilots. Suitable tool candidates will be identified from the pilot tasks, and generic elements will be extracted, generalized, and finally implemented as tools in the toolbox.

The possibility to use the tools in other pilots will also be investigated.

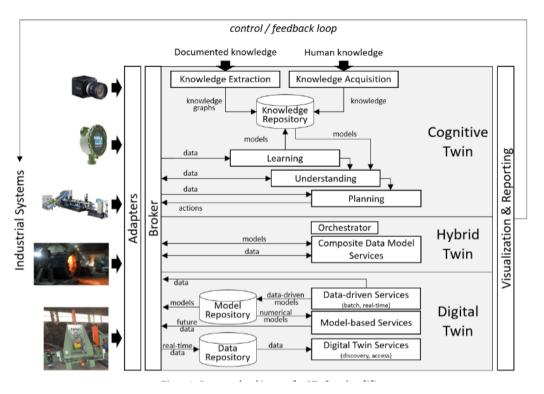
# **10** Cognitive Digital Twins

### **10.1 Objectives, challenges and components**

The main objective of this task is to design the cognition process as a part of industrial decision making and its realization in Cognitive Twins.

During the 1st year of the project, we reviewed the relevant definitions in the literature and documented the results of this analysis in our paper "Cognitive Digital Twins for the Process Industry" accepted for the Twelfth International Conference on Advanced Cognitive Technologies and Applications (COGNITIVE 2020). We defined the cognitive digital twins as an extension of digital twins with cognitive capabilities in the context of the process industry.

For realizing cognitive digital twins in the process industry, an essential aspect is to devise the architectural building blocks that can serve as a foundation for cognitive systems in this domain. We provided our architectural perspective on the type of cognitive services needed for Cognitive Twins in the context of process industry. The proposed architecture provides a blueprint, supporting a wide range of abilities similarly to human capabilities. In Figure 17:we illustrate the role of knowledge in the Cognitive Twin (Layer in the Toolbox).



### Figure 17: The role of Knowledge in the Cognitive Twin

There are many definitions of the cognition, but for this paper we focus on that derived from the cognitive computing domain, which are related to reasoning and understanding at a higher level, in a manner that is analogous to human cognition. We specialize this view for the complex cases where there is a lot of uncertainties inherent in the available data and models. We expect that a human-cognition-like approach will enable a broader, as well as a more connected view on the data and

models. The key advantage is the introduction of new knowledge that should provide missing insights for resolving original cases, as illustrated in Figure 18:Figure .

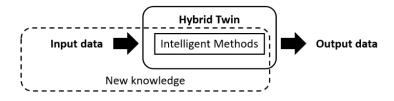


Figure 18: Cognition extending Hybrid Twin solution

As presented in the figure, we assume that "intelligent methods", which can be a part of Hybrid Twin, support the development of a solution that "maps" inputs into outputs. However, the solution might be missing a high accuracy, due to not having enough data in the training set. As illustrated, cognition supports augmenting the input data, as well as intelligent methods with new knowledge (gathered directly from the expert or some other sources), with the goal to generate new outputs (with a higher accuracy). Therefore, we argue that the uncertainty inherited in the problem (e.g., missing data, models) can be resolved by augmenting data and intelligent methods to compensate missing information. Notice that this process is not about getting new data, but rather new insights about existing data (through cognition).

Therefore, the main role of cognition services is to enable understanding the monitored system's behavior under various types of uncertainties/unknowns, to support reliable decision making (by human experts) or control (in autonomous systems). Uncertainties can be of different types, but we focus on the two most important types from the DT point of view: lack of data and unavailability of models regarding the current system behavior. It means that the current system behavior cannot be understood neither a) by analyzing past data, since the relevant data is missing nor b) by the simulations of numerical models, since these do not exist (or are not accurate enough). In such cases, it is important to compensate these unknowns by introducing new processing steps that will gradually improve the understanding of the system behavior, until this understanding is enough for the desired/requested action. This process we consider as cognition, where the processing steps are part of cognition services.

The main challenge is that the real time data is not enough for understanding the current situation (regarding the underlying problem). The main goal of the cognition service is to enable resolution of the original problem by introducing new knowledge which provides new insights for the model learning/creation processes, e.g. introduction of some constraints in the interpretation of originally collected data. Therefore, cognition is working on top of existing models, which can be derived using AI methods, extending the intelligence with the with the deep understanding, and reasoning strategies.

We can materialize this general process by following four steps (cf. Figure ): (1) Inserting new knowledge (relevant for the problem) (2) Learning more accurate models, by applying new knowledge (3) Better situational understanding (e.g. lower interpretation uncertainty), by applying new models (4) Planning actions for resolving the problem, based on improved situational understanding.

We describe these steps in the following: **Firstly**, by knowledge extraction and knowledge acquisition, for gathering knowledge from the existing data sources (e.g. unstructured and semi-structured content) and from experts, respectively. The goal is to collect knowledge related to the uncertainties

in data and models. Since the process is related to supporting human-like understanding, it is important that the process is driven by the well-defined knowledge structures (like knowledge graphs) which provide a general description of the domain. Indeed, one of the main characteristics of the human cognition is a very fast discovery of hidden connections between arbitrary information items, which is based on large memory maps. **Secondly**, by learning, which encompasses applying new knowledge to the existing data, models, and methods, with the goal of learning more accurate models (from existing datasets). There are three main activities: transforming existing datasets in the anomaly-free ones, which can be used for learning more accurate models, improving used learning methods by introducing some knowledge-driven constraints in the learning process, and adding new methods which can complement existing ones in the context of the above-mentioned uncertainties. **Thirdly**, understanding, which is related to applying new models on real-time data to get a better interpretation of the situations of interest (e.g. problem/anomaly detection). We assume that, as in the human-like cognition, this process can be iterative, i.e. understanding processes can generate data which can be used for improving the learning process. **Finally**, planning, for defining optimal actions based on system behavior understanding.

There are many challenges to be addressed to realize the vision of the cognitive digital twins. The most important ones are discussed below:

**Knowledge representation challenge.** The first question to be clarified is how knowledge can be formally represented to enable a digital twin to learn from experience and behave intelligently like a human. All cognitive services mentioned above are heavily dependent on this decision.

The more complex the representation of knowledge is, the more difficult it is to acquire this knowledge automatically. However, more advanced reasoning services can be offered. Our goal is not only to support the decision-making process, but also to increase its accuracy and human-acceptance. Thus, both declarative and procedural knowledge is needed, as questions like 'what?', 'how?', 'when?', 'in what context?', 'what-if?' etc. should be answered.

Several knowledge representation formalisms seem to be suitable for cognitive digital twins. To clearly separate the general knowledge from the specific knowledge, it makes sense to structure the knowledge into two parts: ontologies for representing the domain knowledge and rules for representing the problem-solving knowledge.

To better understand a current situation (i.e. the asset itself, the context in which it is used, its environment, etc.), we consider using ontologies. They are a knowledge representation method that is on one hand expressive enough and on the other hand extensible. They could be used:

- to represent the domain knowledge which includes the vocabulary domain-experts apply (e.g. brick wall: types of bricks - e.g. red shale, clay bricks, etc. - the features of bricks thermal shock resistance, mechanical strength, etc. - and so on) as well as the constraints (e.g. temperature threshold at which the stone is unusable)
- to take into account existing standards for the domain
- to support collaboration between digital twins, e.g. for cooperative execution of complex tasks.

Although simple constraints (e.g. temperature of a ladle must not exceed a certain threshold) can be modeled by using ontologies, there are many scenarios where complex (functional or behavioral)

constraints should be considered (e.g. calculations including results of different physics-based, AI, statistic-based, etc. models). To mimic the reasoning of a human expert in solving knowledge intensive problems, there is a need to use rules (e.g. event condition action rules). Rules should be used even in the presence of incomplete and/or uncertain information to

- (i) focus the attention to the most important aspects and
- (ii) collect additional, goal-oriented information relevant for a given context. This can be done by mapping raw sensor data and/or outputs of different digital twin models into actions (such as control decisions or recommendations for human operators).

**Knowledge acquisition challenge.** The second challenge is to collect knowledge which is not only spread in different documents (e.g. excel tables) and software systems (e.g. error reports in MES systems), but could be also implicit as it is based on personal experience which is even more difficult to express. To make the tacit knowledge explicit and machine-understandable as well as -processable, different cognitive technologies could be used, such as NLP, speech recognition, etc. For example, one possibility is to apply a speech-to-knowledge approach, as speech is relevant for the shop floor workers for short information interchange allowing hands-free conversations. Since the multilingual speech functionality in recent years became a commodity available on smart speakers, mobile phones, and computers, the pre-existing solutions could be reused and added to the cognitive digital twin to enable speech communication channels with human operators. Ontologies can help achieving higher accuracy of resulting rules, as synonyms, multilingual aspects, context, etc. can be taken into account. In this way, the domain and problem-solving knowledge will be connected.

**Knowledge update challenge.** In addition to collecting knowledge, the ability to learn, to unlearn, and to continuously update knowledge is crucial for cognitive digital twins to create competitive advantage. Knowledge update is however a complex process, which includes knowledge extension (e.g. adding a new entity in the ontology for new types of bricks), knowledge forgetting (removing an ontology entity representing material not used anymore for bricks), and knowledge evolution (e.g. changing the maximum temperature of a ladle). Similar strategies can be applied to the problem-solving rules. The challenge lies not only in ensuring the consistency after applying a change, but more importantly in discovering the need for a change. This can be done by applying usage-driven strategies (e.g. by monitoring whether the proposed decisions were accepted by domain experts) or by using structure-driven methods (e.g. by using ontology-based reasoning to discover conflicting rules or generalized/specialized rules).

Table 37 summarizes the challenges, requirements and solutions related to the development of cognitive digital twins for the six pilots. As this is the final goal of the COGNITWIN project, the challenges, requirements and solutions are more individual to each pilot. Since most pilots will utilise some sort of automatic control, the tasks pertaining to that are summarized in Table 38. In Table 39, we show the mapping between the toolbox components and the pilots for task 5.5.

### Table 37: Cognitive Digital Twins – challenges, requirements and solutions

Pilots	Cognitive Digital Twins (by task 5.5) – Challenges, Requirements and
	Solutions

Hydro	<ul> <li>Challenge: Self-learning, reactive/smart hybrid digital twins - for cognitive plants.</li> </ul>
	• <b>Requirement</b> : Methods for automatically identifying faults in the
	Hybrid Digital Twins should be developed.
	• Solution: By employing fault detection methods, the system can
	let the operators know if the results should be discarded or
	trusted, and automatically suggest suitable actions for fault
	mitigation.
Elkem	Challenge: Introduce cognition through knowledge and learning
	from past data and situation in order to provide operator guidance
	Requirement: Interact in a suitable way with operators to provide
	guidance and recommendations
	Solution: Ensure inclusion of operators knowledge combined with
	system situation understanding.
Saarstahl	Challenge: Support self-learning of the system also after initial
	machine learning.
	<ul> <li>Requirement: The system should provide alerts and</li> </ul>
	recommendations for operators and be able to learn continuously
	Solution: Provide interactive operator guidance
Noksel	Challenge: Ensure that the predictive maintenance also takes into
	accound operators knowledge and experiences
	Requirement: Combine the Digital Twin based recommendations
	with the inclusion of operators knowledge.
	• Solution: Extract the tacit knowledge from experts as a basis for
	developing the cognition. Partially by applying unusuality
	detection on past data
Sidenor	• <b>Challenge</b> : Involve cognition into the pilot - self learning and
	reactivity with operator interaction
	Requirement: The knowledge of operators must be acknowledged
	• Solution: Train the operators to work with the model and build
	confidence that the operators, based on model predictions, may
	make a correct decision.
Sumitomo	Challenge: The challenges are in extending from monitoring to
	prediction, and establishing feasible approaches in optimization
	• <b>Requirement</b> : Cognitive DT requires abilities in human-in-the-loop
	considerations and feedback of HDT outcomes to the plant/KPI

### Table 38: Control System Interaction – challenges, requirements and solutions

Pilots	Control – system interaction (related also to autonomous Cognitive Twins) (by task 5.5) – Challenges, Requirements and Solutions
Hydro	• <b>Challenge</b> : Optimal control requires characterization of a feasible cost function and efficient tools for finding solutions. The involvement of decision maker / user in the loop needs to be addressed. Operations can be partly automated, but only partly.

DT-SPIRE-06-2019 (870130) Deliverable D5.3

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	<ul> <li>Requirement: Closed-loop control should minimize process disturbances while staying within operating constraints of GTC operation (silo levels, achievable feed rates, feasible recycle rates)</li> <li>Solution: Nonlinear model predictive control is available and implemented as part of Cybernetica Cenit</li> </ul>
Elkem	<ul> <li>Challenge: Optimal control requires characterization of a feasible cost function and efficient tools for finding solutions. The involvement of decision maker / user in the loop needs to be addressed. Operations can be partly automated, but only partly.</li> <li>Requirement: Closed-loop control should minimize process disturbances while staying within operating constraints</li> <li>Solution: Nonlinear model predictive control is available and implemented as part of Cybernetica Cenit.</li> </ul>
Saarstahl	<ul> <li>Challenge: interfere in real time if critical situation is detected to prevent damage to billet or the roll stand</li> <li>Requirement: sufficiently short inference time of model and suitable visualization for operator</li> <li>Possible Solution: alert operator with sufficient lead time and provide suggestion for action</li> </ul>
Sidenor	<ul> <li>Challenge: Develop decision support, such that both model predictions and operator's experience can be exploited</li> <li>Requirement: Model predictions must be available at the time when the operator must decide if the ladle must be taken out of production or not</li> <li>Solution: Combine the operator's assessment and the model prediction, to arrive at a safe decision, supervised by the operator.</li> </ul>
Sumitomo	<ul> <li>Ch: Optimal control requires characterization of a feasible cost function and efficient tools for finding solutions. The involvement of decision maker / user in the loop needs to be addressed. Operations can be partly automated, but only partly.</li> <li>Req: Solutions must be robust in the industrial environment.</li> <li>Sol: Suitable solutions will be selected by focusing on formulation of the cost function and feasible optimization techniques.</li> <li>Toolbox components: FouCon</li> </ul>

### Table 39: Toolbox components per pilot used by task 5.5 Cognitive Digital Twins

Pilot	Task	1		-	-								w	P5 T	oolb	ox C	ом	PON	ENT	s			-									
		DFKI - : generation of photorealistic data	DFKI: Neuroscope	Nissatech: D2Lab-C	SINTEFs BEDROCK	(IBVA)	TEKNOPAR Machine Learning Library (TMLL)	Visualisation (ICPV)	TEKNOPAR TStreamPipes ML	TEKNOPAR TMat-SynDat	SINTEF Open Framework and Tools (SOFT)	Machine learning for hybrid models (SINTEF)	Pragmatic framework for development of hybrid models (SINTEF)	Cybernetica CENIT	Cybernetica Cognitive CENIT	Cybernetica ModelFit	Cybernetica RealSim	Cybernetica Viewer	Cybernetica ProXim	Cybernetica OPC UA Server	Bonzai (Scortex)	Como (Scortex)	Keras / tensorflow (Scoretex)	Finite Markov Chains Matlab toolbox (MCPC) (UOULU)	FA3ST Fraunhofer Advanced AAS Toolkit for Digital Twins (Fraunhofer)	Spin Pro (Fraunhofer)	Weather API interface MAPI (SINTEF)	Correlation Analysis Toolbox (SINTEF)	FUSE Fuel state estimation (UOULU)	SubFUSE Subspace identification and state estimation (UOULU)	FouMon Fouling monitoring (UOULU)	FouCon Fouling management and control (UOULU)
Hydro	Cognitive Digital Twins			(x)										х	x											(x)						
Elkem	Cognitive Digital Twins			(x)										x	x											(x)						
Saarsta	Cognitive Digital Twins	x	x																							(x)						
Sidenor	Cognitive Digital Twins			x	(x)									(x)	(x)											(x)						
Noksel	Cognitive Digital Twins				(x)	(x)	(x)	(x)		(x)																(x)						
Sumito	Cognitive Digital Twins			(x)	(x)	(x)	(x)							(x)	(x)											(x)					(x)	x

### 10.2 Detailed description of the activities performed

### 10.2.1 Unusuality detection

As we already described in the previous deliverable, cognition is related to the expert knowledge used in (non-trivial) decision making processes. One such a process is the tool/equipment degradation. In the process industry it is especially important since the equipment is used in a very harsh environment (e.g. high temperature) and even more difficult, data related to the condition of the equipment cannot be regularly collected.

We briefly describe the process how the decision about the usage of ladle (equipment) in the steel production (Sidenor pilot).

At the moment, a human expert is in charge of inspecting the state of bricks after every *heat* process and deciding whether they need to be repaired/replaced. He performs **visual inspection** of the bricks (*since he does not have access to them, unless when being repaired/replaced*) and **checks specific parameters related to a current heat process, as well as several previous ones** (5 - 10). If bricks pass visual inspection and parameters have appropriate values current set of bricks is used in the next heat. Otherwise, bricks must be repaired or replaced. Additionally, human expert can see whether he made optimal decision or not, during repairment/replacement when bricks can be closely inspected (*whether he made optimal decision is based on the thickness of the bricks during repairment/replacement*). An **optimal decision to stop further heats** is the one when no further heats are possible. Contrariwise, a **suboptimal decision to stop further heats** is the one when further heats could be done before repairment/replacement.

Based on this discussion we provide a set of constraints which the human decision-making process is exposed to

- 1 Human decision-making is based on his experience and implicit rules which he cannot make formal. Decision-making process is not well defined.
- 2 Human decision-making process is based on **univariate analysis**. Expert checks parameter values for last N heats **individually**, which means that he does not take into consideration possible relation between different parameters it is possible that, when individually checked,

parameters have appropriate values, but if jointly analyzed, they can indicate that there is a problem, and that repairment/replacement is needed.

3 Human expert uses a subset of all parameters during his decision-making process. It is possible that analysis of more parameters would result in better decisions.

As a direct result of the previous problems, human decision-making is prone to mistakes. These mistakes refer to situations in which it is decided that the bricks need repairment/replacement, when in fact, those bricks could be used for several more heat processes. This problem leads to suboptimal use of said bricks.

However, such mistakes happen very seldom, which indicates that a human operator uses a very efficient mechanism for this type of judgment. Indeed, human are precise in detecting small changes in the known environment, based on the so-called Fast thinking process. In other words, an unusuality will be discovered precisely. We assume that this capability is activated/used when making also a decision in the above-described process.

Therefore, we propose to use unusuality detection methods for simulating the decision-making process of the human operator, i.e., his cognition process

### 10.2.2 Cognitive CENIT

Cybernetica has started the development of an extension to its software product Cybernetica CENIT called Cognitive CENIT, which enables self-diagnosing. A framework for self-monitoring of the model predictive control application via stage cost monitoring has been developed and is currently being evaluated on a simplified and simulated test process. The framework consists of the following steps:

- Estimate the measurement error distribution
- Propagate that noise distribution through the closed-loop model predictive controller via Monte Carlo simulations
- Compare the resulting distribution of the average stage cost from the actual plant. If the average stage cost is significantly off from the theoretical distribution, this indicates an error in the closed-loop model. This is illustrated in Figure 19::

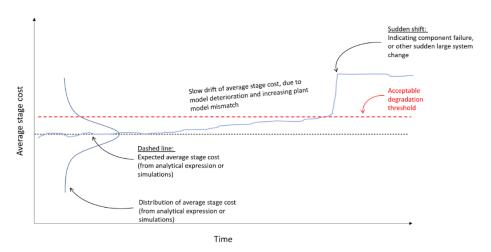


Figure 19: Cognitive CENIT



As shown in Figure 19:, analyzing the average stage cost distribution in closed loop applications can detect anomalies like component failure or model mismatch

### 10.2.3 Plant Monitoring and Control

The UOULU (University of Oulu, Intelligent Machines and Systems research unit) has developed physical models as well as methods and tools for physical model tuning, model reduction and state estimation, as reported in T5.1 (plant DT with ML/AI) and T5.4 (hybrid DT). Applied work is described in D3.3. These tools are to be used for the development of cognitive digital twin services, including prediction, optimization and human-in-the-loop considerations. The tools envision fusion of model-based and data-driven information for optimization and decision-making support tools in plant operation and maintenance. The tools are developed in view of experiences and requirements of the WP3 pilot on fouling monitoring and management problem in particular.

### **10.2.4 TIA PREMA Predictive Maintenance**

Focused on the NOKSEL pilot case of a metal sheet roller system of spiral welded pipes (SWP), TEKNOPAR has worked on related to T5.5. TStreamPipes-ML is developed by TEKNOPAR to apply ML algorithms on the stream and to compare the results of the algorithms. The developed data processor enables users to select the algorithms to be used/compared and the output is displayed on a dashboard.

TEKNOPAR's TMat-PdM component renamed as TIA PREMA, which is under continuous development, can be used for predictive maintenance of the DC motor, gearbox, and hydraulic press. Different ML models have been used by TIA PREMA. TIA PREMAuses MATLAB's Predictive Maintenance toolbox and Classification Learner app. TIA PREMAenables visualization of a confusion matrix for the selected algorithms to present the difference between simulation outputs.

In the related pilot case (the NOKSEL pilot), the cognitive twin will introduce improved decision making by integrating human knowledge into the decision-making process. The anomalies, alarms, and early warnings of machine and system problems will be tackled by the cognitive twin. The decision-making process will emulate the experienced human operator with embedded knowledge base. The cognitive twin will augment expert knowledge for unpredictable cases on the digital and hybrid twins. The human operator's knowledge is reflected to process knowledge and physics-based models with parametric values as well as thresholds and causality relations. Expert knowledge on the causes of breakdowns is collected with the series of the problematic operations and the initial causes which trigger the successive reactions. Cognition will be further integrated by making use of the machine learning algorithms, ontologies, and knowledge graphs to capture background knowledge, entities, and their relationships. Reacting to early warnings, cognitive twins will bring life cycle optimization, and suggesting optimized predictive actions will improve operational performance by optimized operational parameters and it will also decrease energy usage (Figure 20:Figure ).

TSteampipes-ML and TIA PREMA are detailed in Appendix.

**Deliverable D5.3** 



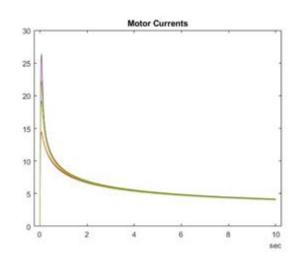
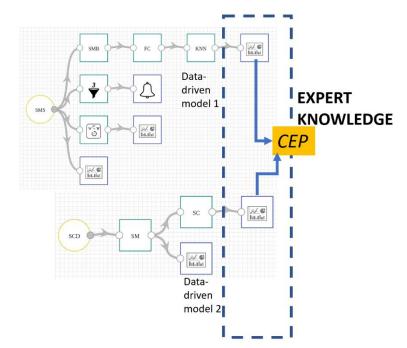


Figure 20: Cognition using StreamPipes Toolbox

### 10.2.5 Cognition using StreamPipes Toolbox.

StreamPipes Siddhi-Processor (SP). Siddhi-Processor's purpose is to extract information and identify meaningful events (opportunities and threats), such as patterns, relationship between events, etc. It would receive its input from SP element(s), execute written query on received **data**, and forward execution result to other SP element(s).



### Figure 21: Complex Event Processing (CEP)

The role of Complex Event Processing (CEP) in codifying expert knowledge. Siddhi CEP performs Complex Event Processing using the Siddhi engine. It provides application of complex logic to the "main" outputs of this pipeline (results of various analytical methods). In addition, this element provides points of connection for this and previous pipelines – CEP can be applied on outputs of multiple pipelines connecting them into one complex pipeline (Figure ).

**Knowledge/Patterns.** Regarding outputs from data-driven models, MEWMA, and KNN elements, we singled out the following queries (patterns) that can be applied:

- Test whether there were more than *M* anomalies in a single heat during a time window of length N. For example, if there were more than 10 anomalies in a time window of 5 minutes, raise a warning. (*uses output from MEWMA element*)
- Test whether there were too many anomalies in single heat with the same root-cause. For example, if there were more than 100 anomalies with the same root-cause parameter, this can indicate some sensor or part of a machine is faulty and should be checked. (*uses output from MEWMA element*)
- Test whether during last *N* heats, a cycle is classified as **1** (*indicating that the degradation is greater than given threshold*) with an increasing probability (certainty). This can indicate that the ladle will soon be unusable and should be monitored closely or even repaired/replaced. (*uses output from KNN element*)
- Test whether during the last *N* heats there were more than *M* anomalies and that cycle was classified as **1** (*indicating that the degradation is greater than given threshold*) *extension to the implemented query in pipeline #2*.
- Test whether during the last *N* heats there were more than *M* anomalies and that cycle was classified as **0** (*indicating that the degradation is lesser than given threshold*) *extension to the implemented query in pipeline #2*.

### 10.2.6 SpinPro

SpinPro stands for "Speech support in Production". The aim of this component is to formalise human implicit knowledge and make it available to other software components to create cognitive digital twins. The component generates machine-processable rules based on speech input.

Fraunhofer IOSB worked on extending SpinPro to combine different speech-to-text frameworks into a distributed speech recognition system. Special attention was paid to the interchangeability of the individual components and the adaptability to a given situation. The reason for this is that speech recognition systems differ in quality. By combining several speech-to-text frameworks, the quality of speech recognition can be increased. In this context, SpinPro has been extended with a framework for distributed automatic speech recognition (DARS). We have also created a video to demonstrate the new features of SpinPro.

### **10.3 Progress beyond State of the Art or State of the Practice**

Most related work is dealing with the self-awareness of digital twins. There are several systems which we briefly analyze in the following text.

In (Kapteyn, Knezevic, & Willcox, 2020) a DDDAS system is extended to a self-aware digital twin to support real-time path planning of an unmanned aerial vehicle according to its structural integrity. In this work, stimulus awareness and goal awareness are implicitly involved.

In (Chhetri & Al Faruque, 2020) a dynamic data-driven approach is applied to the digital twin model for 3D printer products. The digital twin is a machine learning model that predicts the surface texture and

dimension of the product to be printed by using environmental parameters from sensors as input. Stimulus awareness and time awareness are implicitly involved.

In (Roßmann, et al., 2014) a 3D simulation model is used as the mental model for the path planning of a mobile robot. The robot simulates all the possible future paths resulting from different initial parameters. Stimulus- and time-awareness are implicitly involved, the latter to predict future path trajectories.

In (Zhang, Bahsoon, & Theodoropoulos, 2020) twins that can exhibit a high level of intelligence are described. They can replicate human cognitive processes and execute conscious actions autonomously. The paper brings together the concepts of digital twins and self-awareness and discusses how the different levels of self-awareness can be harnessed for the design of cognitive digital twins.

### **10.4 Summary of the key achievements**

There are three key achievements: (1) Initial conceptualization of the cognition, driven by the role of knowledge in the cognitive twin layer (Toolbox) (2) Initial realization of the cognition in two pilots and (3) Conceptual model for the cognition in the StreamPipes toolbox.

### 10.5 Next steps

Since this task is responsible for the realization of the cognitive twins, which are one of the main outcomes of the project, there are several dependencies to other tasks, i.e. the results from these tasks should be fed into this one.

In addition, initial concepts and realizations (as mentioned in previous section) will be further developed and validated.

### **11** Demonstrators

Several videos have been made to demonstrate the use of the COGNITWIN toolbox.

They are available in the COGNITWIN SharePoint, and will also be published on the COGNITWIN YouTube channel:

https://www.youtube.com/channel/UCgHunz1V68YGOxaqVkkyN1A

### **11.1 Updated demonstrators for D5.3**

The following four videos demonstrate novel developments and the state-of-the-art as of this delivery, whereas the remaining videos were made prior and demonstrate the COGNITWIN toolbox components at a somewhat earlier stage.

### 11.1.1 Hybrid digital twin for the Elkem pilot

A video demonstrating the pipeline for the Elkem pilot is available. It shows how Cybernetica CENIT is used as a basis to build a hybrid digital twin. It shows how data flow from the process, how it is stored and distributed to the different tools, used in calculations and finally presented to the users (operators and process engineers). Name: "Hybrid digital twin for Elkem pilot"

### 11.1.2 Hybrid digital twin for the Sidenor pilot

The Pragmatism-based model for the Sidenor pilot is predicting ladle refractory erosion. Predictions of steel, slag and ladle wall temperatures are an integral part of the model as temperatures control thermodynamics. A video demonstrating the PPBM-based development and use of the model is available: "Physics-based model for ladle refractory erosion".

### 11.1.3 SpinPro

A video demonstration of the SpinPro-component is available. It demonstrates how speech recognition can be used to create simple programs for a production, to boost efficiency and ease day-to-day operation. Name: "Spinpro demo".

### **11.1.4 FouMon**

A video demonstration of the FouMon-component is available. It demonstrates how COGNITWIN monitoring tools have been developed by combining physical modeling and process data. This resulted in a decision support tool for fouling monitoring of circulating fluidized bed boilers. Name: "Fouling monitor demo".

### **11.2 Previous demonstrators**

The following three demonstrators are not quite state-of-the-art, but represent the status of the COGNITWIN toolbox as of the previous deliverable D5.2:

### 11.2.1 Hybrid digital twin for the Hydro pilot

A video demonstrating the use of the model and how Cybernetica CENIT is used to build a hybrid digital twin in the Hydro pilot case are available.

### 11.2.2 FPGA platform

Scortex released a video of the first iteration of the FPGA platform.

### 11.2.3 Neuroscope

A video demonstrator on both Neuroscope and the Photogrammetry workflow are available.

# 12 Conclusion

In this deliverable D5.3 we report the methods and technologies developed in the "COGNITWIN Hybrid and Cognitive Twin Toolbox", as well as how they were applied in the six industrial pilots. The report takes the form of an updated version of the previous deliverable D5.2, such that all the previous developments are included for the sake of continuity.

The overall goal of the COGNITWIN project is to support European heavy industries through digitalization, with the aim to significantly improve operation. To realize the COGNITWIN vision, various tools for combining data-driven, physics-based, and machine learning methods are developed.

As of this deliverable, the toolbox consists of components developed to satisfy the specific pilot challenges. Since technical details are best presented on a per-component-basis, and our presentation on the individual tasks focuses on more abstract insights. The bulk of technical details and development status of the individual toolbox components is additionally reported as Appendix 1 and referred to in this document.

Progress has been made since the previous deliverable, and most toolbox components have now been applied to the pilots. Furthermore, many of the components are interacting in a unified manner as part of pipelines. This is a step towards the next milestone of the COGNITWIN project, and full-fledged cognitive digital twins for process industry.

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# 14 Appendix 1. Toolbox components

As described in the high-level overview from deliverable D4.2, the COGNITWIN vision can only be achieved if interoperability between the heterogeneous components is supported. To this end, it was chosen to define standardized interfaces for each component and define pipelines. Figure 22:Figure illustrates the approach.

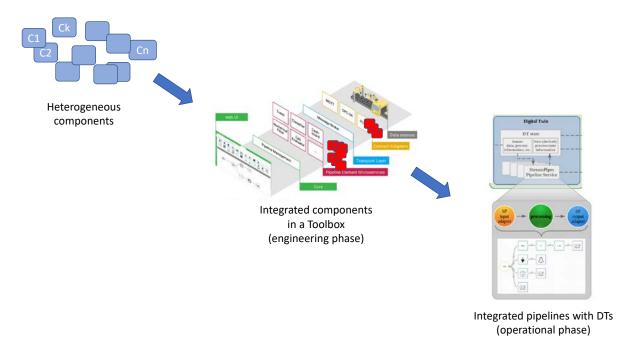


Figure 22: CogniTwin approach for digital twins

In this Appendix, the various toolbox components are described.

### 14.1 TIA UX: Industrial Control and Visualization Panel

**Component/Tool description** 

### Component/Tool/Method/Framework/Service Name

TIA UX: Industrial Control and Visualization Panel

**Defined in Task** 

T5.1

### Short Description – incl. Purpose

TIA UX supports the digital twin by means of visual components presenting the generated data which is retrieved from, and processed within other STEEL4.0 components.

The purpose of TIA UX is to visualize the digital twin. TIA UX visualizes the historical, real time data as trend graphs and status reports by means of different types of graphical elements. Both real-time and processed information that are used for condition monitoring and predictive maintenance of SWP are visualized by TIA UX.

### **Progress since delivery D5.1**

Visual components of all of the SWP machinery parts were prepared.

GUI designs (including the icons, graph types, dashboard elements, etc.) for the display screens were updated, graphical elements to display real-time and calculated fields have been changed.

AI/ML related elements are visualized on GUIs of TIA UX.

Accepted Proceeding Paper including STEEL4.0 Digital Twin (related to TIA UX elements):

Albayrak, Ö., P. Unal "Smart Steel Pipe Production Plant via Cognitive Digital Twins: A Case Study on Digitalization of Spiral Welded Pipe Machinery" has been accepted for publication in the Proceedings of the ESTEP Workshop on Impact and opportunities of AI in the Steel Industry.

#### **Progress since delivery D5.2**

- Customization for the visual components, such as light, background color, foreground color, constrast level etc. have been added.
- Model explosion has been added.
- Overall equipment efficiency and energy consumption is displayed

### **Examples of usage / illustrations**

# COGNITWIN

### DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 



*Figure 23: TIA UX Sample GUI for External Welding of SWP displaying Real-Time sensor Data.* 



### Figure 24: Real-Time ML Sample GUI visualized by TIA UX.

### Interfaces (in/out) – system/user

TIA UX uses real time sensor data and predictions as input and displays them in the forms of visual elements to the users.

### Subordinates and platform dependencies

Being a web application, ICPV is platform independent, it can run on many different types of browsers including Google Chrome, Safari, Microsoft Edge, Mozilla, Opera, etc.

DT-SPIRE-06-2019 (870130)

Deliverable D5.3

### Licenses, etc. (free for use in the project)

Proprietary/ Subject to license

### TRL for overall component/tool and any parts/subordinates

The current TRL is 5-6 running to be TRL 7.

### References – incl. web etc.

none

**To be considered in particular for the following COGNITWIN pilots** NOKSEL

### 14.2 MAI - Collection of weather data

### **Component/Tool description**

### Component/Tool/Method/Framework/Service Name

MAI - Collection of weather data

### **Defined in Task**

Task 5.1: Plant Digital Twins with ML/AI

### **Short Description – incl. Purpose**

The MET API Interface (MAI for short) is a user interface to FROST, the API developed by the Norwegian Meteorological Institute (MET), which enables public access to weather data. MAI is a software bundle written in Python and is intended to be used as a standard Python module.

The purpose of MAI is to simplify data retrieval from FROST by providing a streamlined user interface. A user can select a location, a time interval, and a series of measurements, then MAI takes care of contacting the correct access point in FROST, submitting a properly composed request, as well as receiving and handling the response. MAI allows querying FROST for three main purposes: 1) Retrieve all available weather-station names in a given area (at municipality level); 2) retrieve a list of all available measurements at a selected location or municipality; 3) retrieve all data available for the selected measurements at a chosen location and time interval. The weather data is collected in a properly formatted Pandas DataFrame for ease of use.

The modularity of MAI allows for flexible development and extension of its features.

### **Progress since delivery D5.1**

N/A

### **Progress since delivery D5.2**

N/A

### Examples of usage / illustrations

To allow MAI to access FROST, a user must first register and receive its client ID. This is done by visiting <u>https://frost.met.no/auth/requestCredentials.html</u> and registering with an email address. MET's API <u>terms of use</u> as well the <u>privacy statement</u> hold in this step. After registration, weather data can be retrieved by the user in one simple call to MAI. The figure below illustrates a minimal usage example.



Figure 25: Minimal usage example for retrieving weather data using MAI

### Interfaces (in/out) – system/user

The software is intended to be run as a standard Python module, imported in a script and run either in terminal or in a notebook. Most functions contained in MAI accept as input location names, list of measurements, and time intervals (or a combination of those). The returned output can be either messages on the screen containing the requested information, or a Pandas

DataFrame containing the weather data, formatted with timestamps in rows and the different measurements in columns. Errors are handled through descriptive explanations and suggestions to the user.

Subordinates and platform dependencies

MAI is available on any platform that can run Python 3.x.

Licenses, etc. (free for use in the project)

none

TRL for overall component/tool and any parts/subordinates none

References – incl. web etc.

none

To be considered in particular for the following COGNITWIN pilots

Hydro.

### 14.3 FUSE

**Component/Tool description** 

### Component/Tool/Method/Framework/Service Name

FUSE

Defined in task

5.1 (5.4)

### Short Description – incl. Purpose

FUSE is a tool for process input and state estimation, fusing plant physical model predictions with process measurements. The model and measurements are fused in nonlinear state estimation using an unscented Kalman filtering (UKF)-based approach.

In particular, the tool is developed for estimation of combustion boiler input fuel composition characteristics. The tool uses a physical model of the CFB boiler hotloop (fluidization and combustion) as well as on-line measurements from the process (flue gas, furnace temperatures, etc). The approach can be applied for alternative state estimation purposes, given that a suitable plant model and measurements are provided. A generalized version is under development.

The UKF algorithm is well known and many implementations are available (e.g. in Matlab Control System Toolbox and Matlab Central open exchange). The FUSE tool focuses on practical aspects: enabling the selection of states/inputs to estimate, measurement selection, data validation and reconciliation, physical model tuning, UKF tuning, and reduction of computational load, so as to support exploitation of computationally heavy physical models in plant operation and control.

**Progress since delivery D5.1** 

The tool has been developed (designed, implemented, and verified) after the last milestone in 2/2020. A paper has been published on the physical model tuning, available in IEEE Xplore (see References).

### **Progress since delivery D5.2**

N/A

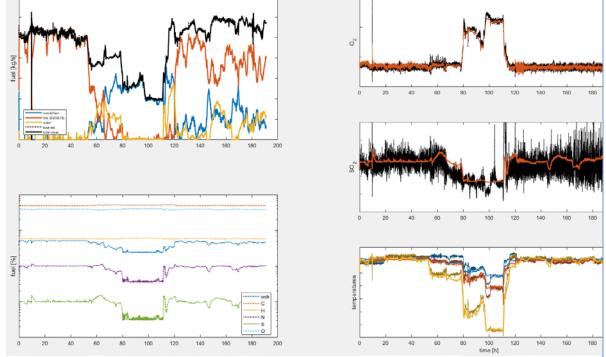
### **Examples of usage / illustrations**

The tool originates from solving the WP3 pilot problem on fuel characterization, as a part of the heat exchanger fouling monitoring problem. The tool was tuned and tested using real full scale boiler plant design and measurement data.

Figure 1 illustrates the estimation filter outcomes during fuel test experiments.



DT-SPIRE-06-2019 (870130) Deliverable D5.3



### Figure 26: FUSE fuel characterization during one-week CFB operation

The top left picture shows the estimated fuel characteristics as a function of time. The coloured lines show the mass flow feed for pure forest wood chips, design fuel mix, and moisture. The black lines show the estimated and measured total fuel feed (indistinguishable). The bottom left picture illustrates the elementary composition of the estimated fuel feed. The right plots show the measured and predicted flue gas oxygen and sulfur dioxide concentrations and furnace temperatures during an eight-day plant operation period. The estimated fuel feeds match with the feeds during five known test setup periods (3 to 8 hours), also performance outside of test periods appears feasible.

### Interfaces (in/out) – system/user

The physical model and measurements are set up in the Matlab m-files. Input data (measurements) are provided as numerical vectors. Interactive tuning is enabled by Matlab interface/graphics. Estimation outcomes are provided as numerical vectors.

A link with StreamPipes is enabled by an OPC-UA client/server component (see FUSE OPC-UA tool).

### Subordinates and platform dependencies

The tool is implemented using Matlab language (m-files). Matlab from the Mathworks is required (FUSE has been tested on Matlab 2020b).

Matlab (2020b) is available on all major operating systems, including Windows 7, Ubuntu 16, Debian 9, MacOS 10 and newer. No particular Matlab Toolboxes are required. Open software such as Octave is known to be able to interpret m-files, but FUSE-codes have not been tested with Octave.

Licenses, etc. (free for use in the project)



DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

The FUSE code is free for use in the project (contact Enso.lkonen@oulu.fi). Description The CFB hotloop physical model is Sumitomo SHI FW Energia Oy proprietary. The plant measurement data in its unprocessed form is proprietary of the pilot plant.

### TRL for overall component/tool and any parts/subordinates

Current state is TRL 5 (validated in a relevant environment) currently being raised to TRL 6 (demonstrated in a relevant environment).

#### References – incl. web etc.

Ikonen & Selek (2020) Calibration of Physical Models with Process Data Using FIR filtering. Australian and New Zealand Control Conference, Gold Coast, pp-143-148. **(Ikonen & Selek, 2020)** 

The generalized Matlab-tool is available at <a href="http://cc.oulu.fi/~iko/COGNITWIN/">http://cc.oulu.fi/~iko/COGNITWIN/</a>

#### To be considered in particular for the following COGNITWIN pilots

Sumitomo SHI FW Energia Oy

### 14.4 SubFUSE

**Component/Tool description** 

Component/Tool/Method/Framework/Service Name

SubFUSE

### Defined in Task

5.1/5.4

### Short Description – incl. Purpose

SubFUSE is a state estimation (soft-sensory) tool which fuses subspace methods for system identification with Kalman filtering. The tool is solely data-driven, it requires IO data (measurements) as input.

In particular, the tool is developed for the estimation of input fuel characteristics of combustion-thermal power plants. Relying on regular process data including flue gas composition measurements, an estimate of the chemical structure of the fuel fed to the furnace of a CFB boiler is provided. The approach can be applied for alternative state estimation purposes as well, given that a suitable plant model can be generated and proper measurements are provided.

The tool has been tested in a simulated environment which aims to replicate the dynamics of the pilot problem. Validation of the tool using data from pilot will be conducted in 2021, a generalized version will be developed based on validation results.

The tool has been implemented in MATLAB, and is available in script format.

### **Progress since delivery D5.1**

The tool has been developed (designed, implemented, and verified) after the last milestone in 2/2020.

### **Progress since delivery D5.2**

N/A

### **Examples of usage / illustrations**

The tool target is solving the WP3 pilot problem on fuel characterization, as a part of the heat exchanger fouling monitoring problem. Using IO data pairs of the process of interest, the tool proceeds in two steps: first, a sufficient Linear Time-Invariant approximation of the governing dynamics is conducted utilizing subspace identification. Once the approximate dynamics is available, a standard Kalman filter is used for state estimation.

For example, Figures 1 and 2 illustrate the performance of the tool in soft-sensing the chemical composition of the fuel fed to a CFB boiler. In the learning phase (figure 1) the tool learns to mimic the dynamics of combustion.



DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

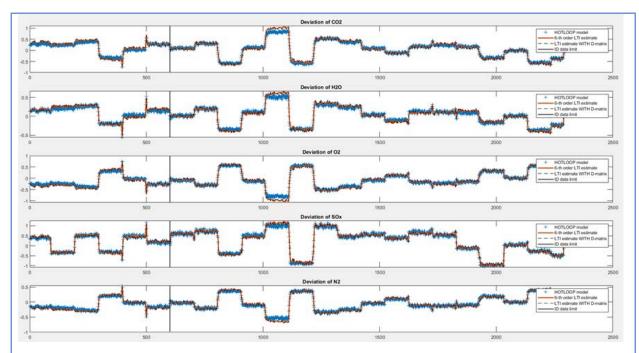


Figure 27: The identified Linear Time Invariant model (red) of a nonlinear combustion dynamic using IO measurement pairs (blue). Training data (left) are separated from the validation data (right) by a black line located at timestep 600.

Based on the IO relationship identified from data, the tool estimates (soft-senses) the chemical composition of the fuel using standard flue gas measurement data available at the power plant of interest (figure 2).

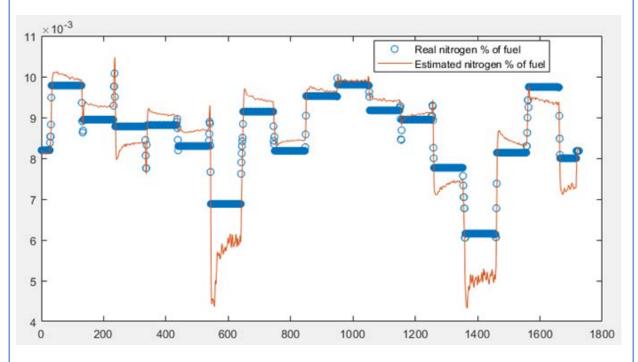


Figure 28: Actual (blue) and estimated (red) nitrogen content of the fuel used for heat generation in the combustion-thermal power plant of interest

Interfaces (in/out) – system/user

The tool is implemented in MATLAB script (.m file). Input data (measurements) are provided as numerical vectors (matrices). Estimation outcomes are provided as numerical vectors (matrices).

A link with StreamPipes is enabled by an OPC-UA client/server component (see FUSE OPC-UA tool).

### Subordinates and platform dependencies

The tool is implemented in MATLAB script (.m file). MATLAB, a product Mathworks is required to run the application. (SubFUSE has been tested on MATLAB version 2020b).

MATLAB (2020b) is available on all major operating systems, including Windows, Unix/Linux and MacOS. The tool uses the MATLAB core, additional toolboxes are not required. Open software such as Octave is known to be able to interpret m-files, but FUSE-codes have not been tested with Octave.

### Licenses, etc. (free for use in the project)

The SubFUSE code is free for use in the project (contact Istvan.Selek@oulu.fi).

### TRL for overall component/tool and any parts/subordinates

Current state is TRL 4 (validated in lab) currently being raised to TRL 5 (validated in a relevant environment).

#### References – incl. web etc.

Istvan Selek (Istvan.Selek@oulu.fi) Markus Neuvonen (Markus.Neuvonen@oulu.fi)

### To be considered in particular for the following COGNITWIN pilots

Sumitomo SHI FW Energia Oy

### **14.5 TIA AI Teknopar Machine Learning Library**

**Component/Tool description** 

### Component/Tool/Method/Framework/Service Name

TIA AITeknopar Machine Learning Library

Defined in task

T5.1, T5.2

### Short Description – incl. Purpose

TIA Alprovides and enables the application of machine learning algorithms and needed to perform smart predictive maintenance for the SWP machinery. TIA AI utilizes supervised, unsupervised, multidimensional scaling, and reinforcement learning algorithms as needed.

To compare ML models used for predictive analysis, GUIs have been developed.

#### **Progress since delivery D5.1**

Since the last milestone, frontend and backend software of TIA Alwere developed.

Multiple machine learning algorithms have been applied to the data passing through the incremental PCA stage to detect anomalies. RF, Gradient boosted tree, LSTM, SVM, KNN, and MLP algorithms have been used.

Unal, P., et.al. (2021) "Data-driven Artificial Intelligence and Predictive Analytics for the Maintenance of Industrial Machinery Based on an Event Processing Platform"

#### **Progress since delivery D5.2**

- Data labelling and data cleaning have been performed.
- ML/DL prototypes have been completed for LSTM, SVM, KNN and MLP.
- Results obtained by trained and tested models have been discussed with the experts.
- Improvements of the algorithms and features are in progress.

#### **Examples of usage / illustrations**

For predictive maintenance purposes the tool is being utilized.

#### Interfaces (in/out) – system/user

TIA DATA data is used by TIA AI as input. TIA AI output is visualized by TIA UX.

### Subordinates and platform dependencies

None (platform independent web application)

### Licenses, etc. (free for use in the project)

Proprietary/ Subject to license

### TRL for overall component/tool and any parts/subordinates

The current TRL is 4 (validated in laboratory environment) running to be TRL 6.

### References – incl. web etc.

none

To be considered in particular for the following COGNITWIN pilots NOKSEL

### 14.6 Neuroscope

### **Component/Tool description**

### Component/Tool/Method/Framework/Service Name

#### Neuroscope

### **Defined in Task**

Task 5.2: Multi-variate Sensor analytics with Deep Learning

### Short Description – incl. Purpose

Neuroscope is a visual debugger for convolutional neural networks. The software is an interactive tool with a graphical user interface intended for interactive use by data scientists on the application level.

The purpose of the Neuroscope software is to allow data scientists to gain insight into the inner workings of a neural network, in the case of a system malfunction or misbehavior. The approach taken by Neuroscope is a network visualization approach, which means that the weights of the inner layers are visualized in a human-interpretable way, which is helpful for understanding why a certain misbehavior occurred. In the context of COGNITWIN, the Neuroscope software will be useful as part of a software toolbox to make machine learning technology practically useable.

The software supports the following major features: (1) visualization of network architectures loaded from PyTorch or TensorFlow files as graph representation, visualization of trained weights by means of (2) activation maps, (3) saliency map, (4) guided back propagation, (5) grad-CAM, (6) guided Grad-CAM, and (7) grad-CAM plus.

The software supports arbitrary networks architectures for classification and semantic segmentation of image-like data and is currently being extended to multi-object detection and localization architectures.

Neuroscope is comparable to systems like Tensorboard. The major difference is the support of exchangeable machine learning backends (TensorFlow and PyTorch), and the interactive use via a graphical user interface.

### **Progress since delivery D5.1**

Since the last milestone, we added the following features to the project: (1) support for semantic segmentation network architectures, and (2) support for guided Grad-CAM. We are currently raising the technology readiness level to TL 7 by bug fixing and implementing smaller improvements. We are currently implementing (3) support for multi-object detection and localization architectures.

# We are currently writing a publication concerning the software (Schorr, Godarzi, Chen, & Dahmen, 2021)

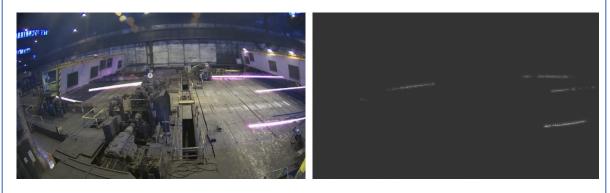
### **Progress since delivery D5.2**

Since the last milestone, we had to cancel a technical attempt to integrate multi object detection and localization architectures via the Explain-to-fix method in Neuroscope. The integration turned out to be technically infeasible for principal reasons. We reconsidered our efforts and are now starting to integrate a method called D-RISE. We published the following paper (Schorr, Luschkova, & Dahmen, Automatic detection of billets in rolling mills using convolutional neural networks with synthetic data, 2021)



### **Examples of usage / illustrations**

The example synthetic image of billet rolling process illustrates the capability of Neuroscope to analyze a given neural network (top left). The task is to segment the image regarding the class "billet" as a component of automatic optical detection and tracking process. Using a saliency metric, a diffuse image of pixels sensitive to the class "billet" is computed (top right). The second visualization method called guided Grad-CAM shows clear regions of high activation in places of billets, as well as some localized areas of low activation (bottom left). The activation map computed by Guided Backpropagation method (bottom right) highlights pixels of high activation exactly at billet locations. The analysis of these 3 types of visualization maps raises the question of why the activation maps do not show high activated pixels monotonously within the bounds of billets. This observation could be the indicator of a poorly trained deep learning model or scarce training data.



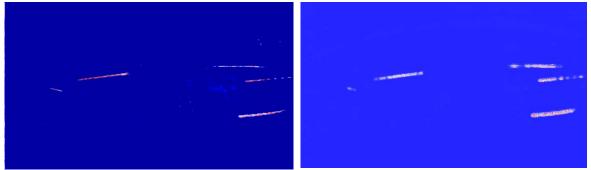


Figure 29: Visualization of the class "billet" using Neuroscope: top left: original image, top right: saliency map, bottom left: guided Grad-CAM, bottom right: guided backpropagation.

### Interfaces (in/out) – system/user

The system features a graphical user interface and is intended for interactive use only. The system can load network architectures and weights in TensorFlow and PyTorch format. It is able to export visualization results in common image formats.

### Subordinates and platform dependencies

Neuroscope is available for Linux and Windows. It supports PyTorch and TensorFlow as backends.

Licenses, etc. (free for use in the project)

We provide a community version under GPL. For commercial licenses, contact DFKI directly (Tim.Dahmen@dfki.de).

### TRL for overall component/tool and any parts/subordinates

6, currently being raised to 7

### References – incl. web etc.

https://github.com/c3di/neuroscope

# To be considered in particular for the following COGNITWIN pilots

Saarstahl.

# **14.7 Aerial Photogrammetry**

# **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

Aerial Photogrammetry

#### Defined in Task

Task 5.2: Multi-variate Sensor analytics with Deep Learning

#### Short Description – incl. Purpose

Photogrammetry is a technique for the capturing of three-dimensional (3D) models from real world environments. The technique works by taking images from manually selected positions and reconstructing a 3D model from the images. As the camera parameters (position and orientation) are unknown, the most important step is to computationally determine position and orientation of each image.

Photogrammetry has a reduced surface precision compared to laser-based surface scanners, but has the immense advantage that it generates textured models in a single step, i.e. the generated models can immediately be used for photorealistic rendering. Another advantage is that photogrammetry is applicable to a wide range of length scales. If combined with macro photography, photogrammetry can capture details on the micrometer scale, if combined with handheld cameras it can produce centimeter scale objects, and combined with drones (aerial photogrammetry), the capture area can extend over several square kilometers.

In the course of this project, we will provide a process for aerial photogrammetry which allows the capturing of entire sections of production plants, such as the Saarstahl milling plant. This workflow will be assembled from commercially available components but adapted to the specific needs if being used in a large indoor-environment with harsh production conditions. The purpose of the captured 3D models is the generation of training data for machine learning applications.

#### **Progress since delivery D5.1**

A number of datasets was captured using different flight patterns from a drone and using a handheld and tripod-mounted camera system. Reconstruction results were compared to determine the optimal capturing mode.

A commercially available photogrammetry software (Agisoft Metashape) was purchased, installed on suitable server hardware, and reconstruction settings were optimized to work with the available datasets.

#### **Progress since delivery D5.2**

The pipeline for synthetic data generation was improved by increasing flexibility (exposing additional parameters) and by improving usability. The documentation was improved considerable. The technical dependencies were consolidated and documented. A controlled installation procedure was developed, documented and partially automated. Several instances of the data generation pipeline from different projects were re-integrated and consolidated on the source-code level, concerning data organization (folder structure) and concerning the version control system.

#### Interfaces (in/out) – system/user

Agisoft Metashape software is a stand-along software that performs photogrammetric processing of digital photographs. It loads arbitrary number of unpreprocessed images in common formats and generates a 3D model of a scenery. Here it is important to capture high-quality and highly

overlapping images of the setting to compute the best quality model. It is recommended to employ a camera with 5Mpx resolution at least and to shoot photographs with 80% overlap.

#### Subordinates and platform dependencies

Recommended configurations for Agisoft Metashape:

- Windows 7 SP 1 or later (64 bit), Mac OS X Mountain Lion or later, Debian/Ubuntu with GLIBC 2.13+(64 bit)
- Intel Core i7 or AMD Ryzen 7 processor
- Discrete NVIDIA or AMD GPU
- 32 GB of RAM

# Licenses, etc. (free for use in the project)

The component is realized as a non-disclosed inhouse workflow.

# TRL for overall component/tool and any parts/subordinates

7

#### References – incl. web etc.

https://www.software3d.de/agisoft-metashape-pro

# To be considered in particular for the following COGNITWIN pilots

Saarstahl.

# 14.8 Bonzai

**Component/Tool description** 

# Component/Tool/Method/Framework/Service Name

Bonzai

#### **Defined in Task**

Task 5.3: Deep Learning Performance

**Short Description – incl. Purpose** 

Bonzai is Scortex python library handling everything related to deep learning on images.

Bonzai is built on top of Keras / Tensorflow. It uses as input a connection to a mongo database for annotations and meta-information (dates, part reference, acquisition system version, ...), as well as an azure filesystem for image storage. The main output is the production of deep learning model in tf.keras format (topology in .json and weights in .h5).

With this library, Scortex engineers manipulate and clean images and their metadata. They use it to train deep learning models and properly evaluate these models.

#### **Progress since delivery D5.1**

Scortex has greatly improved its machine learning library.

A large focus was puton the maintainability and traceability of the deployed systems. From any deployed model, Scortex is able to retrace which images, metadata, and preprocessing was used to train it.

Scortex extended its library to user use cases as well. Previously, only defect detection was supported. Now, the library can handle part detection/segmentation as well as anomaly detection.

Related to inference speed:

Scortex worked on a way to improve all models inference time and published a blog post about it: <u>https://scortex.io/batch-norm-folding-an-easy-way-to-improve-your-network-speed/</u>. This technology is now deployed at some of Scortex' clients.

Scortex worked on improving the architecture speed of the network they are using at their clients. Typically using a smaller / shallower network. The difficulty of this is to maintain good robustness (mostly for repeatability. See this other blog post: <u>https://scortex.io/robustness-and-repeatability-of-modern-deep-neural-networks-a-review/</u>).

Scortex devised real time / light architectures for the task of detection / semantic segmentation as well as anomaly detection.

Scortex has investigated pruning networks but that did not provide good results for inference time, as most software / hardware (example: GPU + TensorFlow) do not support leverage sparsity. It is our hope FPGA technology will be able to do so.

"Distillation": Scortex successfully managed to transfer knowledge from a large network to a smaller one. The performance is not as good as the large model but better than the performance of the smaller model trained on its own.



DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

By combining, Scortex managed to deploy a station capable of a complex inspection of rotating parts. The Scortex box handles the inspection of 3 parts per second, which requires inference of 300 (3 x 100) 1280x640 grayscale images per second. To the best of our knowledge, Scortex is the only company able to achieve such performances in a real-life deployment.

Some experiments such as "Pruning "or "distillation" are not yet in production as the benefits did not outweigh the implementation cost as of today.

Scortex is also working on improving training speed as shown by this blog post: <u>https://scortex.io/extending-selective-back-propagation-to-segmentation-focus-biggest-losers/</u>.

Its new unsupervised demonstrator allows one second training for a very constrained set up. **Progress since delivery D5.2** 

N/A

# **Examples of usage / illustrations**

Below is an example image from our unsupervised anomaly detection demonstrator.

The user can train a model with a few un-annotated images and the model will detect anomalies.

The result shows the original image with a defect score and a defect localization. Here is detects a tiny pen mark on the business card the model was trained on.

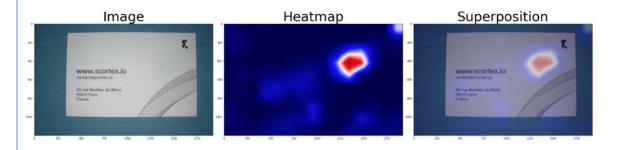


Figure 30: Example image from our unsupervised anomaly detection demonstrator

Example of detections on our supervised demonstrator (less constrained). The part goes on the conveyor belt. Inside the Scortex dark "box" there are 2 cameras filming continuously 1920x1200 colored images. One report is created per part. The (defect) detection is shown with closeups on the bottom of the screen.

# DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

COGNITWI



# Figure 31: Scortex Bonzai software in action

There is currently no frontend to train supervised models using only the mouse but we are hoping to be able to work on this in 2021.

Interfaces (in/out) – system/user

Bonzai connects to mongodb and other database systems to get images and meta-data (e.g.: annotations). Outputs are deep learning Keras/Tensorflow models and pipelines to be used in productions.

Subordinates and platform dependencies

keras/tensorflow, mongodb.

Licenses, etc. (free for use in the project)

Proprietary. In development, remains the property of Scortex. Will be used by Scortex exclusively. TRL for overall component/tool and any parts/subordinates

TRL 7

References – incl. web etc.

https://scortex.io/batch-norm-folding-an-easy-way-to-improve-your-network-speed/

https://scortex.io/robustness-and-repeatability-of-modern-deep-neural-networks-a-review/

https://scortex.io/extending-selective-back-propagation-to-segmentation-focus-biggest-losers/

To be considered in particular for the following COGNITWIN pilots

Saarstahl, Sumitomo

# 14.9 Machine learning to FPGA conversion: Keras2RTL

Component/Tool description

Component/Tool/Method/Framework/Service Name

Machine learning to FPGA conversion: Keras2RTL

Defined in Task

Task 5.3: Deep learning performance

Short Description – incl. Purpose

This tool allows to fill the gap between machine / deep learning development environments and FPGA development environments.

Machine learning development environments are typically based on the python programming language and libraries such as Keras and Tensorflow (or pytorch) relying on the Nvidia low level computing library named CUDA.

Meanwhile, FPGA developments are based on VHDL programming language and integrated development environments (IDE) provided by the company providing the FPGA component. For a Xilinx Virtex Ultrascale+ VU9P, the IDE is named <u>Vivado</u>.

Keras2RTL takes as input a tf.keras model that is a file with .h5 extension which contains the topology of the machine learning neural network and the weights. At Scortex, the Keras model is generated using the bonzai library (see other 5.3 components).

Keras2RTL converts the topology from the .h5 file and generates the VHDL configuration files. These files will be used by Vivado to generate Honir.

Progress since delivery D5.1

This task is currently handled manually by following a defined process to verify the corner cases and technically cover 100% of the scope to unlock the automation of this process.

Its 100% automation will be part of the next steps.

#### Progress since delivery D5.2

N/A

Examples of usage / illustrations

The overall usage flow is:

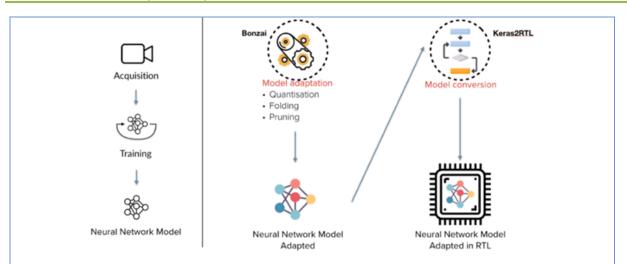
- Train a tf.keras / keras model (typically using bonzai).
- Quantize the model (or train it in a quantized way immediately).
- Convert it using keras2RTL.

Use this model to run inference on images using the Honir component.



#### DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 



# Figure 32: Overall usage flow of Keras model

As a demonstrator, Scortex trained a Keras model on data from one of its demonstrators. The model allows defect detection on common goods parts, such as Lego bricks, electrical switches and door handles.

This deep learning model was quantized using the bonzai tool (see other component) and then processed by Keras2RTL process manually. The VHDL files produced was used for the configuration of the inference engine (Honir: in task 4.4).

In a more general manner, the tool should be used to allow automatic and fast conversion from a Keras machine learning model to a VHDL config file that can be used for Honir creation.

# Interfaces (in/out) – system/user

At a user level (a command line tool) IN : keras .h5 file (topology + weights) OUT : VHDL config file (for Honir tool build in T4.4)

# Subordinates and platform dependencies

This module can work in standalone. It is, however, necessary for Honir (inference engine) to work properly. Today the tool will support only keras models.

Licenses, etc.

In development, remains the property of Scortex. Will be used by Scortex exclusively.

TRL for overall component/tool and any parts/subordinates

TRL5

# References – incl. web etc.

- https://www.h5py.org/
- https://www.tensorflow.org/guide/keras/save\_and\_serialize
- https://github.com/keras-team/keras

# To be considered in particular for the following COGNITWIN pilots

- The Honir platform will be considered as a way to run the tracking system for the Saarstahl use case. In which case, keras2TL will be used to generate Honir configuration.

But it could be extended to any other pilots running deep learning on images.

# 14.10 TIA DATA-GENMATLAB Synthetic Data Generator

#### **Component/Tool description**

Component/Tool/Method/Framework/Service Name

TIA DATA-GEN MATLAB Synthetic Data Generator

#### **Defined in task**

T5.4

# Short Description – incl. Purpose

TIA DATA-GEN (MATLAB Synthetic Data Generator for Electro Mechanical parts) is a synthetic data generator for common electro-mechanical parts, including electric DC motors and a hydraulic shaft.

The purpose of TIA DATA-GEN is to generate synthetic of fault data from the model elements. Hence, the generation of such data enables users to have a model-driven digital twin for a common DC motor, gearbox, and hydraulic shaft and their associated components.

TIA DATA-GEN utilizes several 1<sup>st</sup> order models: DC motor and gearbox models, and a hydraulic press model. For both of these models, random sources of errors (degradation of the components) are introduced. A load representative to what they may experience in the real world is then applied. Virtual sensors will collect data for several specific degradation scenarios. The outcome is a supervised and annotated dataset. The latter will be used in training a ML classifier. The classifier will be used to monitor the condition of the machine in operation and provide early warning for potential fault.

In the context of COGNITWIN, the TIA DATA-GEN output will be useful in conducting the predictive maintenance of the modelled elements.

#### **Progress since delivery D5.1**

Since the last milestone, sensors have been added to the 1<sup>st</sup> order principal models. Current and temperature sensors have been added to the motor and gearbox model, while a hydraulic press sensor has been added to the previously developed hydraulic press model.

Following the sensor implementations for the models, model parameters have been calibrated and the random error sources have been introduced to the model. Thus, the model has been updated to be ready for predictive maintenance algorithms by introducing sources of random errors to be used in predictive maintenance, adding appropriate sensors to observe the effect of these error sources on important variables of the models and calibrating the geometrical, electrical, and hydraulic parameters of components to make the model as applicable and as realistic as possible.

#### **Progress since delivery D5.2**

N/A

#### Examples of usage / illustrations

MATLAB Simulink is used to develop and update the models.

Interfaces (in/out) – system/user

TIA DATA-GEN generates output data in .mat format and/or .csv format.

# Subordinates and platform dependencies

TIA DATA-GENworks with MATLAB Simulink and it converted to operate a standalone executable program.

#### Licenses, etc. (free for use in the project)

TBD

## TRL for overall component/tool and any parts/subordinates

The current TRL is 4 (validated in laboratory environment) running to be TRL 5.

#### References – incl. web etc.

none

To be considered in particular for the following COGNITWIN pilots NOKSEL

COGNITW

# 14.11 Pragmatism in physics-based modeling (PPBM)

# **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

Pragmatism in physics-based modeling (PPBM)

# Defined in Task

Task 5.4: Hybrid Digital Twins

#### Short Description - incl. Purpose

"Pragmatism in physics-based modeling" (PPBM) is a method/framework for developing physicsbased mathematical models. Such models may serve as a digital twin alone or as companion with data-based AI/ML methods, to form hybrid digital twins to exploit the combination of data and physics-based modeling.

The purpose of PPBM is to devise a generic methodology for development of physics-based models for application in digital twins. The PPBM provides a recipe for attacking a digital twin development, starting out from problem definition, information collection, including exact definitions of the output requirements for the model, assembling a system architects team, model specification, use of sub-level empirical or computed data, model building and application. The PPBM can only be developed further through application in industrial cases, like the Sidenor pilot case.

The physics, chemistry, and numerical methods to be used may differ between applications, but PPBM should help the developers (system architects) to run through a set of well-defined steps on the way from problem definition to final application. For each new application using the PPBM, new learning must be extracted and reported (published).

In the Sidenor pilot case offline data is used. The data has multiple challenges. We now explore extending the PPBM to applying a PPBM based application to clean the available data, but also provide additional simulated data. These combined data will be further be explored in a hybrid approach.

#### **Progress since delivery D5.2**

Since the last milestone, we extended the method/framework through development of a physicsbased model for the Sidenor pilot. Following elements have been in focus:

- i) Methods to assure the industrial challenge is fully understood,
- ii) Define the problem in a problem document. Consolidation with the industrial partner. Defining and collecting the needed information,
- iii) Process to agree on model requirements,
- iv) Writing model specification,
- v) Model implementation and adaptation,
- vi) Model verification

The Sidenor pilot is a good case for further development of the pragmatism method. The models are defined to explain the thermal evolution of a steel ladle during its lifetime and predict the refractory erosion from heat to heat. In order to handle the major and complex physics and



thermodynamics (multiphase flows, slag heater, radiation, thermal stress erosion, interface waves, local heat and mass transfer, chemical equilibrium of complex metal-slag systems, dissolution of refractory components) several simplifications are introduced, some based on using Computational Fluid Dynamics (CFD) to create data that can be applied in the simpler and faster model.

In this pilot we apply and extend the PPBM to be implemented in the Python framework where many tools available for Python may be explored when hybridization will be introduced.

The work, involving extensions of the PPBM, will be published under "Pragmatism in industrial modeling, applied to ladle life in the steel industry". A particularly important contribution is to show how such a model can reveal the goodness of the industrial data. This is critical as industrial data, for many good reasons, may be completely incorrect, and it may in some cases be impossible to build automated filters that can fix the problem.

#### **Progress since delivery D5.2**

The PPBM method has now been extended by more strict checks on the data as we have learned that some data cannot be trusted before being checked for consistency. A main learning is that consistency is hard to evaluate without some physics-based model.

The model must be checked against the data and improved and tuned, exploiting alle available data. Methods for learning from the data and tuning have been developed. Here we use residual-based methods and fractional factorial design.

#### **Examples of usage / illustrations**

In the Sidenor pilot, applying and extending the PPBM method, we are developing a model for the ladle refractory erosion. The model can predict the thermal evolution in the refractory and shell. The change of erosion pattern, from heat to heat is predicted. An example is shown below.

We can see that the steel in this case was rather high (position of slag line). Use of the model has indicated that steel may penetrate in between refractory bricks. This will significantly impact the temperature of the steel shell, but also ladle life. In this manner, combining the PPBM-based model, thermal imaging and ML/AI a route to keep track on ladle life is possible.

# COGNITWIN

#### **Deliverable D5.3** DT-SPIRE-06-2019 (870130) Time: 1.57 hours Time: 0.00 hours 4e+039.0e+02 9.4e-01 1.8e+03 9.0e+02 9.4e-01 1600 VallTemperature Oture 1200 1400 880 880 1000 0.4 1200 870 1000 860 800 860 8.5e+02 0.0e+000.0e+00

Figure 33: Left: We see the refractory and outer surface temperature at time metal was filled into the ladle. The ladle was initially eroded. Right: Same case as on the left, but now the erosion pattern has changed since previous heat. In the right subplot we see where the slag is located (slag fraction), on top of the metal.

# Interfaces (in/out) – system/user

The Sidenor application of the PPBM is currently reading data in ascii format (\*.csv, \*.xls) and output is saved as files in similar formats. In addition, data can be saved in the VTK \*.vts format. These files can be loaded into the Paraview (<u>https://www.paraview.org/</u>) tool for 3D visualization of the results.

# Subordinates and platform dependencies

The Sidenor model is using Python 3 and standard Python libraries. It can be run on both Windows and Linux systems.

#### Licenses, etc. (free for use in the project)

The model will be open (free of use) and at the end of the project to be published at https://github.com.

#### TRL for overall component/tool and any parts/subordinates

Currently it is TRL-3. As this belongs to the class of methods it may be developed into a technical standard at a later stage. <u>https://en.wikipedia.org/wiki/Technical\_standard</u>

References	– incl. web etc.
[ZOR+15]	J. Zoric, S. T. Johansen, K. E. Einarsrud, and A. Solheim, 'ON PRAGMATISM IN INDUSTRIAL MODELING', Progress in Applied CFD, Selected papers from 10th International Conference on Computational Fluid Dynamics in the Oil & Gas,
	Metallurgical and Process Industries, vol. 1, pp. 9–24, 2015. Available: https://www.sintefbok.no/book/download/1038
[ZOR+15b]	J. Zoric et al., 'On Pragmatism in industrial modeling - Part II: Workflows and associated data and metadata'. Melbourne, Australia, 7-9 December, 2015, 2015,

**Deliverable D5.3** 

p. 7 pages, [Online]. Available: http://www.cfd.com.au/cfd\_conf15/PDFs/032JOH.pdf .

 [JOH+17] S. T. Johansen, E. A. Meese, J. Zoric, A. Islam, and D. W. Martins, 'On Pragmatism in Industrial Modeling, Part III: Application to Operational Drilling', in Progress in Applied CFD – CFD2017 Selected papers from 12th International Conference on Computational Fluid Dynamics in the Oil & Gas, Metallurgical and Process Industries, Trondheim, 2017, p. 11, [Online]. Available: http://hdl.handle.net/11250/2465068.

# To be considered in particular for the following COGNITWIN pilots

Sidenor and Sumitomo (fouling). The PPBM could further been applied in the Hydro and Elkem pilots.

In addition, the Sidenor application may later be exploited by COGNITWIN partners Elkem and Saarstahl.

# 14.12 Cybernetica CENIT

#### **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

Cybernetica CENIT

#### Defined in Task

5.4 Hybrid Digital Twins

#### Short Description – incl. Purpose

Cybernetica CENIT is a tool for online estimation and nonlinear model predictive control. It can be used as both a soft sensing application and a control application.

Model Predictive control is an advanced control method where a mathematical model of the process is used to predict future behavior. The predictions from the model are used in a mathematical optimization algorithm that calculates the optimal process inputs in order to achieve optimal future behavior of selected variables in the process. Constraints and setpoints may be imposed both on the manipulated process inputs variables and the controlled process output variables. Model predictive control also has the advantage that couplings between variables in the process are taken into account.

#### **Progress since delivery D5.1**

Cybernetica CENIT has been extended with application modules for the Elkem and Hydro pilot processes. These modules contain physics-based models of the processes and make it possible to run online state and parameter estimation, as well as implement soft sensing and nonlinear model predictive control applications.

Cybernetica CENIT has further been extended with routines for validation of the input data and its own calculation results. This includes new interface routines for the application components. This extension forms a basis for the development of the proposed "Cognitive CENIT" tool.

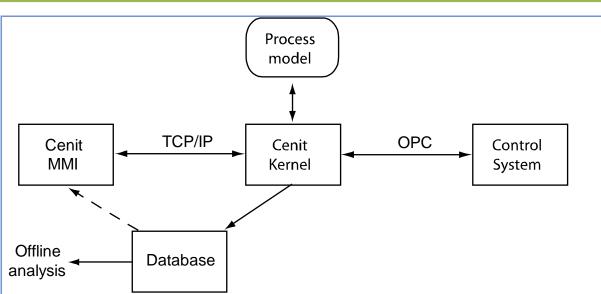
# **Progress since delivery D5.2**

The validation routines added in D5.1 have been improved and the application component interface has been standardized.

#### **Examples of usage / illustrations**

# COGNITWIN

DT-SPIRE-06-2019 (870130)



# Figure 34: Main components of Cybernetica CENIT

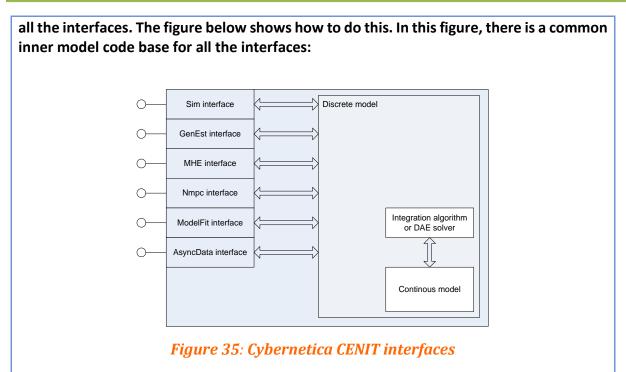
Cybernetica CENIT consists of a generic part and an application-specific part, namely the process model. A Cybernetica CENIT application is defined as Cybernetica CENIT and some process model together.

The following table describes the main components of a Cybernetica CENIT application:

Component	Purpose
CenitKernel	This is the main component of Cybernetica CENIT. It implements
	communication with the process control system and the calculation
	algorithms (estimator and nonlinear model predictive controller).
CenitMMI	This is an engineering interface used to configure and supervise CenitKernel,
	mainly during the engineering phase of the project. The operators interface
	is normally integrated in the existing DCS interface.
Process model	This is the application-specific part of a Cybernetica CENIT application. It
	implements a mathematical representation of the process that is controlled.
Database	An optional database for logging parameters and calculated data from
	CenitKernel. The data is used both by CenitMMI and for offline data analysis,
	and can be used to trend inputs, states and other calculated values.
Control system	This is the process control system (DCS/ PLC), which handles the low-level
	communication with the process. This system is not a part of Cybernetica
	CENIT and should implement an OPC server on a standard form to handle
	the communication with CenitKernel. Both OPC Classic and OPC UA
	interfaces are supported by Cenit. The communication includes process
	measurements, manipulated variables and possibly other variables as well.

The model component is implemented as a Microsoft Windows dynamic link library (DLL). One or more model interfaces can be implemented in such a DLL, depending on which calculation modules shall be used. It is not necessary to implement unused interfaces.

The interfaces do not depend on each other, and it is possible to implement different models for each interface, i.e., a complex model for the simulator interface and a simpler model for the controller. However, it is quite common to implement the same model for



The available interfaces are:

- Sim interface: Used to simulate the process.
- GenEst interface: Used by the Kalman Filter.
- MHE interface: Used by the Moving Horizon Estimator.
- Nmpc interface: Used by the non-linear predictive controller
- ModelFit interface: Used by Cybernetica ModelFit.
- AsyncData interface: Used by Cybernetica Cenit to handle input data that requires special handling; e.g. registration of process event data.

# Interfaces (in/out) – system/user

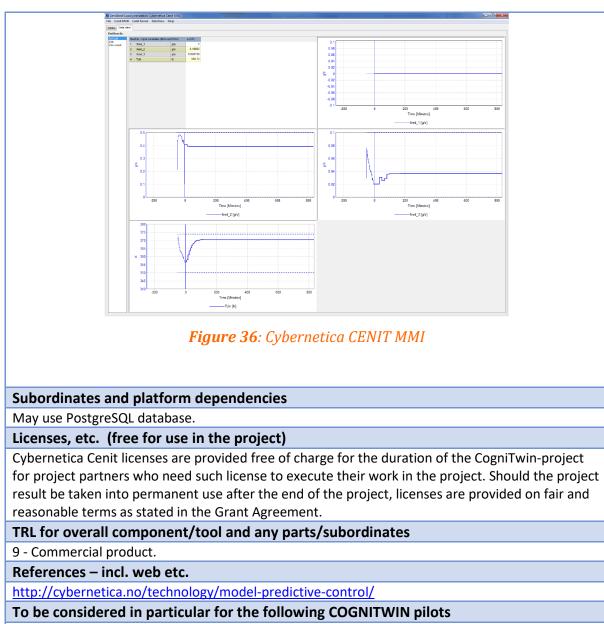
Data can be presented to the user by using Cybernetica CenitMMI, or extracted from the database using the included tool getdbdata.

Example of CenitMMI displaying some historical trend and prediction plots for some manipulated variables:

# COGNITWIN

# DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 



Hydro, Elkem.

# 14.13 Cybernetica ProXim

**Component/Tool description** 

# Component/Tool/Method/Framework/Service Name

Cybernetica ProXim

Defined in Task

5.4 Hybrid Digital Twins

# Short Description – incl. Purpose

Cybernetica ProXim is a software platform for building tailor-made process simulators using the same kind of process models as Cybernetica CENIT.

The platform includes components for simulation and data visualisation.

#### **Progress since delivery D5.1**

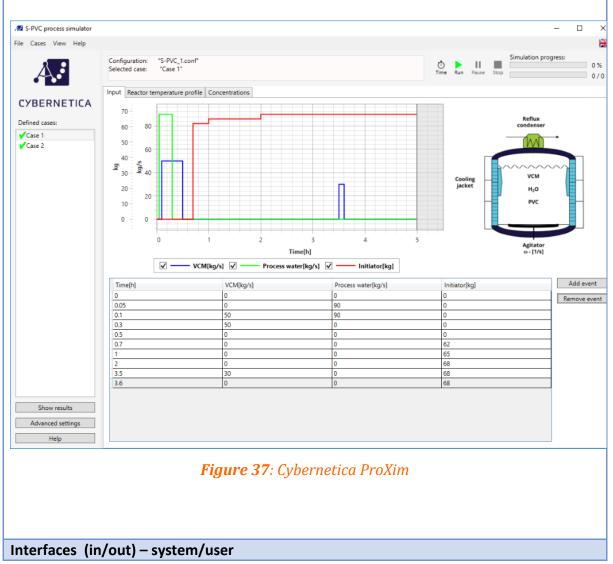
This product has not been updated since last milestone, but the description is included as it will be used at a later stage in the Elkem and Hydro pilots.

# **Progress since delivery D5.2**

N/A

# **Examples of usage / illustrations**

Example of the user interface of a process simulator:





## Subordinates and platform dependencies

Licenses, etc. (free for use in the project)

Cybernetica ProXim licenses are provided free of charge for the duration of the COGNITWINproject for project partners who need such license to execute their work in the project. Should the project result be taken into permanent use after the end of the project, licenses are provided on fair and reasonable terms as stated in the Grant Agreement.

#### TRL for overall component/tool and any parts/subordinates

8

References – incl. web etc.

http://cybernetica.no/technology/model-predictive-control/

To be considered in particular for the following COGNITWIN pilots

Hydro, Elkem.

# 14.14 Cybernetica RealSim

#### **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

Cybernetica RealSim

#### Defined in Task

5.4 Hybrid Digital Twins

#### Short Description – incl. Purpose

Cybernetica RealSim is a plant replacement process simulator used for testing of CENIT or other control applications. It communicates over the OPC protocol in order to replicate the interface to the DCS at the plant as closely as possible. It interfaces to Cybernetica Model and Application Components. The plant replacement model might be the same as the model used in CENIT or it might be a different one in order to evaluate how the controller responds to model uncertainty and unknown process disturbances. Cybernetica RealSim is typically used during application development and for factory acceptance tests.

#### **Progress since delivery D5.1**

Cybernetica RealSim has been extended with application modules for the Elkem and Hydro pilot processes. These modules contain physics-based models of the processes and make it possible to run online state and parameter estimation, as well as implement soft sensing and nonlinear model predictive control applications.

Support for using OPC UA servers for data exchange has been added.

#### **Progress since delivery D5.2**

Functionality for using OPC UA server has been improved.

Support for using text data (strings) as input and output data has been added.

# **Examples of usage / illustrations**

Example of Cybernetica RealSim user interface:

# COGNITWIN

DT-SPIRE-06-2019 (870130)

Deliverable D5.3

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		Parat								Show c
	ample Step	nodule Reset	H 1 Process	Module s simulator	Last 0.000	Mean 0.000	Min 0.000	Max 0.000		Update
As fast as possible v		Terminate	0 CENIT	simulator	0.000	0.000	0.000	0.000		
Infinitely (until stopped) 🛛 👻										
Sim time: 2001-01-01 00:00:00										
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urrent data										
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1 ProcessSim Process simulator	# Name		Un	nit	Value					
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xtpar - External variable		nass flow	kg/		0					1
xtflag - External flags		Water mass flow	kg/		0					1
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verall architecture , ne following figure r Cybernetica CENIT: Cybernetica RealSi	<b>/ pipelir</b> shows	e / workflow	ı (incl. fig	gure –	element			-		/A)



*Figure 39: Cybernetica RealSim as a plant replacement tool for Cybernetica CENIT* 

Interfaces (in/out) – system/user

Subordinates and platform dependencies

Licenses, etc. (free for use in the project)

Cybernetica RealSim licenses are provided free of charge for the duration of the COGNITWINproject for project partners who need such license to execute their work in the project. Should the project result be taken into permanent use after the end of the project, licenses are provided on fair and reasonable terms as stated in the Grant Agreement.

TRL for overall component/tool and any parts/subordinates

8

References – incl. web etc.

http://cybernetica.no/technology/model-predictive-control/

To be considered in particular for the following COGNITWIN pilots

Hydro, Elkem.

# 14.15 Cybernetica Modelfit

#### **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

Cybernetica Modelfit

#### Defined in Task

5.4 Hybrid Digital Twins

#### Short Description – incl. Purpose

Cybernetica ModelFit is a tool used for off-line estimation of model states and parameters, for model validation, and for design of the on-line estimation part of Cybernetica CENIT applications. ModelFit is used to decide which model parameters should be estimated on-line, to design the on-line estimators, and to estimate the parameters that are considered constant. ModelFit interfaces to Cybernetica Model and Application Components, and it supports the same model formats as CENIT.

#### **Progress since delivery D5.1**

Cybernetica Modelfit has been extended with application modules for the Elkem and Hydro pilot processes. These modules contain physics-based models of the processes and make it possible to run online state and parameter estimation, as well as implement soft sensing and nonlinear model predictive control applications.

#### **Progress since delivery D5.2**

Support for text data input has been added.

Examples of usage / illustrations

Cybernetica ModelFit user interface:

# DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

COGNITWH

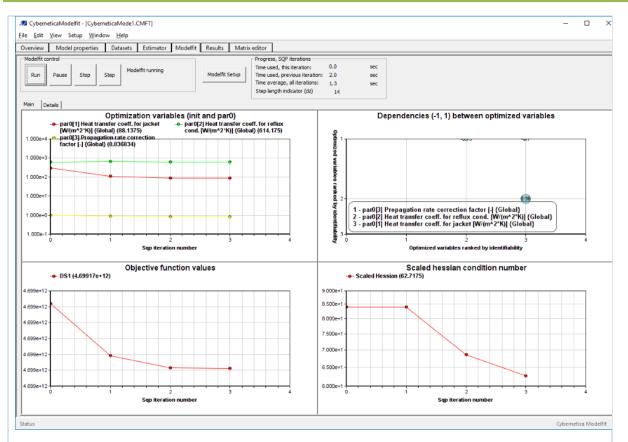


Figure 40: Cybernetica ModelFit

The features of Cybernetica ModelFit include:

Design and tuning of on-line estimators in CENIT applications.

- Estimation of constant or time varying model parameters.
- Estimation of initial states.
- Simultaneous use of multiple data sets.
- Parameter identifiability analysis.

Cybernetica ModelFit is flexible with respect to configuration of the parameter estimation. Parameters can be time varying or constant. Multiple data sets from different operating conditions may be used to find the best parameter fit taken all data sets into account.

#### Interfaces (in/out) – system/user

#### Subordinates and platform dependencies

#### Licenses, etc. (free for use in the project)

Cybernetica ModelFit licenses are provided free of charge for the duration of the COGNITWINproject for project partners who need such license to execute their work in the project. Should the project result be taken into permanent use after the end of the project, licenses are provided on fair and reasonable terms as stated in the Grant Agreement.

TRL for overall component/tool and any parts/subordinates

9 – Commercial product.

References – incl. web etc.

http://cybernetica.no/technology/model-predictive-control/

# To be considered in particular for the following COGNITWIN pilots

Hydro, Elkem.

# 14.16 Cybernetica Viewer

#### **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

Cybernetica Viewer

# **Defined in Task**

5.4 Hybrid Digital Twins

#### Short Description – incl. Purpose

Cybernetica Viewer is a tool for creating user interfaces to display and manipulate data from an OPC server in various ways.

#### **Progress since delivery D5.1**

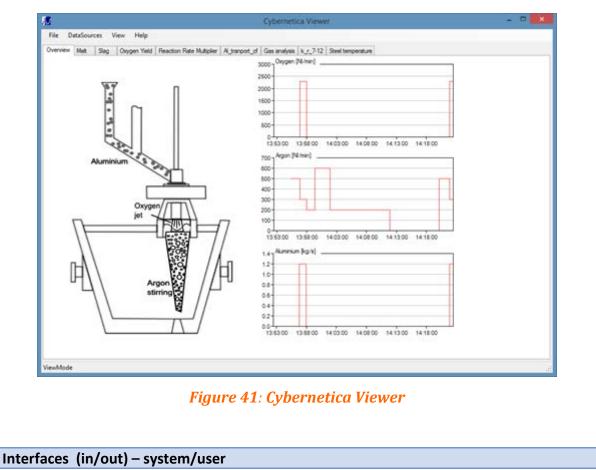
This product has not been updated since last milestone, but the description is included as it will be used at a later stage in the Elkem and Hydro pilots.

# **Progress since delivery D5.2**

No updates.

#### **Examples of usage / illustrations**

The following figure shows an example of Cybernetica Viewer. The user interface is tailor made for the specific application:



OPC classic + OPC UA

Subordinates and platform dependencies

#### Licenses, etc. (free for use in the project)

Cybernetica Viewer licenses are provided free of charge for the duration of the COGNITWINproject for project partners who need such license to execute their work in the project. Should the project result be taken into permanent use after the end of the project, licenses are provided on fair and reasonable terms as stated in the Grant Agreement.

#### TRL for overall component/tool and any parts/subordinates

9

References – incl. web etc.

http://cybernetica.no/technology/model-predictive-control/

To be considered in particular for the following COGNITWIN pilots

Hydro, Elkem.

# 14.17 Cybernetica Cognitive CENIT

**Component/Tool description** 

# Component/Tool/Method/Framework/Service Name

Cybernetica Cognitive CENIT

# Defined in Task

5.5 Cognitive Digital Twins

# Short Description – incl. Purpose

This is a planned extension of the existing Cybernetica CENIT that will add cognition to the application.

The goals of the extension are to:

- Add self-diagnosing capability to CENIT by use of data analysis
- Combine mechanistic modelling of physical processes with machine learning/ AI
- Exploit big data sets from the process to improve the model

Both generic functionality and application specific in the form of a new model interface will be added. The cognitive extension may either extend the current estimator (digital twin) or it may replace it entirely.

Cybernetica CENIT already implements adaption in the form of parameter estimation. In addition we would like develop and implement methods for real-time and offline analysis of the estimator (digital twin) performance related to process data.

In this way it should be possible to automatically classify types of errors: sensor failure, input error or model error. Ultimately, the goal will be to suggest model improvements based on this analysis.

#### **Progress since delivery D5.1**

The development of an extension of Cybernetica CENIT that enables self-diagnosing has been started. A framework for self-monitoring of the MPC application via stage-cost monitoring has been developed. The framework consists of the following steps:

- Estimate the measurement error distribution.
- Propagate that noise distribution through the closed-loop MPC model via Monte Carlo simulations
- Compare the resulting distribution of the average stage cost to the actual average stage cost from the actual plant. If average stage cost is significantly off from the theoretical distribution, this indicates an error in the closed-loop model.

After monitoring and error detection, the next step is to develop error classification and correction routines.

#### Progress since delivery D5.2

A rudimentary implementation of the described framework has been implemented, using Python for the statistical analysis, as a proof of concept. Although it was found that the crude Monte Carlo implementation was prohibitively slow, it showed that it is possible to detect controller degradation.

# COGNITWIN

# DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

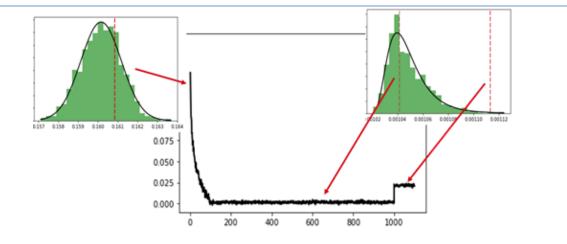


Figure 42: Cybernetica Cognitive CENIT

# Examples of usage / illustrations

#### **Example 1: Error detection**

The performance of the MPC system will eventually degrade over time due to changing plant conditions, i.e. increased plant-model-mismatch. To prevent poor controller performance, the error/performance degradation first must be detected. There are currently no self-diagnosing capabilities in CENIT. An important part of Cognitive CENIT will be the ability to perform self-diagnosis and detect when the controller performance is unsatisfactory. In the case where unacceptable levels of control performance degradation has been found, further action (such as error classification and error correction) is needed.

#### Example 2: Error classification

Estimators are generally unable to distinguish between prediction deviations resulting from the following errors:

- Faulty input data (requires correction or scepticism)
- Faulty model (suggest adaption)

Being able to distinguish between these errors is important because the required response is very different:

In the case of input error, the appropriate response is some combination of correcting the faulty input signal and minimizing the faulty signal's impact on the model-predictive control. This can include:

- Using a default signal instead of the faulty signal,
- Ignoring model state variables that are highly correlated with the faulty signal, and
- Altogether turning off estimation for the affected data points.

In the case of model error, the appropriate response is to try to adapt the model to most accurately reproduce the process data.

An important goal for Cognitive CENIT will be to distinguish between these cases based on an offline training of a classification algorithm.

#### Example 3:

Situations where the model structure is incomplete or wrong may be identified using an automated analysis of the prediction error distributions. Currently Cybernetica CENIT estimators assume that

the model structure is correct, and that the prediction error is normally distributed around a mean value, which the estimator tries to centre at zero. In many cases this is not true, and significant deviation from normally distributed error may imply error in the model structure. Identifying this error is non-trivial and may be a well-suited task for an AI extension.

# Interfaces (in/out) – system/user

TBD

# Subordinates and platform dependencies

- May use PostgreSQL database.
- May use Python and some of its stat. analysis packages such as pandas, numpy, scipy, pyspark, etc.

# Licenses, etc. (free for use in the project)

Cybernetica Cenit licenses are provided free of charge for the duration of the CogniTwin-project for project partners who need such license to execute their work in the project. Should the project result be taken into permanent use after the end of the project, licenses are provided on fair and reasonable terms as stated in the Grant Agreement.

#### TRL for overall component/tool and any parts/subordinates

Cybernetica CENIT: TRL 9

Cybernetica Cognitive CENIT: TRL 1-2

References – incl. web etc.

To be considered in particular for the following COGNITWIN pilots

Hydro, Elkem.

# 14.18 **StreamPipes-ML**

#### Component/Tool description

#### Component/Tool/Method/Framework/Service Name

TStreamPipes-ML Teknopar Machine Learning on StreamPipes as a Data Processor

**Defined in task** 

T5.5

# Short Description – incl. Purpose

TStreamPipes-ML is an Apache StreamPipes based tool, it enables none technical users to select and execute machine learning algorithms for predictive maintenance purposes. The performances and the results obtained by the executed Machine Learning algorithms are presented in forms of graphs.

The tool has an easy-to-use, drag and drop user interface. Different data sources can be used as inputs to the ML algorithms of TStreamPipes-ML, including data from Kafka, and .csv files. The ML algorithms are MLP, GBT, LSTM, RF, SVM, and KNN.

#### Progress since delivery D5.1

Since the last milestone a data processor to conduct an ML application has been developed.

#### **Progress since delivery D5.2**

A new model addition to the executable ML/DL model list has been implemented. Displaying invalid mode message is realized, in cases where invalid ML/DL models are to be included.

#### **Examples of usage / illustrations**

Data coming from Kafka or .csv files can be used by the user selected machine learning algorithms, and the results of the predictive maintenance applied by the selected algorithms are displayed on the presented GUI above.

#### Interfaces (in/out) – system/user

User selected set of ML algorithms are executed on the stream and the results of the algorithms can be compared and graphically presented. Stream data contains sensor data in vector form.

#### Subordinates and platform dependencies

Apache StreamPipes, Apache Kafka, Fiware

#### Licenses, etc. (free for use in the project)

Proprietary/ Subject to License

#### TRL for overall component/tool and any parts/subordinates

The current TRL is 4 running to be TRL 6.

#### References – incl. web etc.

none

# To be considered in particular for the following COGNITWIN pilots

NOKSEL

# **14.19 TIA PREMA**

# **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

TIA PREMA

#### Defined in task

T5.5

#### Short Description – incl. Purpose

TIA PREMA (MATLAB Predictive Maintenance for Electro Mechanical Components) is a model used for predictive maintenance of DC motor, gearbox and hydraulic press.

By studying random scenarios, due to the changes in gearbox efficiency, resistance and damping coefficient values multiple random scenarios can be generated. Using the data fault code, labeling is performed. TIA PREMA uses different ML Models.

# **Progress since delivery D5.1**

All of the related work related to TIA PREMAis conducted after the last milestone.

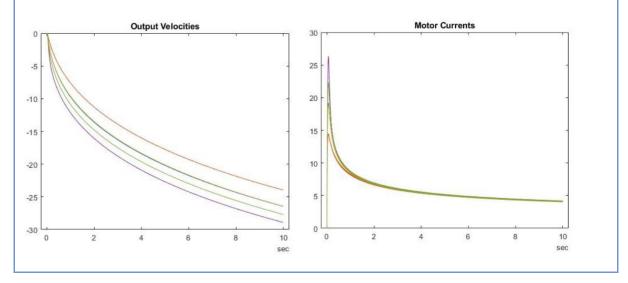
#### **Progress since delivery D5.2**

Early detection of bearing faults have been studied by developed additions that are utilizing vibration signals.

Transfer learnings on pre-trained networks such as AlexNet, GoogLeNet and ResNet-50 has been applied ater saving spectograms. For the studies MATLAB's spectogram function is used.

#### Examples of usage / illustrations

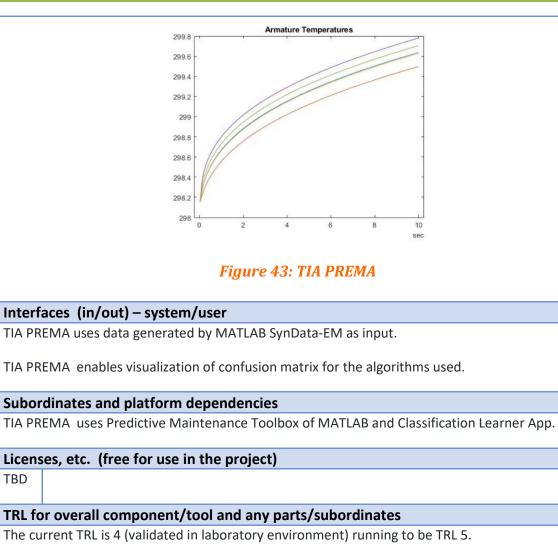
TIA PREMApresents some graphs to display the difference between simulation outputs.



# COGNITWIN

# DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 



# References - incl. web etc.

none

TBD

#### To be considered in particular for the following COGNITWIN pilots

NOKSEL

# 14.20 SpinPro

# **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

SpinPro – Speech support in Production

#### **Defined in Task**

Task 5.5

#### Short Description – incl. Purpose

The goal of this component is to formalize human tacit knowledge and make it available to other software components. This will be done by generating machine-processable rules based on spoken input. In addition, the knowledge base will be extended by the "discovered" rules, while at the same time ensuring the consistency of the knowledge base (e.g., avoiding contradictory rules).

#### Progress since delivery D5.1

During the reporting period, we have defined the conceptual architecture (see below) and performed detailed analysis of the existing speech recognition frameworks.

Today, many speech recognition frameworks archive good accuracies on given test sets like LibriSpeech (Panayotov, Chen, Povey, & Khudanpur, 2015). However, these results often are not applicable to an industrial and commercial usage. Consequently, we focus on making these techniques more robust to their respective environments. Moreover, domain specific language must be recognized as well. Therefore, possibilities for efficient addition of vocabulary to existing frameworks needs to be found. As a first step, we made an overview on some speech recognition frameworks available now and evaluates a subset of them. Additionally, we discuss the possibility to use multiple speech recognition frameworks and evaluate the margin for improvement by using the proposed technique. Moreover, possibilities to add vocabulary by using the proposed technique are presented.

Recently several frameworks for speech recognition were published. Some of them are compared

in

. The data for the comparison is obtained from the linked repositories and connected websites. The word error rate (WER) is obtained from the papers describing the approaches. Most of the frameworks are written either in C++ or Python. In order to evaluate the performance of a framework, the WER on the LibriSpeech test-clean dataset (Panayotov, Chen, Povey, & Khudanpur, 2015) is used. Comparing the WERs, RETURNN (Zeyer, Alkhouli, & Ney, 2018) and Espresso (Wang, et al., 2019) archive the best performance with 2.3 % and 2.8 % WER respectively. Kaldi (Povey, 2020) archived 3.76 % WER and therefore archives the best performance of the C++ frameworks. Even though Kaldi is only the third best performing framework of the compared ones, it is the most important one. Many frameworks are based on Kaldi, for example Vosk (Inc., 2020) and Espresso. The frameworks differ in their approaches. DeepSpeech, Espresso, Eesen, RETRUNN, wav2letter++ and NVIDIA NeMo utilize machine learning approaches. Vosk uses a database to minimize training time and utilize a bigger pool of audio transcripts. In the following the project descriptions of some frameworks are gathered.

Framework	Binding for JAVA	Primary Programming Language	Offline	Open- Source	Best model accuracy on LibriSpeech clean-test (WER in %)	Repository
Sphinx-4	Yes	JAVA	Yes	Yes		https://github.com/ cmusphinx/sphinx4
DeepSpeech	No	C++	Yes	Yes		https://github.com/ mozilla/DeepSpeech
Kaldi	Unofficial	C++	Yes	Yes	3.76	https://github.com/ kaldi-asr/kaldi
Vosk	Yes	C++	Yes	Yes		https://github.com/ alphacep/vosk-api
Eessen	No	C++	Yes	Yes		https://github.com/ srvk/eesen
Wav2letter++	No	C++	Yes	Yes	7.2	https://github.com/ facebookresearch/ wav2letter
НТКЗ	No	С	Yes	No		-
RETURNN	No	Python	Yes	Yes	2.3	https://github.com/ rwth-i6/returnn
Espresso	No	Python	Yes	Yes	2.8	https://github.com/ freewym/espresso
Nvidia NeMo	No	Python	Yes	Yes		https://github.com/ NVIDIA/NeMo
Google Speech-To- Text	Yes	-	No	No		-

#### Table 40: Comparison of speech recognition frameworks

In the following we will focus on:

- Sphinx-4, because it has a native JAVA binding that we needed at the time we first experimented with the frameworks
- DeepSpeech, because it offers one of the best trained open-source models, and
- Vosk, because it uses an alternative approach.

All of them are easy to use, offline and open source and therefore fulfil our most basic requirements. Sphinx-4 slowed down the evaluation process significantly. As seen in **Figure** 44, Sphinx-4s performance is significantly worse. The recognition time takes about 1.8 times the length of the audio part to recognize. In contrast DeepSpeech only takes about 0.68 and Vosk only 0.15 times the audio length. Since also the accuracy of Sphinx-4 is twice as bad as the accuracy of the other ones, the usage of Sphinx-4 is impractical.

Another observation out of **Figure** 44 is, that Vosk (vosk-model-small-en-us-0.3) needs only about half the time to recognize long audio samples than DeepSpeech (deepspeech-0.8.1-models.pbmm). If Vosk and DeepSpeech are used in parallel, this difference is utilizable by reducing the resources for Vosk. Therefore, the recognition is less expensive. Another possibility is using the additional time to improve the accuracy of the result. One facility is to additionally preprocess the audio sample and run the original as well as the preprocessed sample through the recognition process. Thereafter an algorithm combines the two results into one result by utilizing the differences in the recognition results.

# COGNITWIN

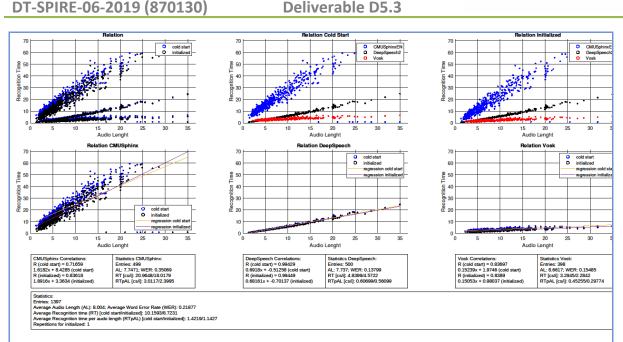


Figure 44: A performance comparison of CMUSphinx, DeepSpeech and Vosk

**Figure** 44 shows performance comparison of CMUSphinx, DeepSpeech (deepspeech-0.8.1-models.pbmm) and Vosk (vosk-model-smallen-us-0.3) on a randomly chosen subset of LibriSpeech's test-clean. On the x-axis the duration of the given audio segment to recognize is given. On the y-axis the needed time to recognize the audio is described. The results are divided by cold start and initialized. Cold start times include the time needed for preparation when initializing a framework. Initialized times do not include any file independent preparation time, like model loading.

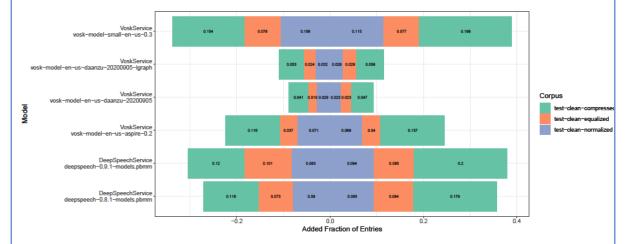
In order to evaluate the potential of such an algorithm, the impact of preprocessing on the recognition results must be estimated first. Therefore, three preprocessings were chosen and the differences in the WERs per audio sample compared. For each of the two LibriSpeech test sets (test-clean/test-other) three additional test sets were created. In the \*-normalized test sets, the volume of the audio samples is raised to a threshold of -1.0 decibel. In the \*-compressed test sets, a compressor was used to reduce dynamic in the audio samples and afterwards normalize them. For the \*-equalized test sets, the intensity of very high and low frequencies was reduced.

**Figure** 45 shows the fraction of audio samples with an improvement and a debasement. Files with no change are not shown. On average over all test-other test sets and tested framework model combinations about 28% of the entries changed with a maximum of 54.6% for vosk-model-smallen-us-0.3 at test-other-compressed. About half of them improved the WER. If the algorithm is capable to distinguish between improvements and debasements, the WER on about 14% (average) of the audio samples is improvable. Consequently, these variabilities lead to a margin for improvement of speech recognition frameworks.

Moreover, a combination algorithm could use specialized frameworks for recognizing domain specific language. Those frameworks only need to recognize a few words similar to finding keywords for speech assistants. This task is significantly easier than a complete speech recognition and therefore allows for smaller models that are trained more easily. This method can also be applied to recognize words that are important for the later use of the recognition result. This use may be the control of a machine or similar tasks. Those tasks usually use a rather limited vocabulary, and it may increase the accuracy if the words are recognized by specialized frameworks. It is important to note that the framework is still usable for the general case even if it uses specialized frameworks,

because the general tasks are still done by generic speech recognition frameworks. The specialized frameworks only improve accuracy where possible.

For the purpose of developing an algorithm that combines the result of different preprocessing for an audio sample recognized by different frameworks with different models, more research has to be done. Most likely an evaluation of the results on word level is needed to be able to distinct features, that give hints over the accuracy of a recognized audio sample. Ideally generic relations between the preprocessing framework model combination and the accuracy of the recognition of special kinds of words or auditory events are found. However, it is likely, that such generic rules do not exist or lead to bad results due to the complexity of the underlaying recognition process. Nonetheless it may be interesting to try using machine learning approaches for the algorithm. We will try to combine several recognitions of an audio sample into one sentence the reduction of post recognition error (RPRE) and will continue to research in this topic.



*Figure 45: An illustration of changes in accuracy per preprocessed file with respect to the original file on LibriSpeech test-clean.* 

**Figure** 45 shows an illustration of changes in accuracy per preprocessed file with respect to the original file on LibriSpeech test-clean. Positive entries signalize an improvement in WER and negative entries signalize a debasement. The original test-clean LibriSpeech corpus contains 2620 entries. The other corpi were created by applying an audio effect to each file. Consequently, each of the corpi contains 2620 entries.

# COGNITWIN

# DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 

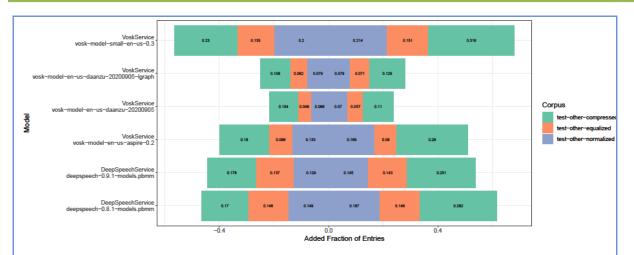


Figure 46: An illustration of changes in accuracy per preprocessed file with respect to the original file on LibriSpeech test-other

**Figure** 46 shows an illustration of changes in accuracy per preprocessed file with respect to the original file on LibriSpeech test-other. Positive entries signalize an improvement in WER and negative entries signalize a debasement. The original test-other LibriSpeech corpus contains 2939 entries. The other corpi were created by applying an audio effect to each file. Consequently, each of the corpi contains 2939 entries.

# Progress since delivery D5.2

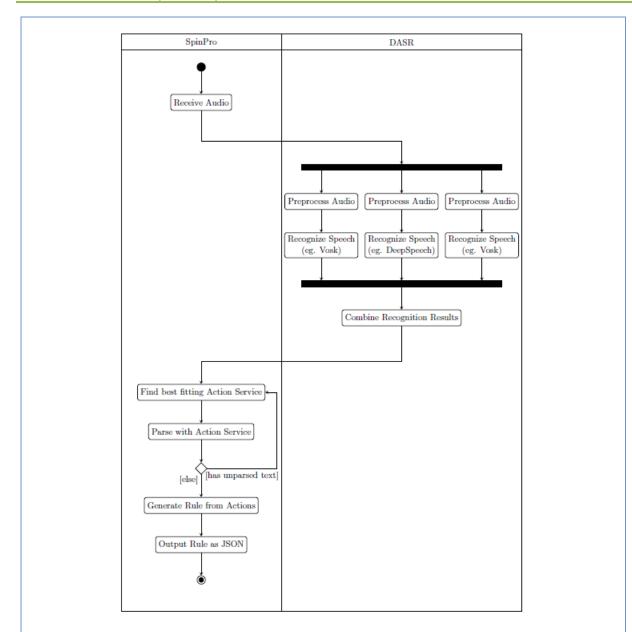
Speech recognition systems differ in their quality. By combining several speech-to-text frameworks, the quality of speech recognition can be increased. During the 2nd reporting period, we worked on a distributed speech recognition system that can be used and deployed in many scenarios. Special attention was paid to the interchangeability of the individual components and the adaptability to a given situation.

In this context, SpinPro was extended by a framework for Distributed Automatic Speech Recognition (DARS). This is shown in Figure 47 below.

# DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 





# Figure 47: SpinPro extension with DASR

Nowadays, speech recognition systems differ in many aspects, especially in their API. They also require more and more resources to perform inference. To use them jointly, the solution can only be to distribute speech recognition. Due to the long inference and the large size of the audio files, this is a challenge all its own. DASR is a system we have developed to overcome this challenge. It is a tool for exploring combination techniques for multi-system speech recognition using distribution techniques for faster evaluation.

At the highest architectural design level, DASR uses a source-worker architecture. DASR has a source component that is responsible for handling jobs, distributing them to workers, combining recognition results and producing the result for a request. The source component of DASR is called the core. The DASR workers are responsible for pre-processing the audio samples and recognition by an ASR algorithm, i.e. for handling a worker request. The core and the workers communicate via a network. In addition, DASR introduces another component called Dev. Dev provides access to





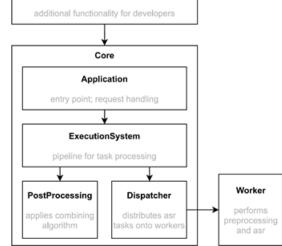


Figure 48: Overview of the DASR components

Whenever a task reaches DASR, it is first checked whether all desired ASR algorithm combinations are available for preprocessing. For each work request, the audio material is preprocessed and then speech recognition is performed with the desired ASR algorithm. The results are collected and forwarded to the combination algorithm. The combination algorithm takes all the recognition results and creates a new combined output. This output is stored with the original recognition results in the corresponding job. When all the jobs in a task are completed, the task is returned with all the results it contains. The entire process is shown below.

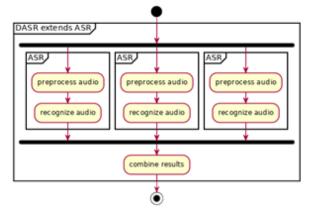
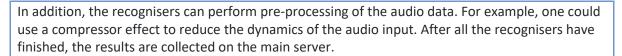


Figure 49: Activity diagram of the general process for distributed speech recognition used by DASR

Examples of usage / illustrations

SpinPro receives the audio data and triggers DARS. DASR itself forwards the audio data to so-called recognisers. Each of these recognisers performs automatic speech recognition using a predefined speech recognition framework and model.

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There, a combination algorithm is used to combine the recognised transcripts into one. The combination algorithm itself is interchangeable.

A typical example is a two-step process in which the transcripts are first matched with a progressive multiple sequence alignment algorithm and then a vote is taken per word. The voting can be done by a neural network, but also by a simple majority vote. After the combination, DASR returns the final transcript to SpinPro.

The next step in the SpinPro pipeline is the so-called release step.

In this step, the user is asked whether the recognised transcript is correct. The user can choose whether to cancel the request or continue with the next step.

The third step is the actual rule creation. It can be very frustrating for a user to receive a rule created by non-deterministic algorithms. The user might get a different result each time. We aim for consistent and deterministic rule creation.

SpinPro has a list of keywords. A heuristic based on the occurrence of these keywords chooses a regex pattern. SpinPro checks whether the regex pattern matches the transcript and, if it does, forwards the match to a so-called service. A service contains the keywords, the regex pattern and the parsing logic. Services are developed independently of SpinPro and loaded as needed.

Since the service must know which inputs and outputs are available, SpinPro manages all inputs and outputs and provides them to the services. Services have also a Callback to SpinPro for parsing a specific string within a pattern. This is useful for actions that contain different actions. A simple example is an IF-THEN rule that contains actions in the THEN part. In addition, SpinPro provides useful parsers for arithmetic expressions and conditions.

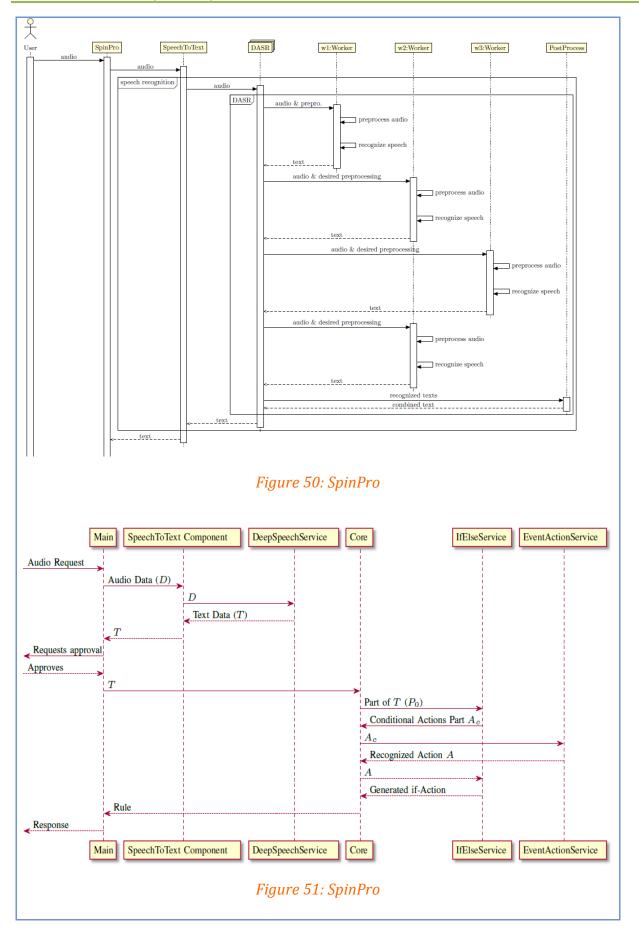
When SpinPro has successfully created a rule, the final step is to store the rule in a JSON syntax. The JSON syntax used is an intermediate format that contains more meta-information than necessary. Part of the meta-information serves only statistical and identifying purposes, another part can be used to check the compatibility of the services used to create the rules with the interpreters of these services. Of course, they also contain the actual rule actions in the format defined by the services. Some action formats such as IF and WHILE are predefined.

The resulting rule is stored in a predefined directory and sent to the client.

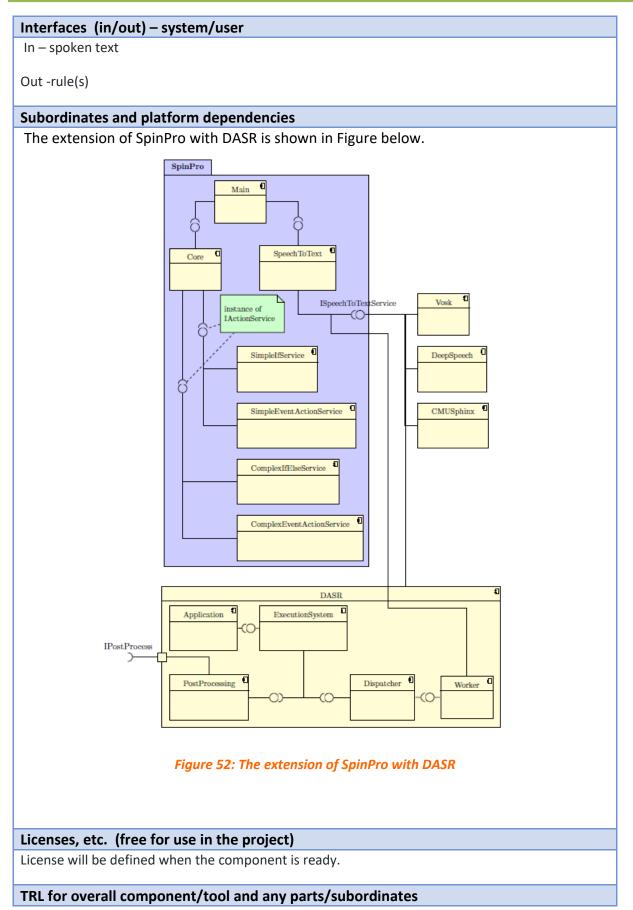
# COGNITWIN

DT-SPIRE-06-2019 (870130)

**Deliverable D5.3** 



Deliverable D5.3



**Deliverable D5.3** 

# TR4

#### References – incl. web etc.

#### Frameworks

- Sphinx-4 https://github.com/cmusphinx/sphinx4
- DeepSpeech https://github.com/mozilla/DeepSpeech
- Kaldi http://kaldi-asr.org/doc/about.html
- VOSK https://github.com/alphacep/vos

## https://github.com/c3di/neuroscope

# To be considered in particular for the following COGNITWIN pilots

TBD - Relevant in consideration for Cognitive services.

# 14.21 FouMon

# **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

FouMon

#### **Defined** in task

5.4 (5.1)

# **Short Description – incl. Purpose**

FouMon is a fouling monitoring component. The component aims at monitoring on-line the state of fouling at heat exchange surfaces, using various alternative and redundant approaches. The approaches include direct calculations using on-line process measurements, model-based approaches using state estimation of uncertain fouling-related parameters, and indexes computed from therein. For state estimation approaches, a heat exchanger model has been derived and the ensemble Kalman filter implemented to fuse the model with on-line data.

In particular, the tool is developed for estimation of combustion boiler superheater heat exchanger surfaces loaceted in the flue gas path. The short-term (between soot blowings) and long-term (between maintenances) fouling and smoothing are to be monitored, based on plant measurements. The component uses a physical simulation model of a heat exchanger between fluegas and the water-steam system as well as on-line measurements from the process (steam and flue gas temperatures, flows, etc.). The chosen state estimation technique (EnKF) can be applied for alternative state estimation purposes, given that a suitable plant model and measurements are provided.

The EnKF algorithm is well known. As no CST/SYSID toobox Matlab implementation is yet available, a Matlab tool has been coded and is provided available for download.

# **Progress since delivery D5.1**

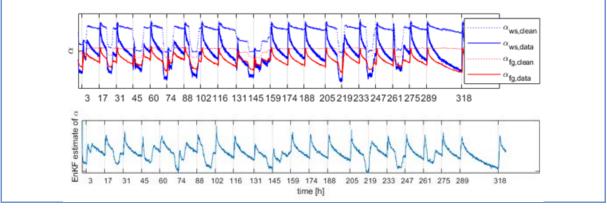
N/A.

#### **Progress since delivery D5.2**

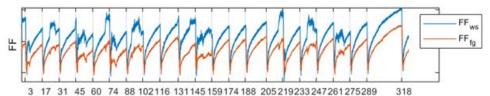
The tool has been developed (designed, implemented, and verified) after the milestone in 2/2021. The development work is ongoing in 2/2022. A meeting paper has been accepted for presentation on the application of the component (see References).

#### **Examples of usage / illustrations**

The tool originates from solving the WP3 pilot problem on fouling monitoring. The tool has been tuned and tested using real full scale boiler plant design and measurement data (WP3 pilot).



DT-SPIRE-06-2019 (870130)



time [h]

Figure 53 Data-driven FouMon heat transfer estimate from pilot during Nov 2021 (top plot), a corresponding model-based estimate (middle plot), and dimensionless adaptive fouling factor indexes.

Figure 53 illustrates the on-line estimated heat transfer coefficients for a superheater in the flue gas path. The data-driven estimate for heat transfer in a clean (after sootblowing) pipe is also illustrated. The estimates are constructed in two alternative ways. Estimates of the heat transfer coefficient in a physical model can be computed by data assimilation. Dimensionless indexes (FF) can be generated for providing process state independent indication of the state of fouling. Figure 53illustrates these using pilot data.

# Interfaces (in/out) – system/user

The tools and models are set up in the Matlab m-files. Input data (measurements) are provided as numerical vectors. Interactive tuning is enabled by Matlab interface/graphics. Estimation outcomes are provided as numerical vectors.

The tools can be linked with StreamPipes by an OPC-UA client/server component (see FUSE OPC-UA tool).

#### Subordinates and platform dependencies

The tool is implemented using Matlab language (m-files). Matlab from the Mathworks is required (FouMon has been tested on Matlab 2020b).

Matlab (2020b) is available on all major operating systems, including Windows 7, Ubuntu 16, Debian 9, MacOS 10 and newer. No particular Matlab Toolboxes are required. Open software such as Octave is known to be able to interpret m-files, but FUSE-codes have not been tested with Octave.

Licenses, etc. (free for use in the project)

The FouMon component/tools are free for use in the project (contact <u>Enso.lkonen@oulu.fi</u>). The plant measurement data in its unprocessed form is proprietary of the pilot plant.

#### TRL for overall component/tool and any parts/subordinates

Current state is TRL 5 (validated in a relevant environment) currently being raised to TRL 6 (demonstrated in a relevant environment).

#### References – incl. web etc.

Liukkonen et al. (2022) Hybrid Modelling Approach to Optimize Fouling Management in a Circulating Fluidized Bed Boiler. 24<sup>th</sup> Fluidized Bed Conversion Conference, 8-11May 2022, Chalmers, Sweden.

The EnKF Matlab-tool is available at <a href="http://cc.oulu.fi/~iko/COGNITWIN/">http://cc.oulu.fi/~iko/COGNITWIN/</a>

# To be considered in particular for the following COGNITWIN pilots

Sumitomo SHI FW Energia Oy

# 14.22 FouCon

# **Component/Tool description**

# Component/Tool/Method/Framework/Service Name

FouCon T5.5, (T5.1)

# **Short Description – incl. Purpose**

FouControl is a tool for active control/prescriptive maintenance of process, derived based on the needs from the WP3 fouling control pilot problem. Potential specific problems include soot blowing automation and prescriptive manitenance actions. The work is conducted in parallel in WP3 and WP5, WP5 focusing on tool development on components potentially generalizible for applications outside of WP3 case problem.

# **Progress since delivery D5.1**

N/A

# **Progress since delivery D5.1**

Work to be started in 1/2022

# **Examples of usage / illustrations**

WP3 fouling control. Potential examples:

- automatic triggering of soot blowing cleaning actions based on the plant state/expected benefits;
- (ii) Decision making support for prescriptive maintenance actions/planning.

#### **Interfaces** (in/out) – system/user

FouControl will use FouMonitoring to enable decision making support.

#### Subordinates and platform dependencies

Compatibility with SFW SmartBoiler platform, tools to be developed using Matlab.

# Licenses, etc. (free for use in the project)

Matlab (commercial), SmartBoiler (proprietary), StreamPipes (open)

#### TRL for overall component/tool and any parts/subordinates

To be validated in a relevant environment (TRL 5)

#### **References** – incl. web etc.

contact: Enso.Ikonen@oulu.fi

# To be considered in particular for the following COGNITWIN pilots

WP3/Sumitomo engineering pilot