

# Mathematical Modelling and Simulation in Cranio-Maxillofacial Surgery

Peter Deuflhard

ZIB & FU & MATHEON

*Imago animi vultus*

Cicero, 106 - 43 v. Chr.

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# Mathematical Modelling and Simulation in Cranio-Maxillofacial Surgery

Peter Deuflhard

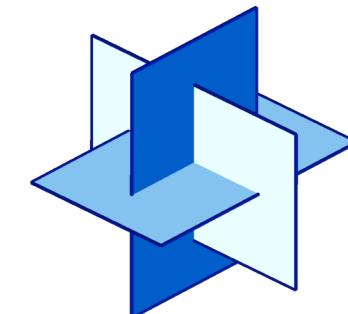
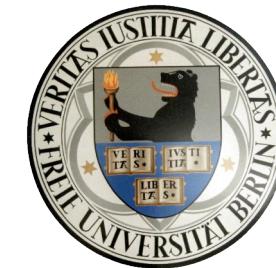
Zuse Institute Berlin (ZIB)

and

Freie Universität Berlin  
Dept. Mathematics / Computer Science

and

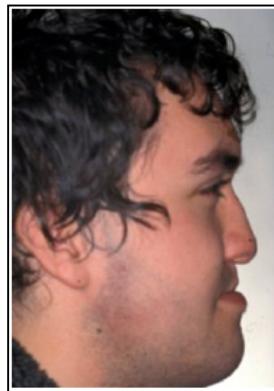
DFG Research Center MATHEON



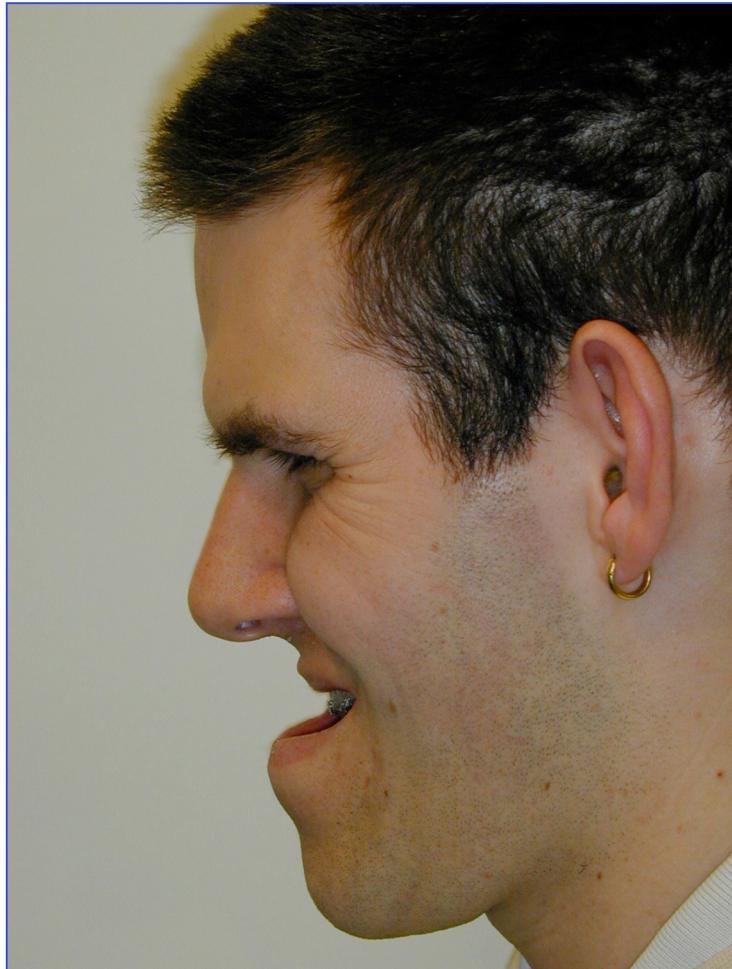
# Cranio-maxillofacial surgery



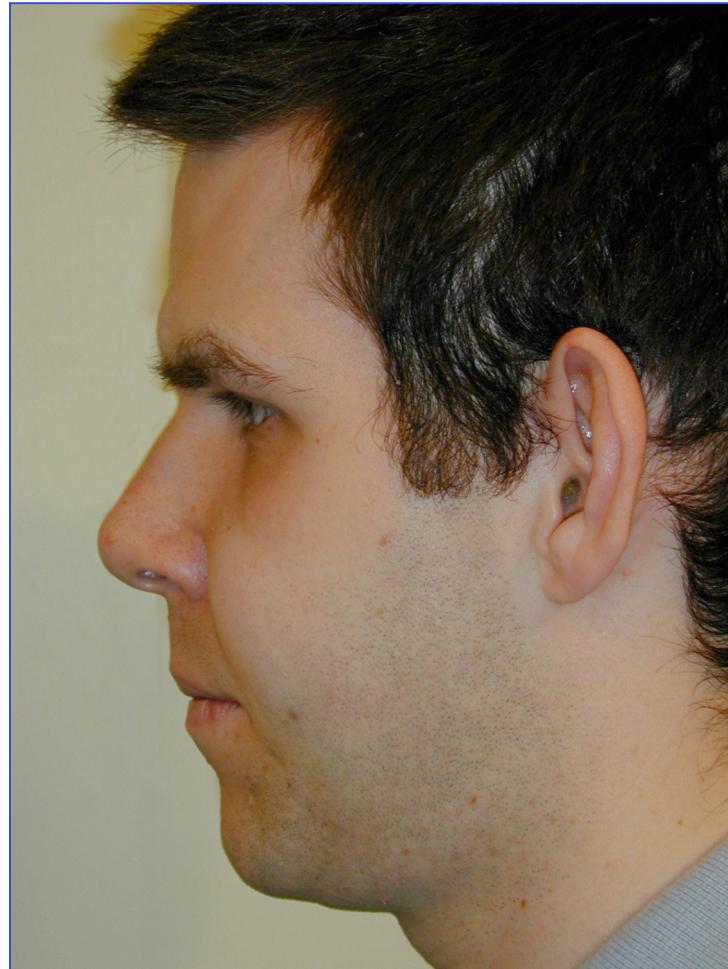
Facial distortions



## Patient Bogumil (27)



Patient *before* operation



Patient *after* operation

Segmentation

Operation planning

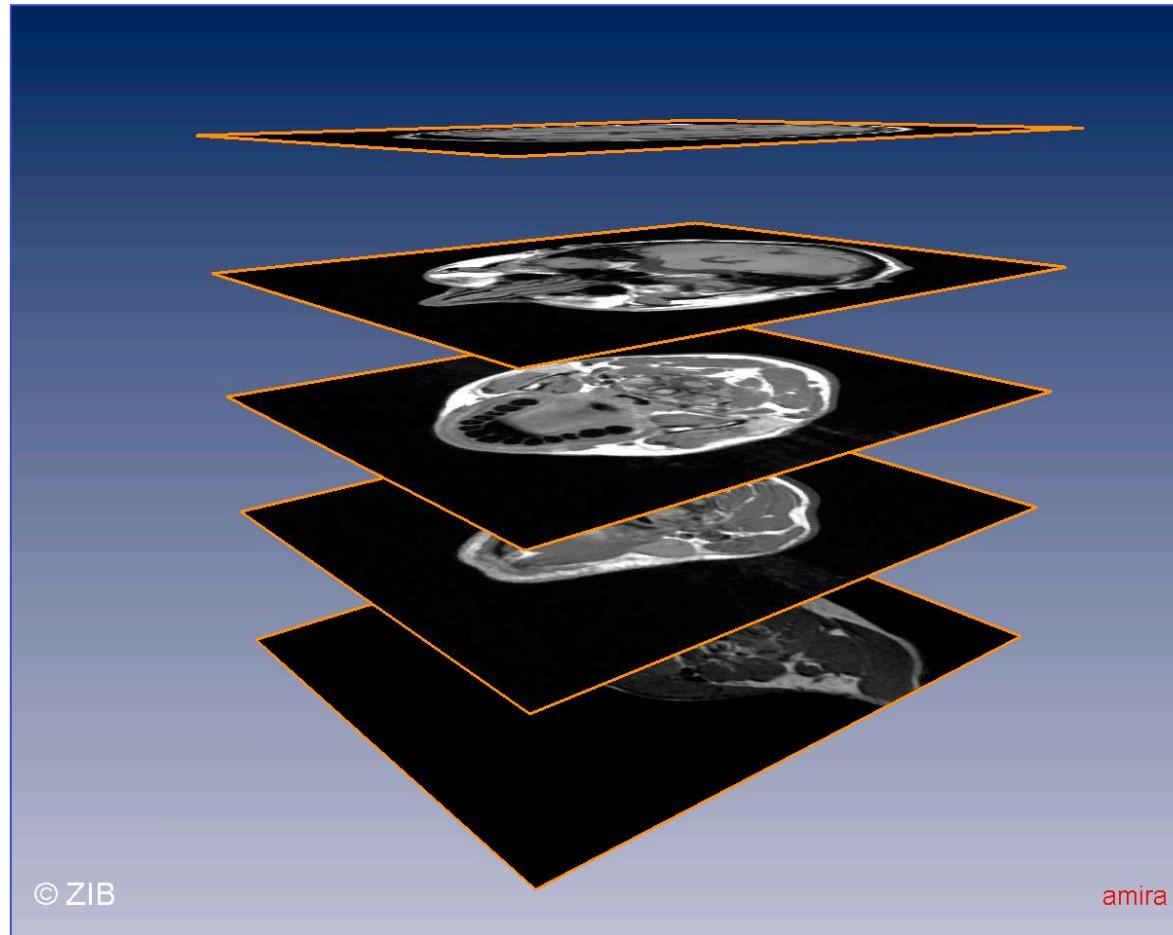
Soft tissue modelling

Affine conjugate Newton methods

Postoperative facial appearance

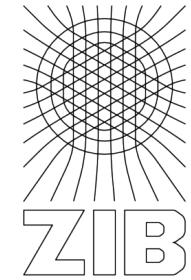
Input: 2D image stack ( CT, MRT, ...)

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# Density Image from CT, MRT, ...

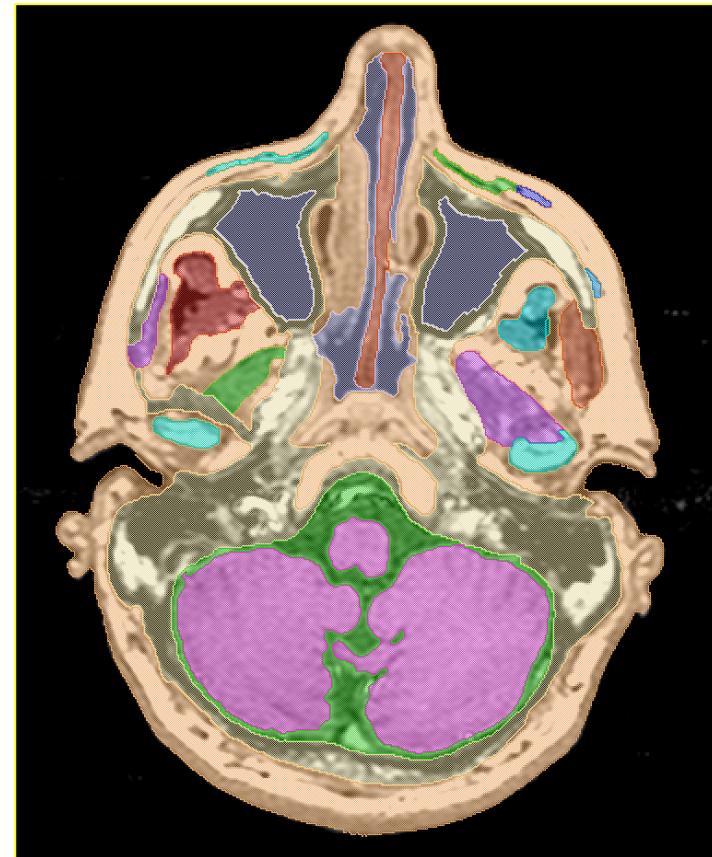
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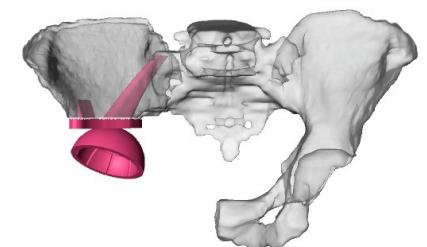
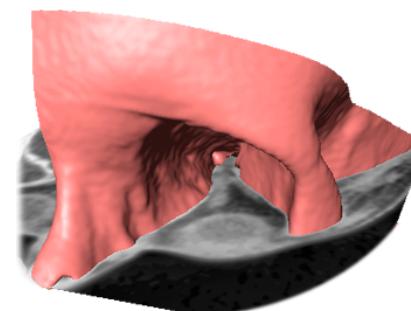
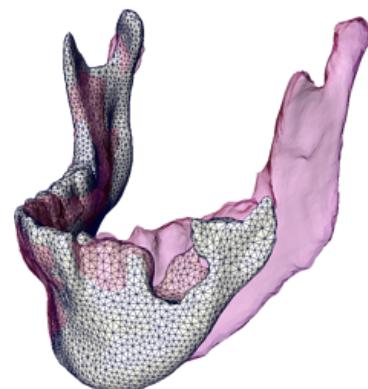
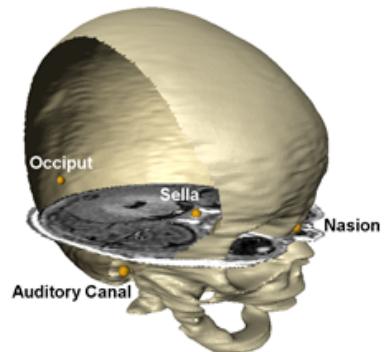
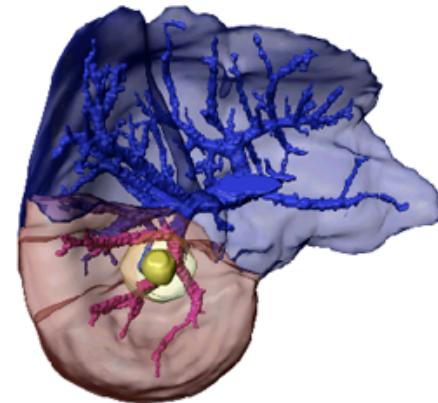
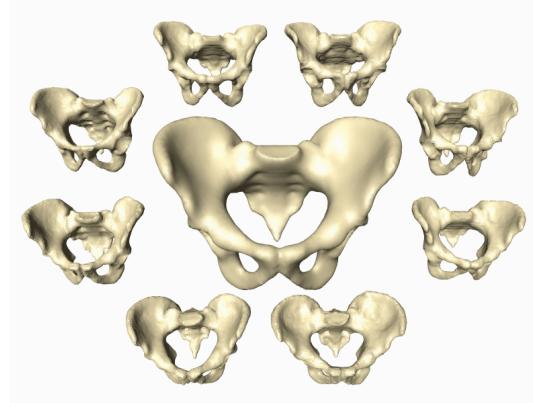
Needed:  
further material properties  
for virtual patient

## 2D Segmentation

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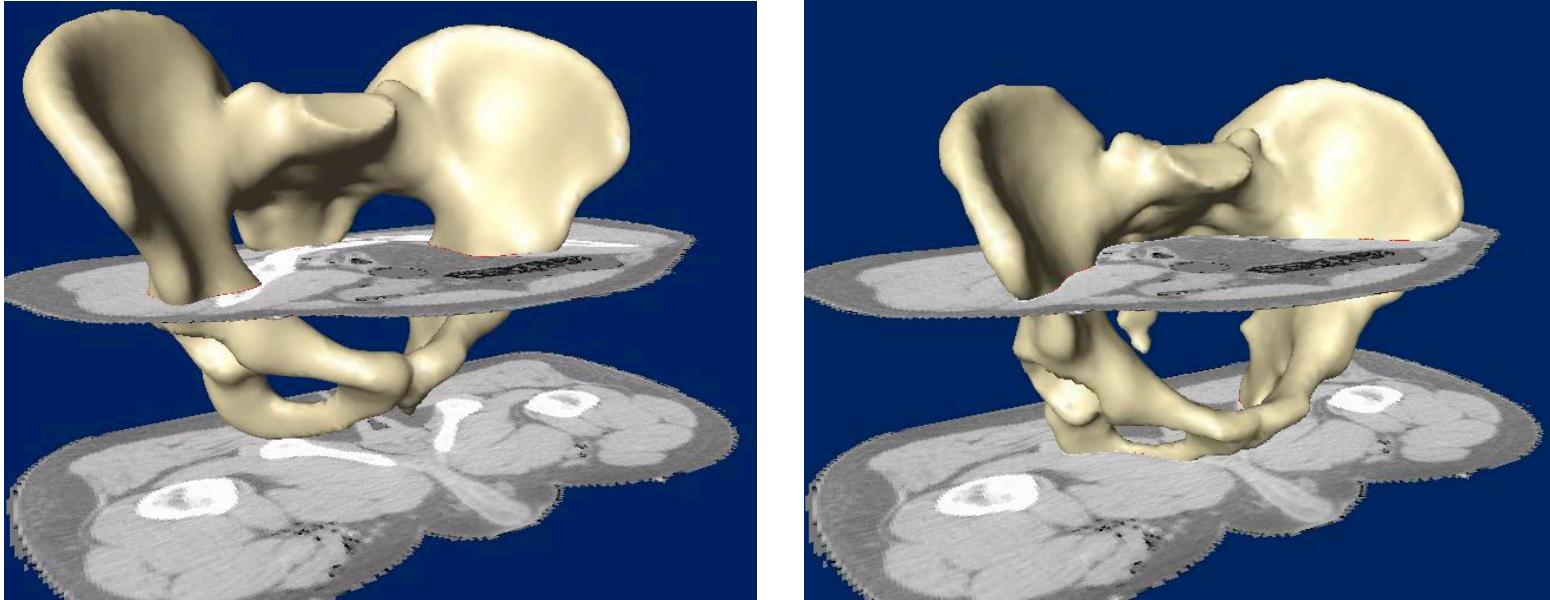


# Statistical Shape Modelling



Lamecker, Hege, Deuflhard 2006

## 2D Segmentation via 3D



adaptation of **new** CT stack  
on the basis of **known** patient data

# Variational Shape Matching

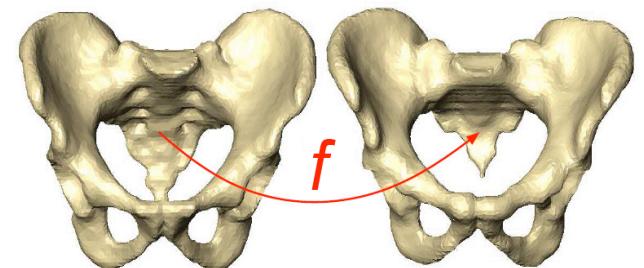
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$$E[f] = \frac{1}{|\mathcal{M}|} \int_{\mathcal{M}} (\mu \rho(\lambda) + (1 - \mu)\chi) d\mathcal{M}$$

$$\rho(\lambda) = \lambda \frac{(\Gamma - \gamma)^2}{\det(C)} + (1 - \lambda) \frac{(\Gamma\gamma - 1)^2}{\det(C)}$$

$$\chi = \int_0^{2\pi} |II_1(X_\varphi) - II_2(df(X_\varphi))| d\varphi$$



Deuflhard, Lamecker, Wardetzky, Polthier 2006

Segmentation

Operation planning

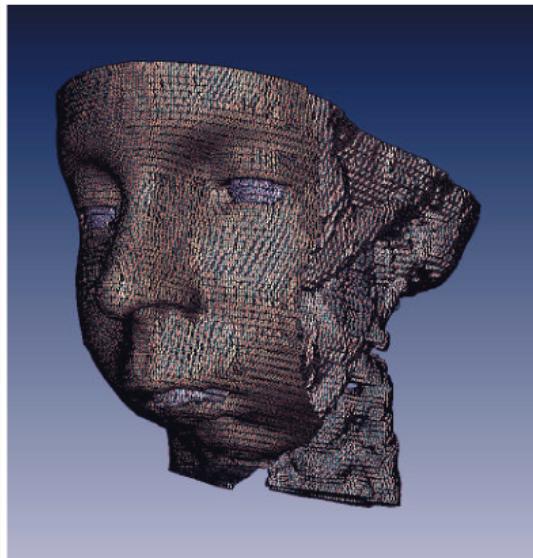
Soft tissue modelling

Affine conjugate Newton methods

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# 3D Grid generation

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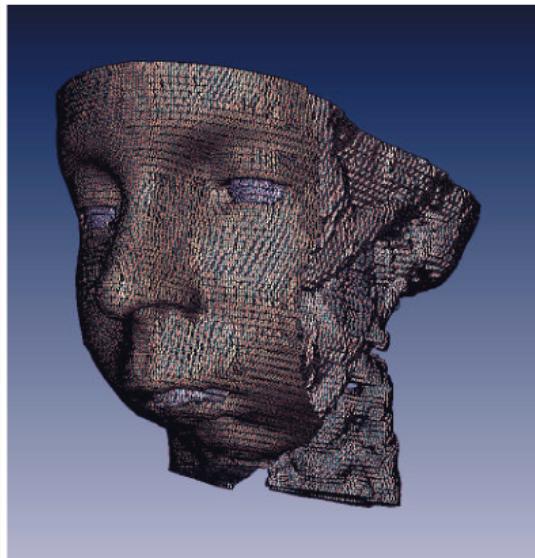
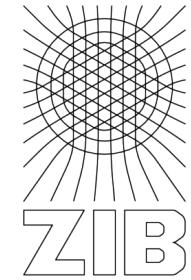


Interface grid (triangles)

*GMC method, ZIB*

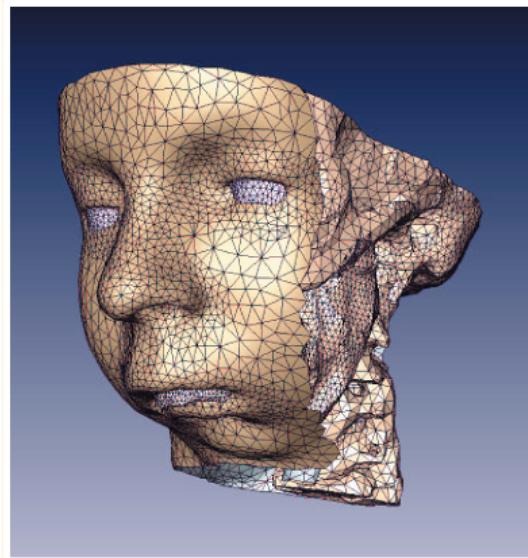
# 3D Grid generation

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Interface grid (triangles)

*GMC method, ZIB*

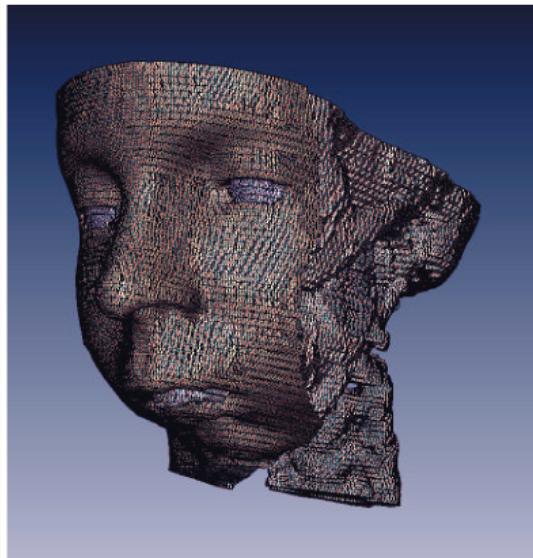


Grid coarsening (triangles)

*curvature dependent method, ZIB*

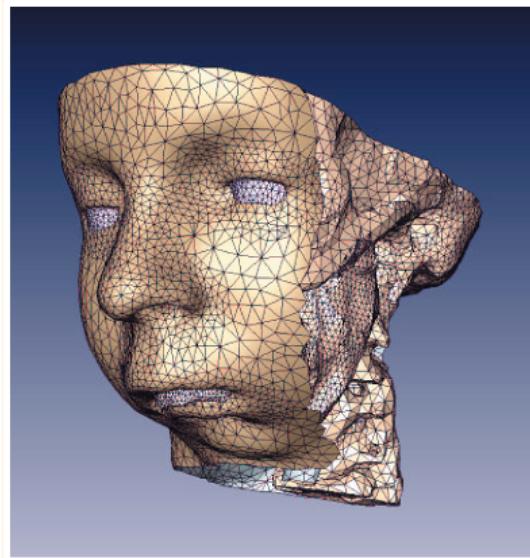
# 3D Grid generation

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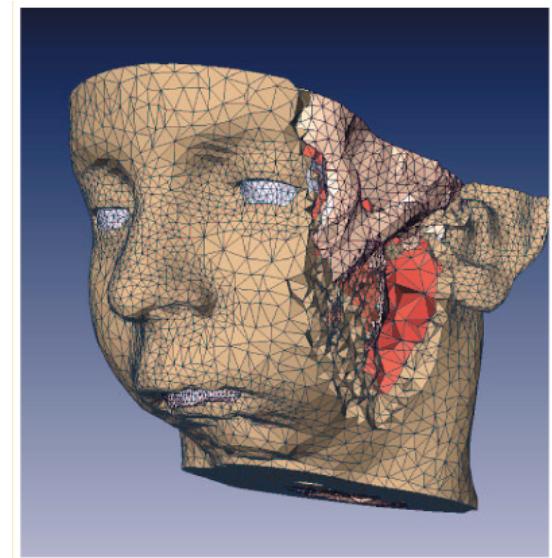
Interface grid (triangles)

*GMC method, ZIB*



Grid coarsening (triangles)

*curvature dependent method, ZIB*

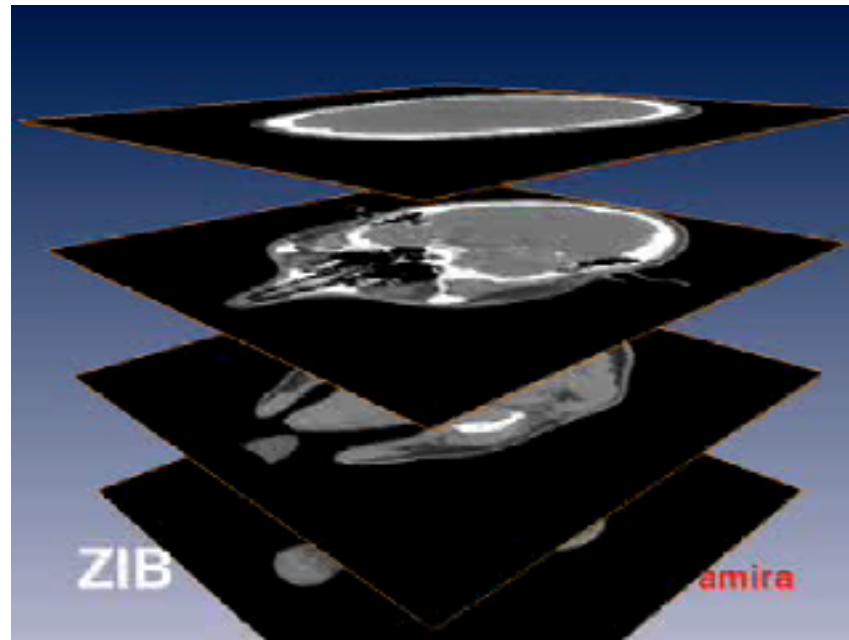


Volume grid (tetrahedrals)

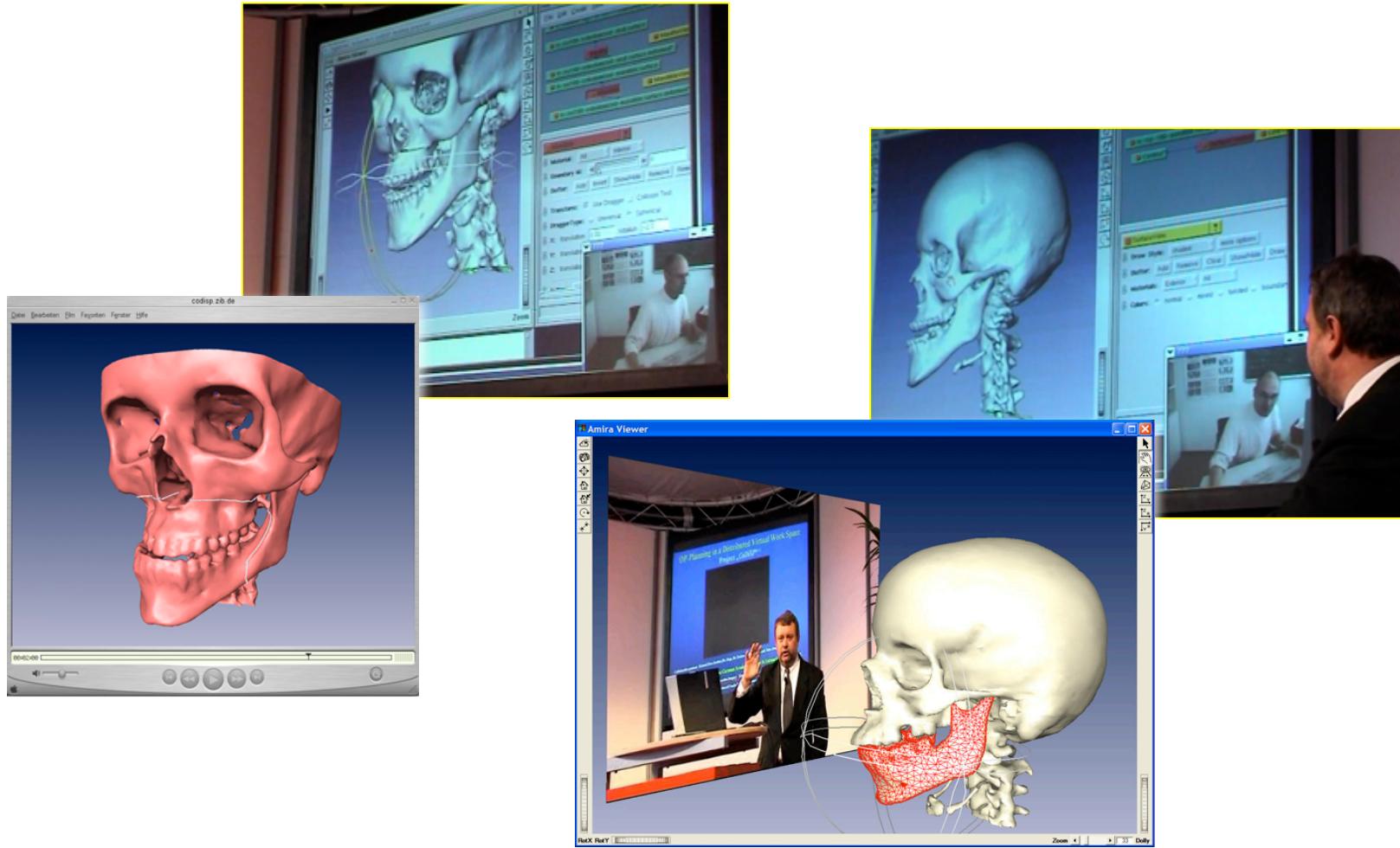
*advancing front method, ZIB*

## Virtual head (Bogumil)

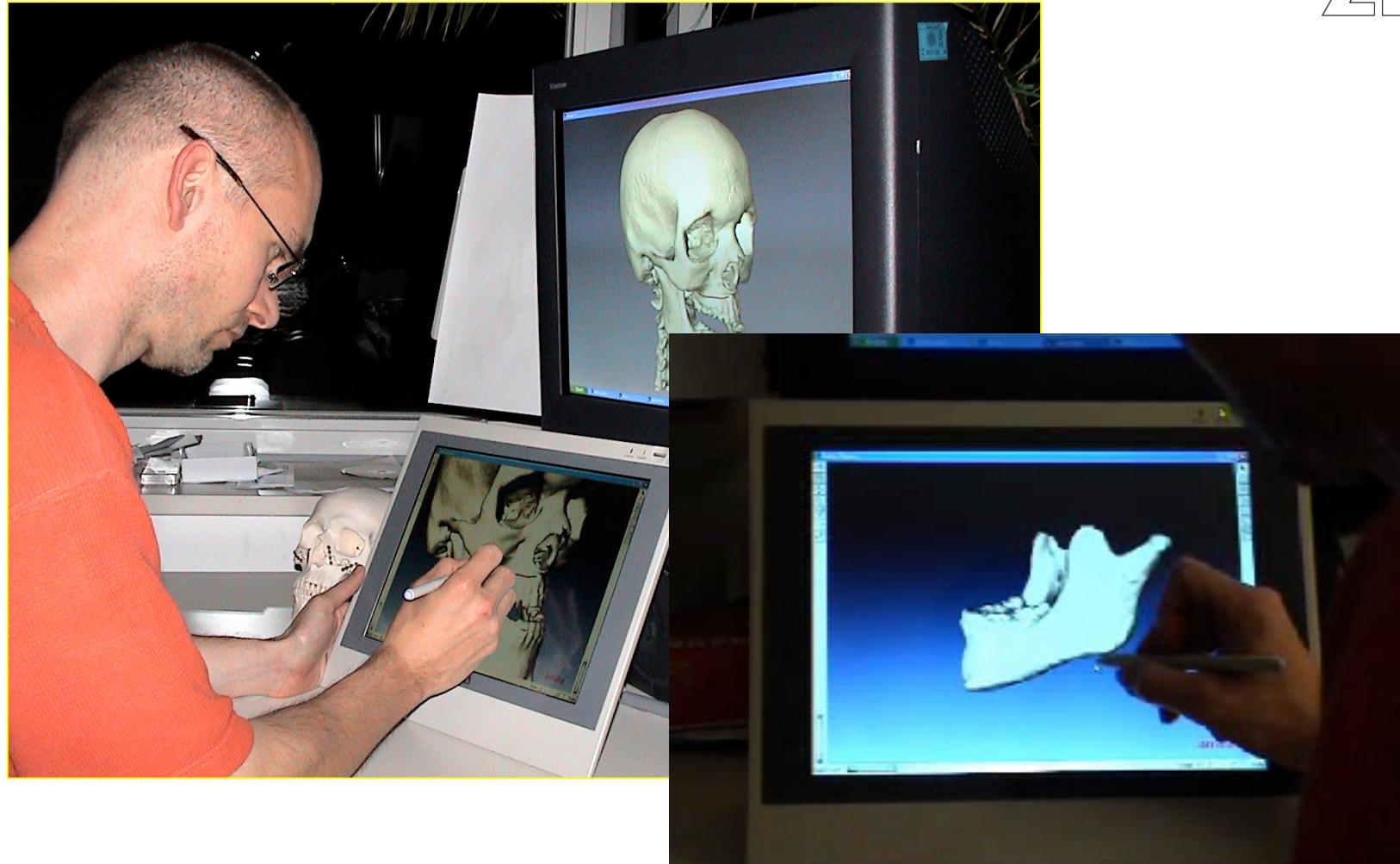
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## Tele-conference clinics / ZIB



## Osteotomy planning at ZIB



Segmentation

Operation planning

Soft tissue modelling

Affine conjugate Newton methods

Postoperative facial appearance

## Biomechanical model

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Tissue deformation

$$\begin{aligned}\Phi : \Omega &\rightarrow \mathbf{R}^3 \\ \Omega &\subset \mathbf{R}^3 \\ \Omega' &\subset \mathbf{R}^3\end{aligned}$$

Dirichlet interface

$$\Gamma_D \subset \partial\Omega$$

Stored energy to be minimized:

$$f(\phi) = \int_{\Omega} W(\nabla\phi) dx$$

## Biomechanical **linear** model

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**Linear** St. Venant-Kirchhoff material

$$W(\nabla\phi) = \frac{\lambda}{2}(\text{tr } \varepsilon)^2 + \mu \text{tr } \varepsilon^2$$

**Linearized** Green-Lagrange strain tensor

$$\varepsilon = \frac{1}{2}(\nabla u^T + \nabla u)$$

( not rotation invariant )

Lamé-Navier equation

$$-2\mu \operatorname{div} \varepsilon - \lambda \nabla \operatorname{div} u = 0 \quad \text{in } \Omega$$

$$u = u_0 \quad \text{on } \Gamma_D$$

$$2\mu\varepsilon + \lambda \operatorname{tr} \varepsilon I = 0 \quad \text{on } \partial\Omega \setminus \Gamma_D$$

## Biomechanical nonlinear model

---

Nonconvex material law of Ogden type

$$\begin{aligned} W(\nabla\phi) &= W(I + \nabla u) \\ &= a \operatorname{tr} E + b(\operatorname{tr} E)^2 + c \operatorname{tr} E^2 + d\Gamma(\det(I + \nabla u)) \end{aligned}$$

Full Green-Lagrange strain tensor

$$E = \frac{1}{2}(\nabla u^T + \nabla u + \nabla u^T \nabla u)$$

$$\begin{aligned} A &= -d\Gamma'(1), & b &= \frac{1}{2}(\lambda - d(\Gamma'(1) + \Gamma''(1))), \\ c &= \mu + d\Gamma'(1), & \Gamma(s) &= s^2 - \ln s \end{aligned}$$

$d \rightarrow 0^+$  : linear model

## Biomechanical model – material characterization

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Muscle       $E_m \geq 300\text{kPa}$ ,     $\nu_m \approx 0.44$

Soft tissue       $E_s \leq 50\text{kPa}$ ,     $\nu_s \approx 0.46$

For     $\nu < 0.5$  :

$$\lambda = \frac{E\nu}{(1+\nu)(1-2\nu)}, \quad \mu = \frac{E}{2(1-\nu)}$$

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## Convex minimization

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Convex optimization

$$\begin{aligned} f(x) &= \min, & f : D \subset X \rightarrow \mathbb{R} \\ F(x) &= \text{grad } f(x) = f'(x)^* = 0, & x \in D \end{aligned}$$

Affine transformation

$$\begin{aligned} g(y) &= f(By) = \min \\ G(y) &= B^*F(By) = 0 \\ G'(y) &= B^*F'(x)B \end{aligned}$$

Affine conjugate Lipschitz condition

$$\|F'(x)^{-1/2}(F'(\bar{x}) - F'(x))(\bar{x} - x)\| \leq \omega \|F'(x)^{1/2}(\bar{x} - x)\|^2$$

Deuflhard, Weiser 1997/98 Deuflhard 2004/06

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## Affine conjugate exact Newton algorithm

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$$f(x^{k+1}) \leq f(x^k)$$

Convergence analysis

$$f(x^k + \lambda \Delta x^k) \leq f(x^k) + \lambda \langle F(x^k), \Delta x^k \rangle + \frac{\lambda^2}{2} \epsilon_k + \frac{\lambda^3}{6} \omega \epsilon_k^{3/2} =: t(\lambda; \omega)$$

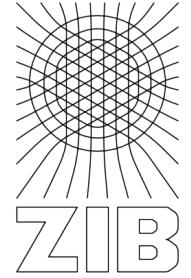
$$\epsilon_k = \langle F'(x^k) \Delta x^k, \Delta x^k \rangle$$

$$\lambda_{\text{opt}} = \arg \min_{\lambda > 0} t(\lambda; \omega) = \frac{1}{1 + \sqrt{1 + 2\omega\sqrt{\epsilon_k}}} < 1$$

Adaptive trust region strategy

$$[\lambda_{\text{opt}}] = \arg \min_{\lambda > 0} t(\lambda; \omega) = \frac{1}{1 + \sqrt{1 + 2[\omega]\sqrt{\epsilon_k}}} \leq 1$$

$X = \mathbb{R}^N$ : NLEQ1-OPT



## Affine conjugate **inexact** Newton algorithm

Inner iteration PCG       $\|F'(x)^{1/2}(\delta x_i - \Delta x)\| = \min$

Threshold condition

$$\delta_k = \frac{\|F'(x^k)^{1/2}(\delta x^k - \Delta x^k)\|}{\|F'(x^k)^{1/2}\delta x^k\|} \leq \delta < 1$$

Convergence analysis

$$f(x^k + \lambda\delta x^k) \leq f(x^k) + \lambda\langle F(x^k), \delta x^k \rangle + \frac{\lambda^2}{2}\epsilon_k^\delta + \frac{\lambda^3}{6}\omega\epsilon_k^{\delta^{3/2}}$$

$$\epsilon_k^\delta = \langle F'(x^k)\delta x^k, \delta x^k \rangle = \frac{\epsilon_k}{1 + \delta_k^2}$$

$X = \mathbb{R}^N, N$ : “large”: Code GIANT-PCG



## Affine conjugate function space Newton algorithm

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Function space      -----> adaptive multilevel approach  
infinite dimension    -----> variable dimension

Inexact Newton frame:

inner iteration errors   -----> discretization errors

Affine conjugate adaptive multilevel FEM for nonlinear elliptic PDEs:

$X = W_p^1$ ,     $1 < p < \infty$ : **Code NEWTON-KASKADE**

# Newton-KASKADE

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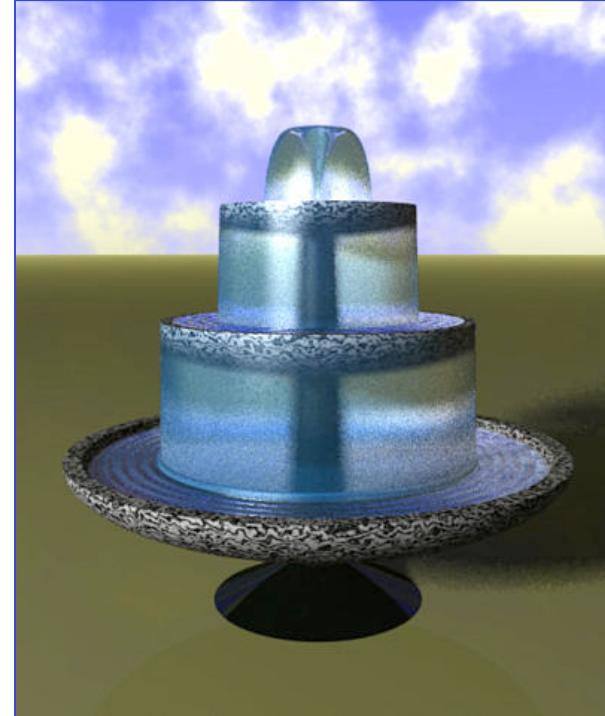
Inner loop:

Cascadic multigrid method  
with CCG as inner iteration.

Face:

90.000 - 300.00 unknowns

geometrically nonlinear model



## Newton method for nonlinear mechanical model

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$$F'(x_k) = M + N(x_k), \quad M = F'(0)$$

$$N(x) = \mathcal{O}(\|\nabla x\|) \text{ for } \|\nabla x\| \rightarrow 0$$

Global energy norm :       $\|\cdot\|_M = \|M^{1/2} \cdot\|$

Affine conjugate Lipschitz condition :

$$\|M^{-1/2}(F'(y) - F'(x))(y - x)\| \leq \omega \|M^{1/2}(y - x)\|^2$$

Weiser, Deuflhard, Erdmann 2004

## Nonconvex (polyconvex) exact minimization

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$$f(x^{k+1}) \leq f(x^k)$$

Convergence analysis

$$\begin{aligned} f(x^k + \Delta x^k) &\leq f(x^k) + \langle F(x^k), \Delta x^k \rangle + \frac{1}{2}\epsilon_k + \frac{\omega}{6} \|\Delta x^k\|_M^3 =: t(\Delta x^k; \omega) \\ \epsilon_k &= \langle F'(x^k)\Delta x^k, \Delta x^k \rangle \\ \Delta x_{\text{opt}}^k &= \arg \min_{\Delta x^k \in X} t(\Delta x^k; \omega) \end{aligned}$$

Adaptive trust region strategy

$$[\Delta x_{\text{opt}}^k] = \arg \min_{\Delta x^k \in X^k} t(\Delta x^k; [\omega])$$



## Nonconvex (polyconvex) inexact minimization

Inner iteration truncated PCG will produce wrong direction

$$\delta_k = \frac{\|F'(x^k)^{1/2}(\delta x^k - \Delta x^k)\|}{\|F'(x^k)^{1/2}\delta x^k\|} \leq \delta < 1 \quad \text{or} \quad \langle F'(x^k)p_i^k, p_i^k \rangle \leq 0$$

Convergence analysis

$$f(x^k + \lambda\delta x^k) \leq f(x^k) + \langle F(x^k), \delta x^k \rangle + \frac{1}{2}\epsilon_k^\delta + \frac{1}{6}\omega\|\delta x^k\|_M^3$$

$$\epsilon_k^\delta = \langle F'(x^k)\delta x^k, \delta x^k \rangle$$

$$\delta x_{\text{opt}}^k = \arg \min_{\delta x^k \in X_k} t(\delta x^k; \omega)$$

$$X_k \subset X, \dim X_k \ll \dim X$$



# Nonconvex (polyconvex) function space minimization

Function space -----> adaptive multilevel approach  
infinite dimension -----> variable dimension

Inexact Newton frame:

inner iteration errors -----> discretization errors

Affine conjugacy via global energy norm ( M )

$X = W_p^1$ ,  $1 < p < \infty$  : Variant of code NEWTON-KASKADE

Segmentation

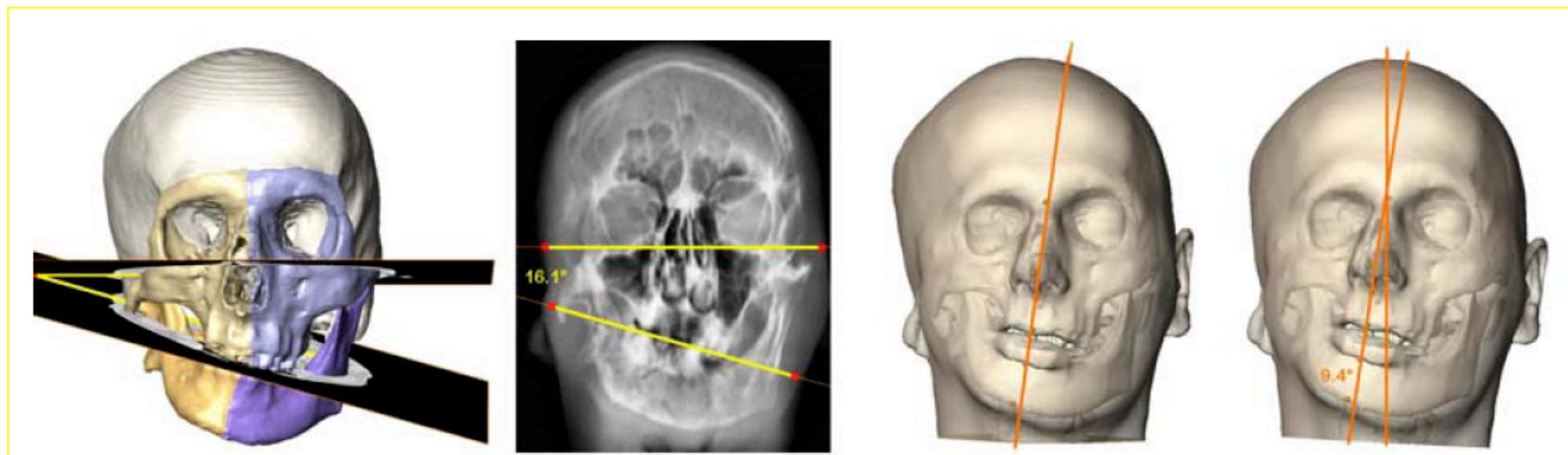
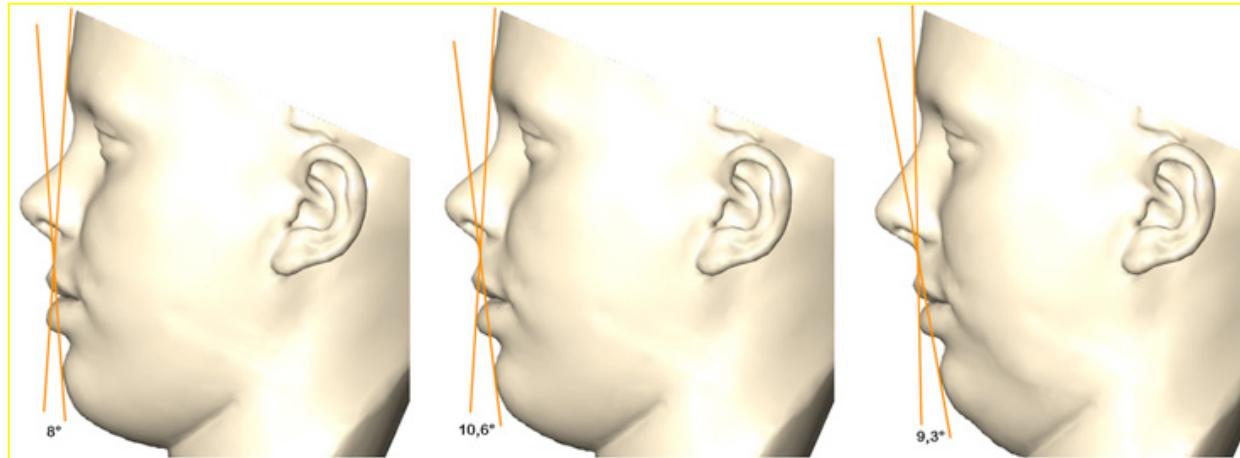
Operation planning

Soft tissue modelling

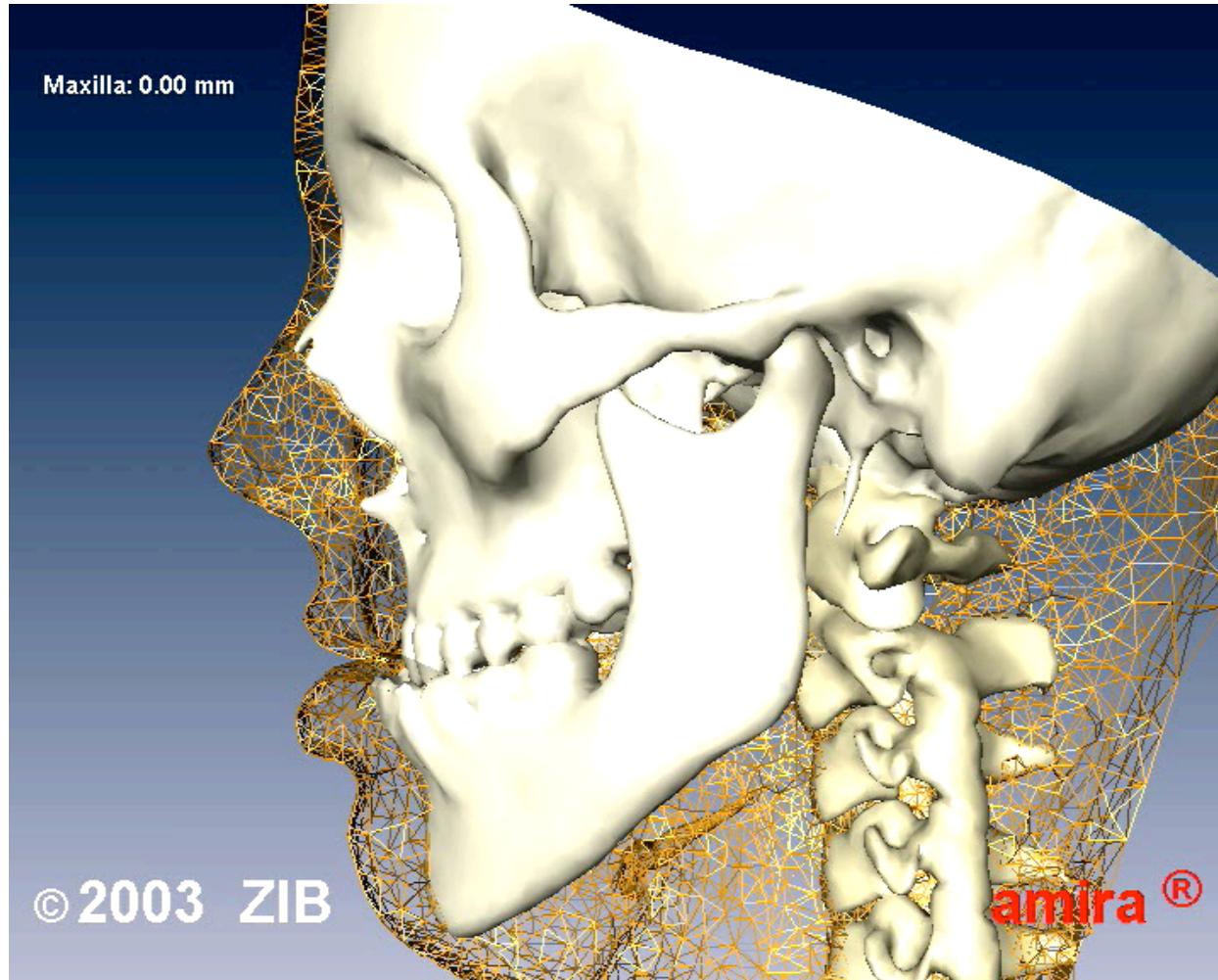
Affine conjugate Newton methods

Postoperative facial appearance

# Patient specific proportion analysis



# Operation planning: bone and grid movement



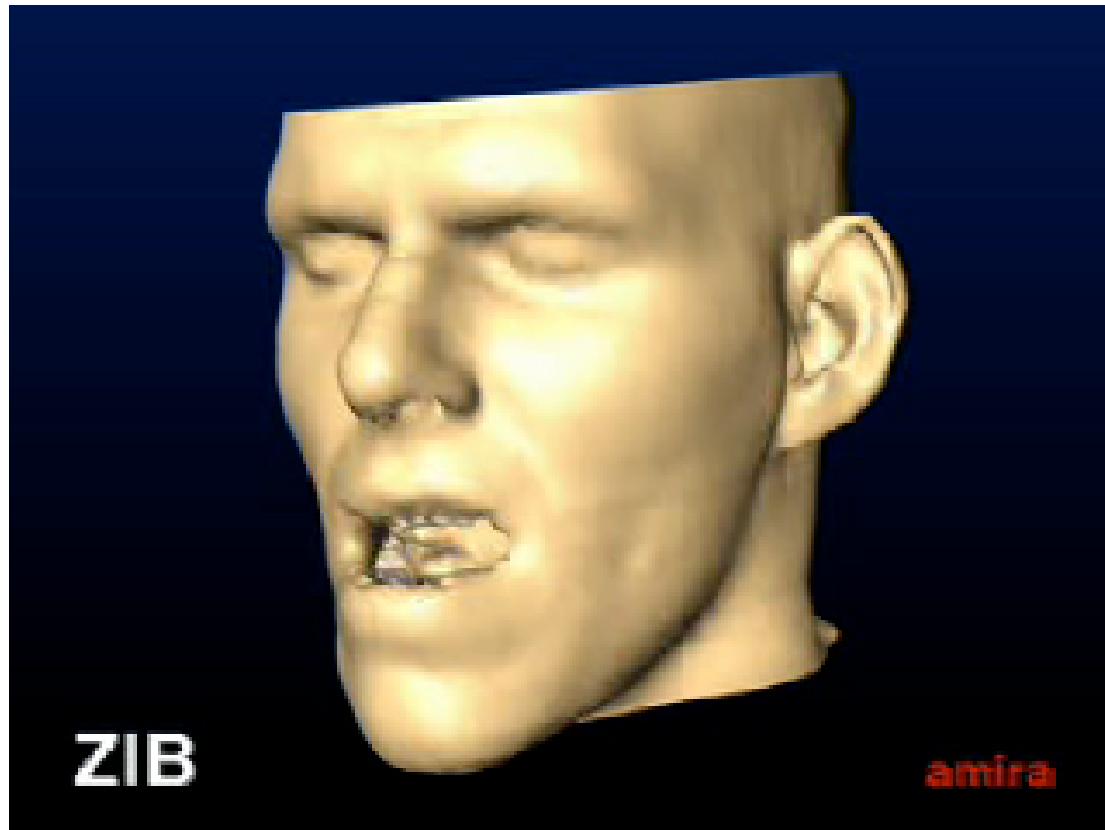
Zachow 2003

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## Operation planning: Bogumil (27)

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Zachow, Hege 2002

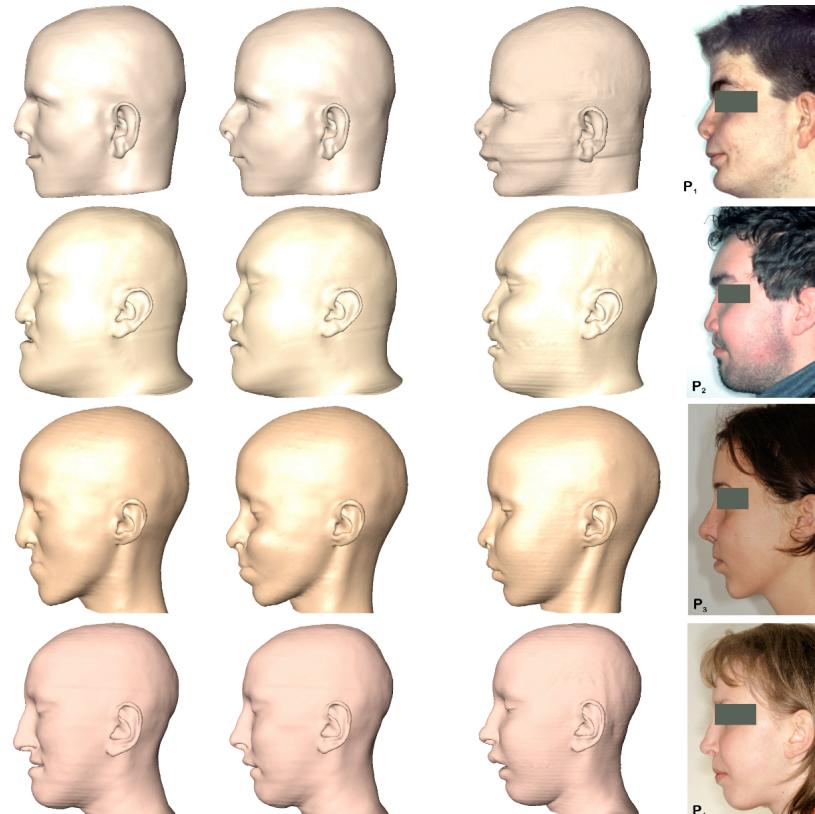
## Bogumil: comparison before / after / prognosis



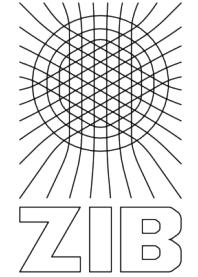
## Karin: comparison before / after / prognosis



## Four out of many patients



preoperative CT / planning / postoperative CT / photograph



## Collaboration

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ZIB

Computational medicine

Martin Weiser, Bodo Erdmann, Rainer Roitzsch

Medical planning

Stefan Zachow, Hans Lamecker

Clinical cooperations

U Basel, Kantonsspital, Hans-Florian Zeilhofer (formerly TU Munich)

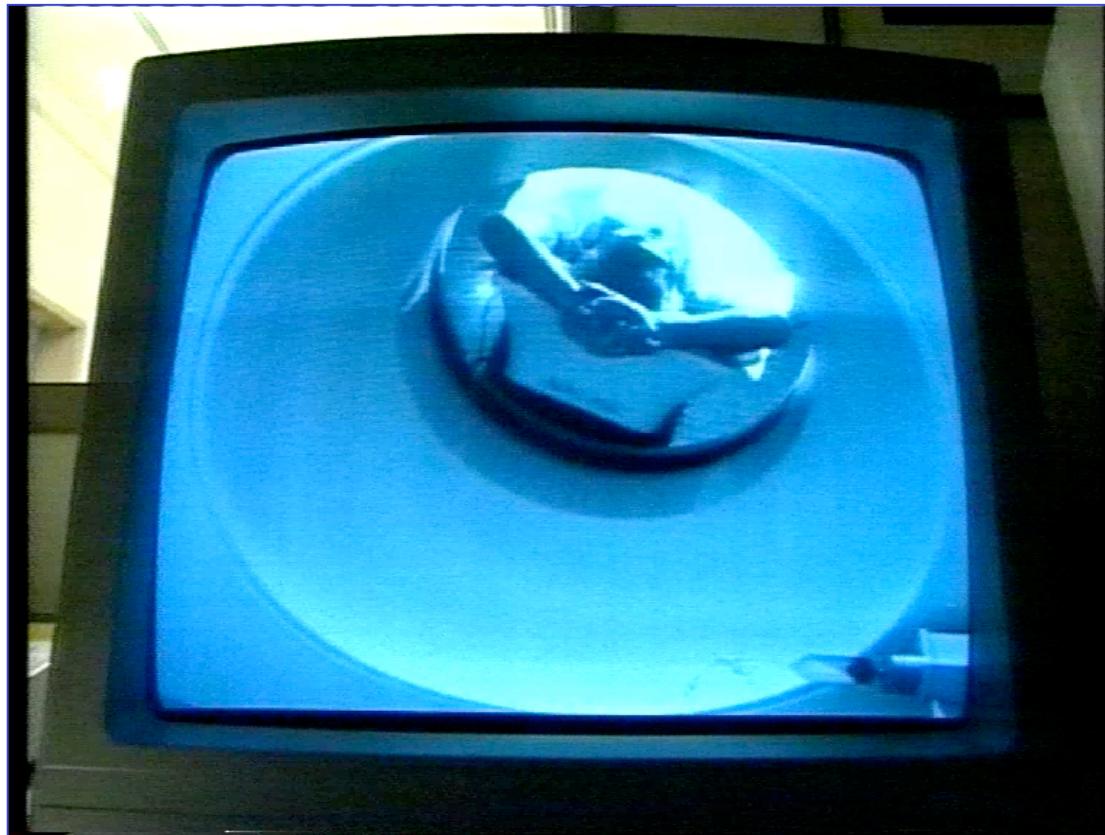
U Frankfurt/M, Klinikum, Robert Sader (formerly TU Munich)

TU Dresden, KTH Stockholm, U Vienna, ....



## Computer tomograph in hospital

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Segmentation

Operation planning

Soft tissue modelling

Affine conjugate Newton methods

Postoperative facial appearance

# La Gioconda (1507)

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Leonardo da Vinci (1452 - 1519)

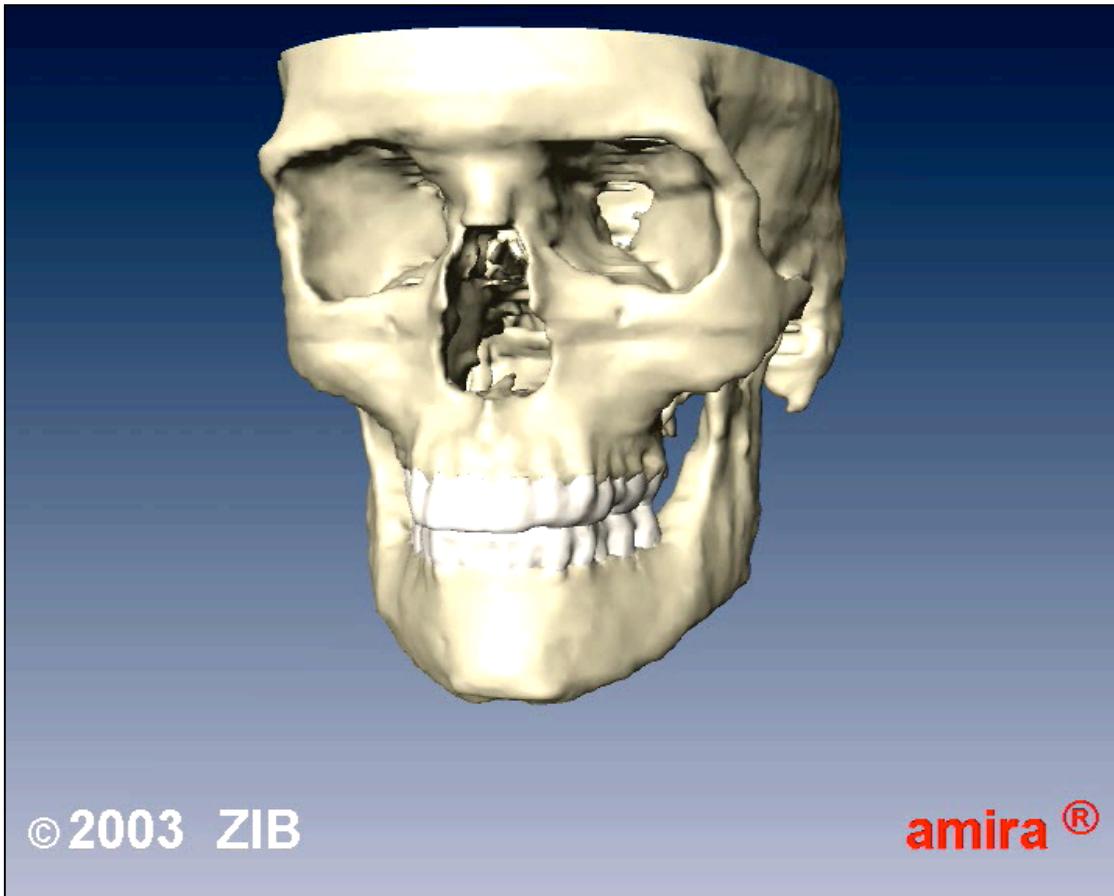
painter

mathematician

engineer

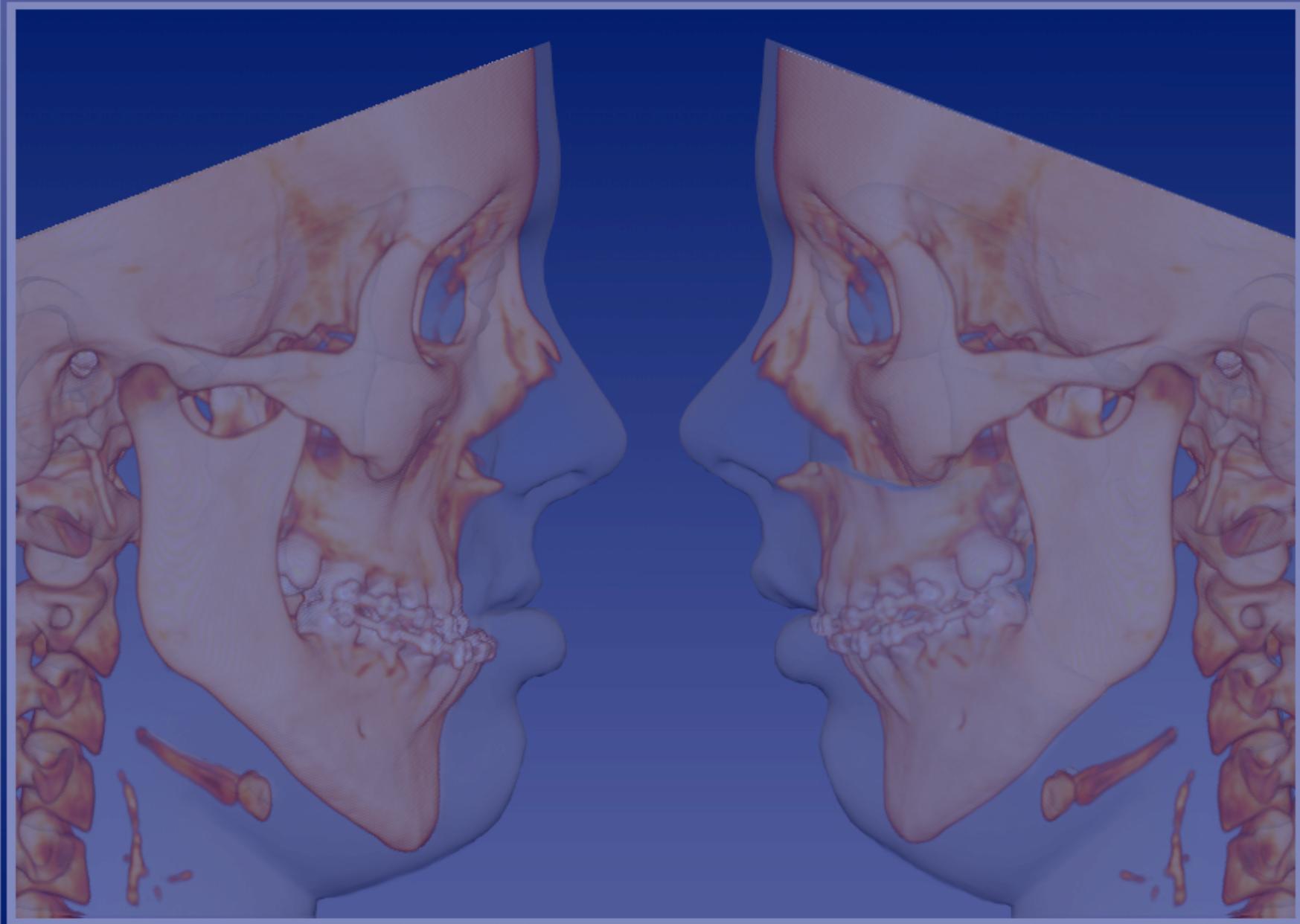
Smile with 10 out of 30 muscles

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*Imago animi vultus*

Cicero, 106 - 43 v. Chr.

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